

UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

Form A
For use by Members, officers, and employees

Page 1 of 4

HAND DELIVERED

Name:

Louie Gohmert

Daytime Telephone:

202/225-3035

LEGISLATIVE RESOURCE CENTER

2010 MAY 13 PM 3:44

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Filer Status



Member of the U.S.
House of Representatives

State:

TX

District:

1



Officer or
Employee

Employing Office:

Report Type



Annual (May 16, 2011)



Amendment



Termination

Termination Date:

A \$200 penalty shall be assessed
against anyone who files more than
30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?
If yes, complete and attach Schedule I.

Yes ☒ No ☐

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)?
If yes, complete and attach Schedule VI.

Yes ☐ No ☒

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?
If yes, complete and attach Schedule II.

Yes ☐ No ☒

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)?
If yes, complete and attach Schedule VII.

Yes ☒ No ☐

III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?
If yes, complete and attach Schedule III.

Yes ☐ No ☒

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?
If yes, complete and attach Schedule VIII.

Yes ☐ No ☒

IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?
If yes, complete and attach Schedule IV.

Yes ☐ No ☒

IX. Did you have any reportable agreement or arrangement with an outside entity?
If yes, complete and attach Schedule IX.

Yes ☐ No ☒

V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?
If yes, complete and attach Schedule V.

Yes ☒ No ☐

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes ☐ No ☒

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

Yes ☐ No ☒

SCHEDULE I—EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

[illegible]

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE V— LIABILITIES

Name

Louie Gohmert

Page 3 of 4

Report liabilities of over \$10,000 owed to any one creditor **at any time** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report **revolving charge accounts** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

| SP, DC, JT | Creditor | | Date Liability Incurred Mo/Year | Type of Liability | Amount of Liability | | | | | | | | | |
|------------------|--|------------------------------|--|--|----------------------------|----------------------------|-----------------------------|------------------------------|------------------------------|--------------------------------|----------------------------------|-----------------------------------|------------------------------------|---------------------------|
| | | | | | A \$10,001- \$15,000 | B \$15,001- \$50,000 | C \$50,001- \$100,000 | D \$100,001- \$250,000 | E \$250,001- \$500,000 | F \$500,001- \$1,000,000 | G \$1,000,001- \$5,000,000 | H \$5,000,001- \$25,000,000 | I \$25,000,001- \$50,000,000 | J Over \$50,000,000 |
| | Example: | First Bank of Wilmington, DE | May 1998 | Mortgage on 123 Main St., Dover, DE | | | | X | | | | | | |
| JT | Citizens 1 st Bank, Tyler, Tx | | | Personal Loan | | | X | | | | | | | |
| JT | ACS | | | Consolidated College Student Loans for 3 children | | | X | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than \$335 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$335 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

| Source | Description | Value |
|---|--|-------|
| Example: Mr. Joseph H. Smith, Anytown, Anystate | Silver Platter (determination on personal friendship received from Committee on Standards) | \$345 |
| | | |
| | | |
| | | |
| | | |

Use additional sheets if more space is required.

SCHEDULE VII— TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A Page 1 of 5
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2011 MAY 12 PM 3:02

(Office Use Only)

Me

| | | | |
|--------------------------------------|---|-------------------------------------|--|
| Samuel Robert Johnson (Full Name) | | 202-225-4201 (Daytime Telephone) | |
| Filer Status | <input checked="" type="checkbox"/> Member of the U.S. House of Representatives | State: TX District: 03 | <input type="checkbox"/> Officer Or Employee |
| | Employing Office: | | |
| Report Type | <input checked="" type="checkbox"/> Annual (May 15) | <input type="checkbox"/> Amendment | Termination Date: |
| | <input type="checkbox"/> Termination | | |

U.S. HOUSE OF REPRESENTATIVES
A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | |
|---|---|
| I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule I. | VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule VI. |
| II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule II. | VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule VII. |
| III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule III. | VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule VIII. |
| IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule IV. | IX. Did you have any reportable agreement or arrangement with an outside entity? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule IX. |
| V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule V. | Each question in this part must be answered and the appropriate schedule attached for each "Yes" response. |

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | | |
|---------------------|--|---|
| Trusts-- | Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| Exemptions-- | Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Samuel Robert Johnson

Page 2 of 5

| BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or <th data-bbox="821 173 1092 917">BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."<th data-bbox="1092 173 1386 917">BLOCK C Type of Income Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.<th data-bbox="1386 173 1717 917">BLOCK D Amount of Income For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.<th data-bbox="1717 173 1927 917">BLOCK E Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</th></th></th></th> | BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None." <th data-bbox="1092 173 1386 917">BLOCK C Type of Income Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.<th data-bbox="1386 173 1717 917">BLOCK D Amount of Income For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.<th data-bbox="1717 173 1927 917">BLOCK E Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</th></th></th> | BLOCK C Type of Income Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period. <th data-bbox="1386 173 1717 917">BLOCK D Amount of Income For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.<th data-bbox="1717 173 1927 917">BLOCK E Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</th></th> | BLOCK D Amount of Income For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated. <th data-bbox="1717 173 1927 917">BLOCK E Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</th> | BLOCK E Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year. |
|---|---|---|---|---|
| Congressional Federal Credit Union | \$1,001 - \$15,000 | INTEREST | \$1 - \$200 | |
| Franklin Gold | \$15,001 - \$50,000 | CAPITAL GAINS | \$1,001 - \$2,500 | |
| Legacy Bank | \$1,001 - \$15,000 | INTEREST | \$1 - \$200 | |
| Texas A&M Press | Indefinite | Royalties | \$201 - \$1,000 | |

SCHEDULE VI - GIFTS

Name Samuel Robert Johnson

Page 3 of 5

Report the source, a brief description, and the value of all gifts totaling more than \$335 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$335 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

| Source | Description | Value |
|--|---|----------|
| Mr. Ross Perot, The Perot Companies, Dallas, Tx | Personal flight to/from Rochester, MN (determination on personal friendship received from Committee on Standards) | \$52,723 |

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Samuel Robert Johnson

Page 4 of 5

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

| Source | Date(s) | Point of Departure-- Destination--Point of Return | Lodging? (Y/N) | Food? (Y/N) | Was a Family Member Included? (Y/N) | Days not at sponsor's expense |
|-------------------------|--------------------|--|-------------------|----------------|---|-------------------------------------|
| The Heritage Foundation | January 14 - 15 | DC-Charlottesville, VA-DC | Y | Y | N | None |

SCHEDULE VIII - POSITIONS

Name Samuel Robert Johnson

Page 5 of 5

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

| Position | Name of Organization |
|------------------|---|
| Board of Regents | Smithsonian Institution, Washington, DC |
| Board Member | Institute in Basic Life Principles, Oak Brook, IL |

UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT
FORM A
 For use by Members, officers, and employees

Page 1 of 2 MAY 13 PM 1:33

U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED
 Ralph M. Hall
 (Full Name)

 202-225-6673
 (Daytime Telephone)

(Office Use Only)

| | | | | | |
|---------------------|---|---|--|--------------------------------------|--|
| Filer Status | <input checked="" type="checkbox"/> Member of the U.S. House of Representatives | State: TX District: 4th | <input type="checkbox"/> Officer Or Employee | Employing Office: | A \$200 penalty shall be assessed against anyone who files more than 30 days late. |
| | Report Type | <input checked="" type="checkbox"/> Annual (May 15) | <input type="checkbox"/> Amendment | <input type="checkbox"/> Termination | |

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

| | | | |
|--|---|--|---|
| I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |
| IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | Each question in this part must be answered and the appropriate schedule attached for each "Yes" response. | |

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

| | | |
|---------------------|--|---|
| Trusts-- | Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| Exemptions-- | Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

SCHEDULE I - EARNED INCOME

Name Ralph M. Hall

Page 2 of 7

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

| Source | Type | Amount |
|----------------|---------------------|----------|
| State of Texas | Legislative Pension | \$65,748 |

No. 0722 P. 3

May. 11. 2011 10:28AM The Caruth

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ralph M. Hall

Page 3 of 7

BLOCK A

Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use ticker symbols.)

For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.

For rental or other real property held for investment, provide a complete address.

For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or

BLOCK B

Year-End Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."

BLOCK C

Type of Income

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.

BLOCK D

Amount of Income

For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.

BLOCK E

Transaction

Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.

50% Interest 152 Acres Hunt County, Texas Farm

\$50,001 - \$100,000

None

NONE

50% Interest 4 Acres. 1500 Sunset Hill, Rockwall, Texas (adjacent to residence)

\$50,001 - \$100,000

None

NONE

American General Life Insurance Policy Houston, Texas

None

CAPITAL GAINS

\$50,001 - \$100,000

S

Lakeside Bancshares, Inc. Rockwall, Texas Stock Banking

\$250,001 - \$500,000

None

NONE

Lakeside National Bank Rockwall, Texas CD and Cash Accounts

\$100,001 - \$250,000

INTEREST

\$5,001 - \$15,000

No. 0722 P. 4

The Caruth

May. 11. 2011-10:28AM

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ralph M. Hall

Page 4 of 7

Lakeside National Bank
Rockwall, Texas Stock
Banking\$50,001 -
\$100,000

None

NONE

North and East Trading Co.
Rockwall, Texas Stock
Real Estate / Mineral Interest\$250,001 -
\$500,000

DIVIDENDS

\$15,001 - \$50,000

No. 0722 P. 5

May. 11. 2011 10:28AM The Caruth

SCHEDULE IV - TRANSACTIONS

Name Ralph M. Hall

Page 5 of 7

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain In Excess of \$200? | Date | Amount of Transaction |
|------------------|--|------------------------|---|----------|-----------------------|
| | | | | | |
| | American General Life Insurance Policy - Houston, Texas | S | Yes | 11-15-10 | \$50,001 - \$100,000 |

No. 0722 P. 6

SCHEDULE VIII - POSITIONS

Name Ralph M. Hall

Page 6 of 7

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

| Position | Name of Organization |
|----------------------------------|---|
| President & Director (unpaid) | Lakeside Banchares, Inc. Rockwall, Texas |
| Chairman & Director (unpaid) | Lakeside National Bank Rockwall, Texas |
| Chairman & Director (unpaid) | North & East Trading Company Rockwall, Texas |
| Chairman & Director (unpaid) | Linrock, Inc. Rockwall, Texas |

No. 0722

The Caruth

May. 11. 2011 10:28AM

FOOTNOTES

Name Ralph M. Hall

Page 7 of 7

| Number | Section / Schedule | Footnote | This note refers to the following item |
|--------|--------------------|---|--|
| 3 | Schedule II | The American General Life Insurance Policy is a universal life policy that was not reported in the prior year in error. | American General Life Policy |

No. 0722 P. 8

May. 11. 2011 10:29AM The Caruth

UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A Page 1 of 6
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2010 MAY 13 PM 1:35

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Jeb Hensarling
(Full Name)

202-225-3484
(Daytime Telephone)

Filer
Status

☒ Member of the U.S.
House of Representatives

State: TX
District: 05

☐ Officer Or
Employee

Employing Office:

Report
Type

☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall
be assessed against
anyone who files
more than 30 days
late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | |
|---|---|
| I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule I. | VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VI. |
| II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule II. | VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule VII. |
| III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule III. | VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VIII. |
| IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule IV. | IX. Did you have any reportable agreement or arrangement with an outside entity? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule IX. |
| V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule V. | Each question in this part must be answered and the appropriate schedule attached for each "Yes" response. |

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--

Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes ☐ No ☒

Exemptions--

Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

Yes ☐ No ☒

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jeb Hensarling

Page 2 of 6

| BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or | BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None." | BLOCK C Type of Income Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period. | BLOCK D Amount of Income For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated. | BLOCK E Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year. |
|--|---|---|--|---|
| Artisan International Investor Class Fund | \$15,001 - \$50,000 | None | NONE | |
| Aurora Acquisition Holdings, Inc | None | CAPITAL GAINS | \$15,001 - \$50,000 | S |
| Coca Cola - Stock | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| Dreyfus Disciplined Stock Fund | \$15,001 - \$50,000 | None | NONE | |
| Dreyfus Emerging Leader Fund | \$50,001 - \$100,000 | None | NONE | |
| Fidelity Capital and Income Fund | \$100,001 - \$250,000 | DIVIDENDS | \$5,001 - \$15,000 | P |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jeb Hensarling

Page 3 of 6

| | | | | | |
|----|--|-----------------------|---------------------|--------------------|---|
| | Fidelity Municipal Money Market Fund | \$100,001 - \$250,000 | DIVIDENDS/INTE REST | \$201 - \$1,000 | P |
| | Fidelity Overseas Fund | \$15,001 - \$50,000 | DIVIDENDS | \$201 - \$1,000 | |
| | Fidelity Select Biotechnology Fund | \$100,001 - \$250,000 | None | NONE | |
| | Fidelity Select Electronics Fund | \$100,001 - \$250,000 | DIVIDENDS | \$201 - \$1,000 | |
| | Fidelity Select Financial Services Fund | \$15,001 - \$50,000 | DIVIDENDS | \$1 - \$200 | |
| | Fidelity Total Bond Fund | \$100,001 - \$250,000 | DIVIDENDS | \$5,001 - \$15,000 | |
| | Fidelity VIP Contra Fund | \$50,001 - \$100,000 | None | NONE | |
| | Fidelity VIP Dynamic Appreciation Fund | \$50,001 - \$100,000 | None | NONE | |
| | Fidelity VIP Growth Opportunities Fund | \$15,001 - \$50,000 | None | NONE | |
| | Morgan Stanley Emerging Market Debt Fund | \$50,001 - \$100,000 | None | NONE | |
| | Neuberger and Berman Genesis Fund | \$15,001 - \$50,000 | None | NONE | |
| | Schwab 1000 Fund | \$15,001 - \$50,000 | None | NONE | |
| SP | Smith and Barney IRA - CitiGroup Capital Markets | \$1,001 - \$15,000 | None | NONE | |
| SP | Smith and Barney IRA - CitiGroup Capital Markets - Emerging Markets Investment | \$1,001 - \$15,000 | None | NONE | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jeb Hensarling

Page 4 of 6

| | | | | | |
|----|---|--------------------------|-----------|--------------------|--|
| SP | Smith and Barney IRA - CitiGroup Capital Markets - Large Capital Value Investent | \$1,001 - \$15,000 | None | NONE | |
| SP | Smith and Barney IRA - CitiGroup Capital Markets - Small Capital Value Investment | \$1,001 - \$15,000 | None | NONE | |
| SP | Smith and Barney IRA - International Equity Investments | \$1,001 - \$15,000 | None | NONE | |
| SP | Smith and Barney IRA - Large Capital Growth Investments | \$1,001 - \$15,000 | None | NONE | |
| SP | Smith and Barney IRA - Small Growth Investments | \$1,001 - \$15,000 | None | NONE | |
| | Vanquard S&P 500 Fund | \$250,001 - \$500,000 | DIVIDENDS | \$5,001 - \$15,000 | |

SCHEDULE IV - TRANSACTIONS

Name Jeb Hensarling

Page 5 of 6

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|--------------------------------------|------------------------|---|------------------------------------|-----------------------|
| | Aurora Acquisition Holdings, Inc | S | Yes | 09/07/2010 | \$15,001 - \$50,000 |
| | Fidelity Total Bond Fund | P | N/A | Dividends Reinvested monthly | \$1,001 - \$15,000 |
| | Fidelity Municipal Money Market Fund | P | N/A | Dividends Reinvested monthly | \$1,001 - \$15,000 |

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Jeb Hensarling

Page 6 of 6

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

| Source | Date(s) | Point of Departure-- Destination--Point of Return | Lodging? (Y/N) | Food? (Y/N) | Was a Family Member Included? (Y/N) | Days not at sponsor's expense |
|-------------------------|-------------------------------|--|-------------------|----------------|---|-------------------------------------|
| The Heritage Foundation | 01/14/2010 - 01/15/2010 | DC- Charlottesville, VA - TX | Y | Y | N | none |
| Club for Growth | 3/04/2010 - 03/05/2010 | DC - Palm Beach, FL - TX | Y | Y | N | none |

UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

Form A
 For use by Members, officers, and employees

Page 1 of 3

LEGISLATIVE RESOURCE CENTER

2011 MAY 16 PM 3:12

Name: Joe Linus Barton

Daytime Telephone: 202-225-2002

OFFICE OF THE CLERK
 U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED

MC

(Office Use Only)

Filer Status



Member of the U.S. House of Representatives

State: TX

District: 06



Officer or Employee

Employing Office:

Report Type



Annual (May 16, 2011)



Amendment



Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?
 If yes, complete and attach Schedule I.

Yes ☒

No ☐

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)?
 If yes, complete and attach Schedule VI.

Yes ☐

No ☒

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?
 If yes, complete and attach Schedule II.

Yes ☐

No ☒

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)?
 If yes, complete and attach Schedule VII.

Yes ☐

No ☒

III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?
 If yes, complete and attach Schedule III.

Yes ☒

No ☐

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?
 If yes, complete and attach Schedule VIII.

Yes ☐

No ☒

IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?
 If yes, complete and attach Schedule IV.

Yes ☐

No ☒

IX. Did you have any reportable agreement or arrangement with an outside entity?
 If yes, complete and attach Schedule IX.

Yes ☐

No ☒

V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?
 If yes, complete and attach Schedule V.

Yes ☐

No ☒

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes ☐

No ☒

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

Yes ☐

No ☒

SCHEDULE I—EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

[illegible]

For payments to charity in lieu of honoraria, use Schedule II.

Page 3 of 3

For additional assets and unearned income, use next page.

UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

Form A
For use by Members, officers, and employees

HAND DELIVERED Page 1 of 9

LEGISLATIVE RESOURCE CENTER

2011 MAY 13 PM 3:43

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Name: JOHN ABNEY CULBERSON

Daytime Telephone: (713) 682-8824

HC

(Office Use Only)

Filer
Status



Member of the U.S.
House of Representatives

State: TEXAS
District: 7



Officer or
Employee

Employing Office:

Report
Type



Annual (May 16, 2011)



Amendment



Termination

Termination Date:

**A \$200 penalty shall be assessed
against anyone who files more than
30 days late.**

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?
If yes, complete and attach Schedule I.

Yes ☒ No ☐

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?
If yes, complete and attach Schedule II.

Yes ☐ No ☒

III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?
If yes, complete and attach Schedule III.

Yes ☒ No ☐

IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?
If yes, complete and attach Schedule IV.

Yes ☒ No ☐

V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?
If yes, complete and attach Schedule V.

Yes ☒ No ☐

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)?
If yes, complete and attach Schedule VI.

Yes ☐ No ☒

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)?
If yes, complete and attach Schedule VII.

Yes ☒ No ☐

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?
If yes, complete and attach Schedule VIII.

Yes ☐ No ☒

IX. Did you have any reportable agreement or arrangement with an outside entity?
If yes, complete and attach Schedule IX.

Yes ☐ No ☒

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes ☐ No ☒

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

Yes ☐ No ☒

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

[illegible]

For payments to charity in lieu of honoraria, use Schedule II.

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics. A green envelope for transmitting the list is included in each Member's filing package.

[illegible]

Name John Culberson Page 4 of 9

[illegible]

Continuation Sheet (if needed)

~~John Callahan~~

Page 5 of 9

[illegible]

SCHEDULE IV— TRANSACTIONS

Name

John Callahan

Page 6 of 9

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

Type of Transaction

PURCHASE

SALE

EXCHANGE

Check Box if Capital Gain Exceeded \$200

Date

(MO/DAY/YR)
or
Quarterly,
Monthly, or
Bi-weekly, if
applicable

Amount of Transaction

| A | B | C | D | E | F | G | H | I | J |
|------------------|-------------------|--------------------|---------------------|---------------------|-----------------------|-------------------------|--------------------------|---------------------------|-------------------|
| \$1,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 | Over \$50,000,000 |

SP, DC, JT

Asset

SP

Example:

Mega Corporation Common Stock (partial sale)

X

10-12-10

CIVIL WAR / REPUBLIC OF TEXAS COLLECTIBLES

X

X

10/10

X

SCHEDULE V— LIABILITIES

Name

John Callaway

Page 7 of 7

Report liabilities of over \$10,000 owed to any one creditor **at any time** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report **revolving charge accounts** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

| SP, DC, JT | Creditor | | Date Liability Incurred Mo/Year | Type of Liability | Amount of Liability | | | | | | | | | |
|------------------|------------------|------------------------------|--|-------------------------------------|-----------------------|-----------------------|------------------------|-------------------------|-------------------------|---------------------------|-----------------------------|------------------------------|-------------------------------|----------------------|
| | | | | | A | B | C | D | E | F | G | H | I | J |
| | | | | | \$10,001- \$15,000 | \$15,001- \$50,000 | \$50,001- \$100,000 | \$100,001- \$250,000 | \$250,001- \$500,000 | \$500,001- \$1,000,000 | \$1,000,001- \$5,000,000 | \$5,000,001- \$25,000,000 | \$25,000,001- \$50,000,000 | Over \$50,000,000 |
| | Example: | First Bank of Wilmington, DE | May 1998 | Mortgage on 123 Main St., Dover, DE | | | | X | | | | | | |
| | AMERICAN EXPRESS | | | REVOLVING CREDIT | X | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than \$335 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$335 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

| Source | Description | Value |
|--|--|-------|
| <i>Example:</i> Mr. Joseph H. Smith, Anytown, Anystate | Silver Platter (determination on personal friendship received from Committee on Standards) | \$345 |
| | | |
| | | |
| | | |
| | | |
| | | |

Use additional sheets if more space is required.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

[illegible]

SCHEDULE VIII—POSITIONS

Name

John Callahan

Page

9 of 9

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

| Position | Name of Organization |
|----------|----------------------|
| | |
| | |
| | NONE |
| | |
| | |
| | |

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

| Date | Parties To | Terms of Agreement |
|------|------------|--------------------|
| | | |
| | | |
| | NONE | |
| | | |
| | | |
| | | |

UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

Page 1 of 6 LEGISLATIVE RESOURCE CENTER

2011 MAY 13 PM 12:09

Alexander Green

202-225-7508

(Full Name)

(Daytime Telephone)

U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED MC

(Office Use Only)

Filer
Status

☒ Member of the U.S.
House of Representatives State: TX
District: 9

☐ Officer Or
Employee

Employing Office:

Report
Type

☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall
be assessed against
anyone who files
more than 30 days
late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | | | |
|--|---|--|---|
| I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |
| V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | Each question in this part must be answered and the appropriate schedule attached for each "Yes" response. | |

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | | |
|--------------|--|---|
| Trusts-- | Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| Exemptions-- | Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

SCHEDULE I - EARNED INCOME

Name Alexander Green

Page 2 of 6

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

| Source | Type | Amount |
|---|-----------------------|----------|
| Law Offices of Benjamin L. Hall, III, L.P (DISBURSEMENT AGENT) | Deferred Compensation | \$26,924 |
| State of Texas-Texas County and Retirement System | Pension | \$96,948 |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Alexander Green

Page 3 of 6

| BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or | BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None." | BLOCK C Type of Income Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period. | BLOCK D Amount of Income For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated. | BLOCK E Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year. |
|--|---|---|--|---|
| 350 G Street, SW, #N208 Washington, DC | \$250,001 - \$500,000 | None | NONE | |
| 7447 Cambridge, #105 Houston, TX | \$100,001 - \$250,000 | RENT | \$15,001 - \$50,000 | |
| 7447 Cambridge, #107 Houston, TX | \$100,001 - \$250,000 | RENT | \$5,001 - \$15,000 | |
| 7447 Cambridge, #124 Houston, TX | \$100,001 - \$250,000 | RENT | \$5,001 - \$15,000 | |
| Capital One Bank Houston, TX | \$100,001 - \$250,000 | INTEREST | \$1 - \$200 | |
| Congressional Federal Credit Union | \$250,001 - \$500,000 | INTEREST | \$2,501 - \$5,000 | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Alexander Green

Page 4 of 6

| | | | | | |
|--|---|---------------------------|----------|----------------------|--|
| | Harris County, TX Deferred Compensation Program | \$100,001 - \$250,000 | None | NONE | |
| | Morgan Stanley-SEP IRA Houston, TX | \$15,001 - \$50,000 | None | NONE | |
| | Southwestern National Bank Houston, TX | \$100,001 - \$250,000 | INTEREST | \$1,001 - \$2,500 | |
| | Texas County and District Retirement System | \$1,000,001 - \$5,000,000 | Pension | \$50,001 - \$100,000 | |
| | Unity National Bank Houston, TX | \$50,001 - \$100,000 | INTEREST | \$201 - \$1,000 | |

SCHEDULE V - LIABILITIES

Name Alexander Green

Page 5 of 6

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

| SP, DC, JT | Creditor | Date Liability Incurred | Type of Liability | Amount of Liability |
|------------------|-----------------|-------------------------------|---|-----------------------|
| | Bank of America | December, 2004 | Mortgage on 350 G Street, SW Washington, DC | \$250,001 - \$500,000 |

SCHEDULE IX - AGREEMENTS

Name Alexander Green

Page 6 of 6

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

| Date | Parties To | Terms of Agreement |
|-----------|--|---|
| 4/14/2003 | Law Offices of Benjamin L. Hall, III, L.P. (DISBURSEMENT AGENT) | Deferred Compensation Earned For Legal Services Rendered Prior to running and subsequently being elected to Congress- Disbursed by the Law Offices of Benjamin L. Hall, III, L.P. |
| 1/31/2004 | Texas County and District Retirement System | Defined Benefit Plan |

UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT
FORM A

Page 1 of 5

For use by Members, officers, and employees

2011 MAY 16 PM 12:16

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES**HAND DELIVERED**

K. Michael Conaway

202-225-3605

(Full Name)

(Daytime Telephone)

(Office Use Only)

Filer Status
☒ Member of the U.S. House of Representative
 State: TX
 District: 11

☐ Officer Or Employee
 Employing Office:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.
Report Type
☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

| | |
|--|--|
| I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule I. | VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VI. |
| II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule II. | VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule VII. |
| III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule III. | VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule VIII. |
| IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule IV. | IX. Did you have any reportable agreement or arrangement with an outside entity? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule IX. |
| V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule V. | Each question in this part must be answered and the appropriate schedule attached for each "Yes" response. |

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

| | | |
|---------------------|--|---|
| Trusts- | Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| Exemptions-- | Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name K. Michael Conaway

Page 2 of 5

| BLOCK A | BLOCK B | BLOCK C | BLOCK D | BLOCK E |
|--|--|---|--|--|
| Asset and/or Income Source | Year-End Value of Asset | Type of Income | Amount of Income | Transaction |
| <p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or</p> | <p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p> | <p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p> | <p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p> | <p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p> |
| SEE ATTACHED | | | | |

| <u>K. MICHAEL CONAWAY</u> | | | | | |
|--|--|-------------------------------|------------------------------|--------------------------------|-----------------------|
| <u>Financial Disclosure Statement</u> | | | | | |
| <u>December 31, 2010</u> | | | | | |
| <u>Schedule III</u> | | | | | |
| <u>ASSETS AND "UNEARNED INCOME"</u> | | | | | |
| | <u>Block A</u> | <u>Block B</u> | <u>Block C</u> | <u>Block D</u> | <u>Block E</u> |
| | <u>Assets and Income Source</u> | <u>Value of Assets</u> | <u>Type of Income</u> | <u>Amount of Income</u> | <u>P,S,E</u> |
| <u>SP/DC/JT</u> | | | | <u>Current Year</u> | |
| | <u>Publicly traded common stock</u> | | | | |
| SP Trust | Novell | \$1 - \$1,000 | None | None | |
| SP Trust | CISCO Systems | \$1,001 - \$15,000 | None | None | |
| SP Trust | Micron Technology | \$1,001 - \$15,000 | None | None | |
| SP Trust | Teradyne Inc | \$1,001 - \$15,000 | None | None | |
| SP Trust | Microsoft Corp | \$1,001 - \$15,000 | Dividend | \$1 - \$200 | |
| SP Trust | Clayton Williams Energy | \$15,001 - \$50,000 | None | None | |
| SP Trust | Southwest Airlines | \$1,001 - \$15,000 | Dividend | \$1 - \$200 | |
| | <u>Money Market accounts</u> | | | | |
| SP Trust | Centennial Money Market Trust | \$1 - \$1,000 | None | 0 | |
| SP Trust | Merrill Lynch Money Fund | \$15,001 - \$50,000 | Interest | \$1 - \$200 | |
| SP Trust | RMA Money Market-UBS Paine Weber | \$50,001 - \$100,000 | Dividend | \$201 - \$1,000 | |
| | <u>Publicly traded mutual funds</u> | | | | |
| SP Trust | Dreyfus Premier Tax Managed Growth Fd Cl A | \$50,001 - \$100,000 | Dividend | \$201 - \$1,000 | |
| SP Trust | Eaton Vance Tax Managed Growth Fd Cl B | \$0 | Dividend | None | E |
| SP Trust | Eaton Vance Tax Managed Growth Fd Cl A | \$15,001 - \$50,000 | Dividend | \$201 - \$1,000 | E |
| SP Trust | Central Texas Regl Mobltty 4.45% 01/01/2018 | \$15,001 - \$50,000 | Interest | 0 | P |
| SP Trust | Federated Municipal High Yield Advantage Fd Cl F | \$50,001 - \$100,000 | Dividend | \$2,501 - \$5,000 | P |
| SP Trust | MFS Municipal High Income Fd Cl A | \$100,001 - \$250,000 | Dividend | \$5,001 - \$15,000 | P |
| SP Trust | Southwest Airlines | \$1,001 - \$15,000 | Dividend | \$1 - \$200 | |
| SP Trust | Micron Technology | \$1,001 - \$15,000 | None | None | |
| SP Trust | Wells Fargo Checking - Midland, Texas | \$15,001 - \$50,000 | Interest | \$1 - \$200 | |

| Block A | | Block B | Block C | Block D | Block E |
|---------------------------------|--|------------------------|------------------------------|-------------------------|----------------|
| Assets and Income Source | | Value of Assets | Type of Income | Amount of Income | P,S,E |
| SP/DC/JT | | | | Current Year | |
| | | | | | |
| SP Trust | Eaton Vance Tax Managed Growth Fund | \$15,001 - \$50,000 | Dividend | \$201 - \$1,000 | |
| SP Trust | Franklin Templeton Founding Fund | \$50,001 - \$100,000 | Dividend | \$1,001 - \$2,500 | P |
| SP Trust | UBS Money Fund Fund | \$1,001 - \$15,000 | Dividend | \$0 | |
| | | | | | |
| SP Trust | Centennial Money Market Trust | \$15,001 - \$50,000 | Dividend | \$1 - \$200 | |
| | | | | | |
| | Managed Trusts | | | | |
| SP Trust | Merrill Lynch Managed Account-Acct N | \$250,001 - \$500,000 | Dividend, Interest, Cap Gain | \$15,001 - \$50,000 | P/S |
| | <i>(Not self directed)</i> | | | | |
| | | | | | |
| SP Trust | Kidwell Exploration-S Corp, Midland, Texas | \$15,001 - \$50,000 | Oil & Gas Royalty | \$2,501 - \$5,000 | |
| SP Trust | Oil & Gas Mineral Interests | \$15,001 - \$50,000 | Oil & Gas Royalty | \$2,501 - \$5,000 | |
| SP Trust | La Otra Cattle Co - Partnership - Midland, Texas | \$15,001 - \$50,000 | Oil & Gas Royalty | \$5,001 - \$15,000 | |
| | | | | | |
| SP Trust | Telephonica Moviles Argentina SA BA Ord | 0 | None | None | E |
| SP Trust | Telephonica de Argentina SA Ord Cl B | 0 | None | None | E |
| SP Trust | Argentine Pesos | \$1,001 - \$15,000 | None | None | E |
| | | | | | |
| JT | Conawell Corporation-S Corp, Midland, Texas | \$50,001 - \$100,000 | None | None | |
| | <i>Passive investment entity</i> | | | | |
| JT | Merrill Lynch Money Fund | \$1,001 - \$15,000 | Interest | \$1 - \$200 | |
| JT | Clayton Williams Energy - common stock | \$15,001 - \$50,000 | None | None | |
| JT | Wells Fargo Bank - checking - Midland, Texas | \$1,001 - \$15,000 | Interest | \$1 - \$200 | |
| JT | Wells Fargo Bank - savings - Midland, Texas | \$1,001 - \$15,000 | Interest | \$1 - \$200 | |
| JT | Wright Patman Federal Credit Union - checking | \$1,001 - \$15,000 | None | None | |
| JT | Wright Patman Federal Credit Union - savings | \$1,001 - \$15,000 | Interest | \$1 - \$200 | |
| JT | Novell, Inc | \$1 - \$1,000 | None | None | |
| JT | Turbosonic Technologies | \$1 - \$1,000 | None | None | |
| JT | Centennial Money Market | \$15,001 - \$50,000 | Dividend | \$1 - \$200 | |
| | | | | | |
| KMC | Oil & Gas Mineral Interests - Midland, Texas | \$15,001 - \$50,000 | Oil & Gas Royalty | \$2,501 - \$5,000 | |

| Block A | | Block B | Block C | Block D | Block E |
|---------------------------------|--|-------------------------|-------------------------------|-------------------------|----------------|
| Assets and Income Source | | Value of Assets | Type of Income | Amount of Income | P,S,E |
| SP/DC/JT | | | | Current Year | |
| KMC | KMC, Inc. - Investments - Midland, Texas | \$1,001 - \$15,000 | Dividend | \$1 - \$200 | |
| KMC | Conabain Corp - Oil & Gas - Midland, Texas | \$1 - \$1,000 | Oil & Gas Royalty | \$1 - \$200 | |
| | | | | | |
| | Publicly traded common stock | | | | |
| KMC | HKN, Inc. *** | \$1 - \$1,000 | None | None | |
| | | | | | |
| | Publicly traded mutual funds | | | | |
| SP | Van Kampen Tax Exempt High Yield Fund | \$100,001 - \$250,000 | Dividend | \$5,001 - \$15,000 | P |
| SP | Virtus Intermediate Tax-Exempt Bond FD CL A | \$50,001 - \$100,000 | Dividend | \$2,501 - \$5,000 | P |
| SP | Oppenheimer Rochester Nat'l Muni Fund Cl A | \$1 - \$1,000 | Dividend | \$1 - \$200 | P |
| SP | Merrill Lynch Bank & Trust | \$500,001 - \$1,000,000 | Interest | \$2,501 - \$5,000 | |
| SP | UBS Bank USA Dep Acct | \$15,001 - \$50,000 | Dividend | \$1 - \$200 | |
| SP | Federated Strategic Income Fund | \$15,001 - \$50,000 | Dividend & Capital Gain | \$1 - \$200 | P |
| SP | Federated Market Opportunities Fund | \$1,001 - \$15,000 | Dividend | \$1 - \$200 | |
| SP | Federated Kaufman Fund | \$15,001 - \$50,000 | Dividend & Capital Gain | \$1 - \$200 | |
| SP | Federated Capital Appreciation Fund | \$15,001 - \$50,000 | Dividend & Capital Gain | \$201 - \$1,000 | |
| SP | Franklin Templeton Founding Fund | \$15,001 - \$50,000 | Dividend & Capital Gain | \$1,001 - \$2,500 | |
| SP | DWS Short Term Muni Bond Fund Cl A | \$250,001 - \$500,000 | Dividend-TE | \$5,001 - \$15,000 | P |
| SP | Bank of America CD .400% 02/19/10 | \$0 | Interest | \$201 - \$1,000 | S |
| SP | Bank of America CD .100% 04/08/10 | \$0 | Interest | \$201 - \$1,000 | S |
| SP | Ally Bank CD .550% 05/14/10 | \$0 | Interest | \$201 - \$1,000 | S |
| SP | Metlife Bank CD .750% 08/10/10 | \$0 | Interest | \$201 - \$1,000 | S |
| SP | Dallas Co TX UTL 5.00% 02/15/2024 | \$250,001 - \$500,000 | Interest | \$15,001 - \$50,000 | |
| | | | | | |
| SP | Wells Fargo Bank - CD 5.88% 01/06/10 | \$0 | Interest | \$1 - \$200 | S |
| SP | Wells Fargo Bank - Midland, Texas | \$1,001 - \$15,000 | Interest | \$1 - \$200 | |
| | | | | | |
| SP | La Otra Cattle Co - Partnership - Midland, Texas | \$15,001 - \$50,000 | Oil & Gas Royalty | \$5,001 - \$15,000 | |
| SP | Kidwell Exploration, S Corp-Midland, Texas | \$15,001 - \$50,000 | Oil & Gas Royalty | \$2,501 - \$5,000 | |
| SP | SV Investments, Ltd. | \$50,001 - \$100,000 | Investment Partnership | \$15,001 - \$50,000 | |
| SP | Prudential SSA | NA | Structured Settlement Payment | \$50,001 - \$100,000 | |
| SP | Met life Insurance | NA | Structured Settlement Payment | \$50,001 - \$100,000 | |

| Block A | | Block B | Block C | Block D | Block E |
|--|--|-------------------------------|-------------------------------|--------------------------------|---------------------|
| <u>Assets and Income Source</u> | | <u>Value of Assets</u> | <u>Type of Income</u> | <u>Amount of Income</u> | <u>P,S,E</u> |
| SP/DC/JT | | | | Current Year | |
| SP | Allstate Insurance | NA | Structured Settlement Payment | \$15,001 - \$50,000 | |
| JT-IRA | JP Morgan Chase common stock | \$50,001 - \$100,000 | Dividend | \$201 - \$1,000 | P |
| JT-IRA | IBM common stock | \$50,001 - \$100,000 | Dividend | \$1,001 - \$2,500 | P |
| SP | Invesco Constellation Fund * | \$15,001 - \$50,000 | Dividend & Capital Gain | None | |
| SP | Alliance Bernstein Growth Fund | \$15,001 - \$50,000 | Dividend | None | |
| SP | Federated Strategic Income Fund | \$1,001 - \$15,000 | Dividend & Capital Gain | \$1 - \$200 | |
| SP | Federated Market Opportunities Fund | \$1,001 - \$15,000 | Dividend & Capital Gain | \$1 - \$200 | |
| SP | Federated Kaufman Fund | \$1,001 - \$15,000 | Dividend & Capital Gain | \$1 - \$200 | |
| SP | Federated Capital Appreciation Fund | \$1,001 - \$15,000 | Dividend & Capital Gain | None | |
| SP | UBS U.S. Allocation Fund | \$15,001 - \$50,000 | Dividend | \$201 - \$1,000 | P |
| SP | MFS Core Equity Fund Class A | \$15,001 - \$50,000 | Dividend | \$201 - \$1,000 | |
| SP | UBS PW Retirement Money Fund | \$0 | Dividend | 0 | S |
| JT-IRA | Invesco Constellation Fund * | \$1,001 - \$15,000 | Dividend | 0 | |
| JT-IRA | Valley National Bancorp | \$1,001 - \$15,000 | Dividend | \$1 - \$200 | |
| JT-IRA | FIA Card Svcs NA RASP ** | \$1,001 - \$15,000 | Dividend | 0 | |
| JT-IRA | Centennial Money Market Trust/AGE | \$1,001 - \$15,000 | Dividend | 0 | |
| JT-IRA | Putnam Global Health Care Fund Cl A | \$1,001 - \$15,000 | Dividend & Capital Gain | 0 | |
| JT-IRA | Eaton Vance Special Investment Trust | \$1,001 - \$15,000 | Dividend & Capital Gain | \$1 - \$200 | |
| SP | Edward Jones Money Market | \$1,001 - \$15,000 | Dividend | 0 | |
| SP | Port Seattle Washington Rev Bd 10/01/08 | \$1,001 - \$15,000 | Interest | \$201 - \$1,000 | |
| SP | Chicago Il Midway Rev Bd 01/01/2011 | \$1,001 - \$15,000 | Interest | \$201 - \$1,000 | |
| SP | Dallas/Ft Worth Intl Airport Rev Bd 11/01/2009 | \$1,001 - \$15,000 | Interest | \$201 - \$1,000 | |
| SP | Willits CA Uni Sch ISD 0.0% 07/15/2039 | \$1,001 - \$15,000 | Interest | None | P |
| SP | Van Kampen S&P Div Gr | \$15,001 - \$50,000 | Dividend | None | P |
| SP | Van Kampen Unit Trust 490 Series 18 | \$1,001 - \$15,000 | Dividend | \$1 - \$200 | |
| SP | AT&T Common Stock | \$1,001 - \$15,000 | Dividend | \$1 - \$200 | |
| SP | Bank of America | \$1,001 - \$15,000 | Dividend | \$1 - \$200 | P |
| SP | Campbell Soup Common Stock | \$1,001 - \$15,000 | Dividend | \$1 - \$200 | |

| <u>Block A</u> | | <u>Block B</u> | <u>Block C</u> | <u>Block D</u> | <u>Block E</u> |
|---------------------------------|--|------------------------|------------------------------|-------------------------|----------------|
| <u>Assets and Income Source</u> | | <u>Value of Assets</u> | <u>Type of Income</u> | <u>Amount of Income</u> | <u>P,S,E</u> |
| <u>SP/DC/JT</u> | | | | <u>Current Year</u> | |
| SP | Coca-Cola Common Stock | \$1,001 - \$15,000 | Dividend | \$1 - \$200 | |
| SP | Microsoft Corp common Stock | \$1,001 - \$15,000 | Dividend | \$1 - \$200 | |
| SP | AMCAP Fund Cl A | \$1,001 - \$15,000 | Dividend & Capital Gain | \$1 - \$200 | |
| SP | American Balanced Fund Cl A | \$1,001 - \$15,000 | Dividend & Capital Gain | \$201 - \$1,000 | |
| SP | American International Fund Cl A | \$15,001 - \$50,000 | Dividend & Capital Gain | \$201 - \$1,000 | P |
| SP | American Mutual Fund Cl A | \$1,001 - \$15,000 | Dividend & Capital Gain | \$201 - \$1,000 | |
| SP | Capital Income Builder Fund Cl A | \$15,001 - \$50,000 | Dividend & Capital Gain | \$201 - \$1,000 | |
| SP | Capital World Growth & Income Fund Cl A | \$50,001 - \$100,000 | Dividend & Capital Gain | \$201 - \$1,000 | P |
| SP | Columbia Tax Exempt Fund Cl A | \$15,001 - \$50,000 | Interest-exempt | \$1,001 - \$2,500 | P |
| SP | Euro Pacific Growth Fund Cl A | \$15,001 - \$50,000 | Dividend & Capital Gain | \$1 - \$200 | |
| SP | Fundamental Investors Fund Cl A | \$50,001 - \$100,000 | Dividend & Capital Gain | \$201 - \$1,000 | |
| SP | Growth Fund of America Cl A | \$15,001 - \$50,000 | Dividend & Capital Gain | \$201 - \$1,000 | |
| SP | Hartford Global Health Fund Cl A | \$1,001 - \$15,000 | Dividend & Capital Gain | \$1 - \$200 | |
| SP | Hartford Dividend & Growth Cl A | \$1,001 - \$15,000 | Dividend & Capital Gain | None | E |
| SP | Hartford Stock Fund Cl A | 0 | None | None | E |
| SP | Investment Company of America Cl A | \$15,001 - \$50,000 | Dividend & Capital Gain | \$201 - \$1,000 | |
| SP | New World Fund Cl A | \$1,001 - \$15,000 | Dividend & Capital Gain | \$1 - \$200 | |
| SP | Putnam Global Health Care Fund Cl A | \$1,001 - \$15,000 | Dividend & Capital Gain | None | |
| SP | Tax Exempt Bond Fund of America Cl A | \$1,001 - \$15,000 | Dividend | \$201 - \$1,000 | |
| SP | Washington Mutual Investors Fund Cl A | \$50,001 - \$100,000 | Dividend & Capital Gain | \$1,001 - \$2,500 | P |
| SP-IRA | Washington Mutual Investors Fund Cl A | \$1,001 - \$15,000 | Dividend & Capital Gain | \$1 - \$200 | |
| SP | Edward Jones Managed Account (Not self directed) | \$100,001 - \$250,000 | Dividend, Interest, Cap Gain | \$2,501 - \$5,000 | P/S |
| SP | Farm Land Eastland County, Texas 2/3 undivided interest in 89 acres | \$100,001 - \$250,000 | | None | |
| SP | Various Louisiana oil & gas royalty interests | \$15,001 - \$50,000 | Oil & Gas Royalty interests | \$5,001 - \$15,000 | |
| SP | Wells Fargo Bank Account-Beneficial Interest | \$1,001 - \$15,000 | Interest | \$1 - \$200 | |

| <u>Block A</u> | | <u>Block B</u> | <u>Block C</u> | <u>Block D</u> | <u>Block E</u> |
|---------------------------------|---|------------------------|-----------------------|-------------------------|----------------|
| <u>Assets and Income Source</u> | | <u>Value of Assets</u> | <u>Type of Income</u> | <u>Amount of Income</u> | <u>P,S,E</u> |
| <u>SP/DC/JT</u> | | | | <u>Current Year</u> | |
| | Whole Life Insurance Policies | | | | |
| KMC | American General Life | \$15,001 - \$50,000 | None | None | |
| KMC | American General Life | \$1,001 - \$15,000 | None | None | |
| KMC | American General Life | \$1,001 - \$15,000 | None | None | |
| KMC | Northwestern Mutual | \$1,001 - \$15,000 | None | None | |
| KMC | Northwestern Mutual | \$1,001 - \$15,000 | None | None | |
| KMC | Northwestern Mutual | \$1,001 - \$15,000 | None | None | |
| KMC | Northwestern Mutual | \$1,001 - \$15,000 | None | None | |
| KMC | Northwestern Mutual | \$15,001 - \$50,000 | None | None | |
| KMC | Northwestern Mutual | \$15,001 - \$50,000 | None | None | |
| KMC | Northwestern Mutual | \$15,001 - \$50,000 | None | None | |
| | | | | | |
| | | | | | |
| | Invesco Constellation Fund * | | | | |
| | name change from AIM Constellation Fund | | | | |
| | | | | | |
| | FIA Card Svcs NA RASP ** | | | | |
| | name change from ML Bank USA RASP | | | | |
| | | | | | |
| | HKN, Inc. *** | | | | |
| | name change from Harkin Energy | | | | |

SCHEDULE IV - TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|--------------|------------------------|---|------|-----------------------|
| | SEE ATTACHED | | | | |

Financial Disclosure Statement

December 31, 2010

TRANSACTIONS

| SP/DC/JT | Asset | Type of Transaction | CG | Date | Amount |
|----------|--|---------------------|------|----------|---------------------|
| | | Purchase | Sale | Exchange | |
| SP Trust | Merrill Lynch Managed Account-Acct N - various | X | X | | |
| SP Trust | Magnolia Texas ISD Bonds 5.125% 08/15/2019 | | X | | |
| SP Trust | Central Texas Regl Mobly 4.45% 01/01/2018 | X | | | |
| SP | Bank of America CD .400% 02/19/10 | | X | | |
| SP | Bank of America CD .100% 04/08/10 | | X | | |
| SP | Ally Bank CD .550% 05/14/10 | | X | | |
| SP | Mettife Bank CD .750% 08/10/10 | | X | | |
| SP | UBS PW Retirement Money Fund | | X | | |
| SP Trust | Eaton Vance Tax Managed Growth Fd Cl B | | | X | |
| SP Trust | Eaton Vance Tax Managed Growth Fd Cl A | | | X | |
| SP | Willis CA Uni Sch ISD 0.0% 07/15/2039 | X | | | |
| SP | Van Kampen S&P Div Gr | X | | | |
| SP | American International Fund Cl A | X | | | |
| SP | Hartford Stock Fund Cl A | | | X | |
| SP | Hartford Dividend & Growth Cl A | | | X | |
| SP | Wells Fargo Bank - CD 03/06/10 | | X | | |
| SP | Edward Jones Managed Account - various | X | X | | |
| SP Trust | ACT Manufacturing (not reported in 2009, value less than \$1) | | X | | |
| SP | Bank of America | X | | | |
| SP Trust | Telephonica Moviles Argentina SA BA Ord | | | X | |
| SP Trust | Telephonica de Argentina SA Ord Cl B | | | X | |
| SP Trust | Argentine Pesos | | | X | |
| | | | | | |
| | | | | | |
| | The following are multiple dividend and capital gain reinvestments during the year | | | | |
| | | | | | |
| SP | Capital World Growth & Income Fund Cl A | X | | | \$201 - \$1,000 |
| SP | Columbia Tax Exempt Fund Cl A | X | | | \$1,001 - \$15,000 |
| SP | Washington Mutual Investors Fund Cl A | X | | | \$1,001 - \$15,000 |
| SP Trust | Franklin Templeton Founding Fund | X | | | \$1,001 - \$15,000 |
| SP Trust | Federated Municipal High Yield Advantage Fd Cl B | X | | | \$1,001 - \$15,000 |
| SP Trust | MFS Municipal High Income Fd Cl A | X | | | \$1,001 - \$15,000 |
| JT-IRA | JP Morgan Chase common stock | X | | | \$201 - \$1,000 |
| JT-IRA | IBM common stock | X | | | \$1,001 - \$15,000 |
| SP | Van Kampen Tax Exempt High Yield Fund | X | | | \$1,001 - \$15,000 |
| SP | Oppenheimer Rochester Nat'l Muni Fund Cl A | X | | | \$1-\$200 |
| SP | Federated Strategic Income Fund | X | | | \$1-\$200 |
| SP | UBS U.S. Allocation Fund | X | | | \$201 - \$1,000 |
| SP Trust | Merrill Lynch Managed Account-Acct N | X | | | \$15,001 - \$50,000 |
| SP | DWS Short Term Muni Bond Fund Cl A | X | | | \$1,001 - \$15,000 |
| SP | Virtus Intermediate Tax-Exempt Bond FD Cl A | X | | | \$1,001 - \$15,000 |

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name K. Michael Conaway

Page 4 of 5

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

| Source | Date(s) | Point of Departure— Destination—Point of Return | Lodging? (Y/N) | Food? (Y/N) | Was a Family Member Included? (Y/N) | Days not at sponsor's expense |
|--------------|---------|--|-------------------|----------------|---|-------------------------------------|
| SEE ATTACHED | | | N | N | N | |

K. MICHAEL CONAWAY**Financial Disclosure Statement****December 31, 2010****Schedule VII****Travel Payments And Reimbursements**

| Source | Date(s) | Point of Departure-Destination- Point of Return | Lodging? (Y/N) | Food? (Y/N) | Was a Family Member Included? (Y/N) | Number of days not at sponsor's expenses |
|---|-----------------|--|-------------------|----------------|---|---|
| National Association of State Boards of Accountancy, Inc. | Oct 23-Oct 26 | Midland, TX - Phoenix, AZ - Midland, TX (S) Midland, TX - Phoenix, AZ - Washington, DC (M) <i>Unrelated to official duties</i> | Y | Y | Y | 0 |
| Congress of Tomorrow 2010 | Jan 28 - Jan 30 | Washington, DC - Baltimore, MD - Washington, DC <i>Republican Party Retreat</i> <i>Expenses paid by Member</i> | N | N | Y | 3 |
| Texas Republican Representative Campaign Committee | May 22 - May 24 | Washington, DC - San Antonio, TX- Washington, DC <i>Expenses paid by Member</i> | N | N | Y | 3 |

SCHEDULE VIII - POSITIONS

Name K. Michael Conaway

Page 5 of 5

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

| Position | Name of Organization |
|--------------|----------------------|
| SEE ATTACHED | |

K. MICHAEL CONAWAY

Financial Disclosure Statement

December 31, 2010

Schedule VIII

POSITIONS

| <u>Position</u> | <u>Name of Organization</u> | <u>2010</u> | <u>2009</u> |
|--|--|--------------------|--------------------|
| Board member - Lifetime | United Way of Midland | Yes | Yes |
| School of Business Advisory Council | University of Texas Permian Basin | Yes | Yes |
| Board of Directors and Rodeo Committee | Sandhills Hereford & Quarterhorse Show, Inc. | Yes | Yes |
| Sole Proprietor | K. Michael Conaway, CPA | Yes | Yes |
| Board of directors - President | Conawell Corporation | Yes | Yes |
| Board of directors - President | Conabain Corporation | Yes | Yes |
| Board of directors - President | KMC, Inc. | Yes | Yes |
| Board of directors - Vice-President | Kidwell Exploration | Yes | Yes |

UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A

Page 1 of 5 LEGISLATIVE RESOURCE CENTER

For use by Members, officers, and employees

2011 MAY 13 AM 11:34

William McClellan "Mac" Thornberry
(Full Name)

202-225-3706
(Daytime Telephone)

U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED
(Office Use Only)

Filer
Status

☒ Member of the U.S.
House of Representatives

State: TX
District: 13

☐ Officer Or
Employee

Employing Office:

Report
Type

☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

**A \$200 penalty shall
be assessed against
anyone who files
more than 30 days
late.**

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | |
|---|---|
| I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule I. | VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VI. |
| II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule II. | VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VII. |
| III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule III. | VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule VIII. |
| IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule IV. | IX. Did you have any reportable agreement or arrangement with an outside entity? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule IX. |
| V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule V. | Each question in this part must be answered and the appropriate schedule attached for each "Yes" response. |

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | |
|--|---|
| Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

SCHEDULE I - EARNED INCOME

Name William McClellan "Mac" Thornberry

Page 2 of 5

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

| Source | Type | Amount |
|------------------------|---------------|--------|
| Embassy of Switzerland | Spouse Salary | N/A |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William McClellan "Mac" Thornberry

Page 3 of 5

| <p>BLOCK A</p> <p>Asset and/or Income Source</p> <p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or</p> | <p>BLOCK B</p> <p>Year-End Value of Asset</p> <p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p> | <p>BLOCK C</p> <p>Type of Income</p> <p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p> | <p>BLOCK D</p> <p>Amount of Income</p> <p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p> | <p>BLOCK E</p> <p>Transaction</p> <p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p> |
|---|--|--|---|--|
| <p>1/3 Ownership- Thornberry Brothers Cattle Partnership- Clarendon, TX</p> | <p>\$250,001 - \$500,000</p> | <p>PARTNERSHIP INCOME</p> | <p>\$5,001 - \$15,000</p> | |
| <p>Congressional Federal Credit Union</p> | <p>\$1,001 - \$15,000</p> | <p>INTEREST</p> | <p>\$1 - \$200</p> | |
| <p>DC- Congressional Federal Credit Union</p> | <p>\$1,001 - \$15,000</p> | <p>INTEREST</p> | <p>\$1 - \$200</p> | |
| <p>DC- Congressional Federal Credit Union</p> | <p>\$1,001 - \$15,000</p> | <p>INTEREST</p> | <p>\$1 - \$200</p> | |

SCHEDULE V - LIABILITIES

Name William McClellan "Mac" Thornberry

Page 4 of 5

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

| SP, DC, JT | Creditor | Date Liability Incurred | Type of Liability | Amount of Liability |
|------------------|-------------------------|-------------------------------|-------------------|---------------------|
| DC | Department of Education | August 2007 | Student Loan | \$10,001 - \$15,000 |

SCHEDULE VIII - POSITIONS

Name William McClellan "Mac" Thornberry

Page 5 of 5

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

| Position | Name of Organization |
|----------|---|
| Partner | Thornberry Brothers Cattle- Clarendon, TX |

UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A Page 1 of 9
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2010 MAY 13 PM 2:30

(Office Use Only)

U.S. HOUSE OF REPRESENTATIVES

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

Ronald E. Paul
(Full Name)

979-297-3034
(Daytime Telephone)

Filer Status

☒ Member of the U.S. House of Representatives
State: TX
District: 14

☐ Officer Or Employee

Employing Office:

Report Type

☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | |
|---|---|
| <p>I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule I.</p> | <p>VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VI.</p> |
| <p>II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule II.</p> | <p>VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VII.</p> |
| <p>III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule III.</p> | <p>VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule VIII.</p> |
| <p>IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule IV.</p> | <p>IX. Did you have any reportable agreement or arrangement with an outside entity? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule IX.</p> |
| <p>V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule V.</p> | <p>Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.</p> |

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | | |
|---------------------|--|---|
| Trusts- | Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| Exemptions-- | Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

SCHEDULE I - EARNED INCOME

Name Ronald E. Paul

Page 2 of 9

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

| Source | Type | Amount |
|---|-----------------|----------|
| Ronald E. Paul, M.D. Defined Benefit Pension Plan | Medical Pension | \$91,185 |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ronald E. Paul

Page 3 of 9

| BLOCK A | BLOCK B | BLOCK C | BLOCK D | BLOCK E |
|--|---|---|--|---|
| Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or | Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None." | Type of Income Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period. | Amount of Income For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated. | Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year. |
| 58 Flag Lake Plaza, Lake Jackson, TX (CARR, Ltd.) | \$100,001 - \$250,000 | Partnership Income | \$5,001 - \$15,000 | |
| Acreage, 9 BT Archer, Oyster Creek, TX | \$50,001 - \$100,000 | None | NONE | |
| Agnico Eagle Mines Common Stock | \$100,001 - \$250,000 | DIVIDENDS | \$1,001 - \$2,500 | |
| Alumina Common Stock | \$15,001 - \$50,000 | DIVIDENDS | \$201 - \$1,000 | |
| AngloGold Ashanti Ltd | \$100,001 - \$250,000 | DIVIDENDS | \$201 - \$1,000 | |
| Brigus Gold Corp COM MPV (formerly Apollo Gold Corp) | \$1,001 - \$15,000 | None | NONE | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ronald E. Paul

Page 4 of 9

| | | | | | |
|--|--|-------------------------|--------------------|-------------------------|---------|
| | Barrick Gold Corp Common Stock | \$250,001 - \$500,000 | DIVIDENDS | \$1,001 - \$2,500 | |
| | Carona Ltd. (Farmland and Rental Property) Hwy 332, Lake Jackson, TX | \$250,001 - \$500,000 | Partnership Income | \$100,001 - \$1,000,000 | |
| | Claude Research Inc C Stock | \$15,001 - \$50,000 | None | NONE | |
| | Coeur D'Alene Mines Corp | \$1,001 - \$15,000 | None | NONE | |
| | Condominium, Alexandra, VA | \$100,001 - \$250,000 | None | NONE | |
| | Congressional Federal Credit Union, Washington, DC | \$1 - \$1,000 | INTEREST | \$1 - \$200 | |
| | Dundee Bancorp Inc. Class A Common Stock | \$1,001 - \$15,000 | None | NONE | |
| | Eldorado Gold Corp | \$50,001 - \$100,000 | None | NONE | |
| | First National Bank of Lake Jackson | \$250,001 - \$500,000 | INTEREST | \$5,001 - \$15,000 | |
| | Gold Corp Inc Class A (partial sale) | \$500,001 - \$1,000,000 | DIVIDENDS | \$2,501 - \$5,000 | S(part) |
| | Golden Star Res Ltd | \$15,001 - \$50,000 | None | NONE | |
| | Great Basin Gold Ltd | \$1,001 - \$15,000 | None | NONE | |
| | IAM Gold Corp | \$100,001 - \$250,000 | DIVIDENDS | \$1,001 - \$2,500 | |
| | Kinross Common Stock | \$15,001 - \$50,000 | DIVIDENDS | \$1 - \$200 | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ronald E. Paul

Page 5 of 9

| | | | | | |
|--|---|--------------------------|-----------|-------------------|--|
| | Lexam Explorations Inc. | \$1,001 - \$15,000 | None | NONE | |
| | Mag Silver Corp | \$50,001 - \$100,000 | None | NONE | |
| | Metalline Mining Co. | \$1,001 - \$15,000 | None | NONE | |
| | Mutual Securities Inc. Agora Hills, CA | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | Newmont Mining Corp C Stock | \$250,001 - \$500,000 | DIVIDENDS | \$2,501 - \$5,000 | |
| | Pan American Silver | \$50,001 - \$100,000 | DIVIDENDS | \$201 - \$1,000 | |
| | Petrol Oil & Gas Inc. | \$1 - \$1,000 | None | NONE | |
| | Prudent Bear Mutual Fund, Dallas, TX | \$1,001 - \$15,000 | INTEREST | \$1 - \$200 | |
| | Rydex Dynamic Venture Mutual Fund, Rockville, MD | \$1,001 - \$15,000 | INTEREST | \$1 - \$200 | |
| | Rydex-Ursa Mutual Fund, Rockville, MD | \$1,001 - \$15,000 | INTEREST | \$1 - \$200 | |
| | Silver Wheaton Corp Common ISIN | \$50,001 - \$100,000 | None | NONE | |
| | Texas Dow Employees Credit Union, Lake Jackson, TX | \$100,001 - \$250,000 | INTEREST | \$1,001 - \$2,500 | |
| | Texas Gulf Bank, N.A., Lake Jackson, TX | \$1,001 - \$15,000 | INTEREST | \$1 - \$200 | |
| | Virginia Mines Inc. Common Stock | \$15,001 - \$50,000 | None | NONE | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ronald E. Paul

Page 6 of 9

| | | | | | |
|--|------------------------------------|-----------------------|------|------|---|
| | Vista Gold Corp Common Stock | \$1 - \$1,000 | None | NONE | |
| | Viterra Inc Common | \$1 - \$1,000 | None | NONE | |
| | Wesdome Gold Mines Ltd | \$1,001 - \$15,000 | None | NONE | |
| | Allied Nevada Gold Corp Com Stk | \$1,001 - \$15,000 | None | NONE | P |
| | Hecla Mining Co | \$1,001 - \$15,000 | None | NONE | P |

SCHEDULE IV - TRANSACTIONS

Name Ronald E. Paul

Page 7 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|--------------------------------------|------------------------|---|----------|-----------------------|
| | Gold Corp Inc Class A (partial sale) | S(part) | No | 09-28-10 | \$50,001 - \$100,000 |

SCHEDULE V - LIABILITIES

Name Ronald E. Paul

Page 8 of 9

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

| SP, DC, JT | Creditor | Date Liability Incurred | Type of Liability | Amount of Liability |
|------------------|-------------------------------------|-------------------------------|-------------------|-----------------------|
| | First National Bank of Lake Jackson | March 2010 | Personal Loan | \$250,001 - \$500,000 |

SCHEDULE VIII - POSITIONS

Name Ronald E. Paul

Page 9 of 9

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

| Position | Name of Organization |
|-------------------|---|
| President | Ronald E. Paul, M.D. Association |
| Partner | Carona Limited |
| Partner | CARR, Ltd. |
| Honorary Chairman | Foundation for Rational Economics and Education, Inc. |
| Honorary Chairman | The Campaign for Liberty, Inc. |

| | | | | |
|---|--|---|---|--|
| UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT | | FORM A For use by Members, officers, and employees | | Page 1 of 3 2011 MAY 13 PM 4:31 LEGISLATIVE RESOURCE CENTER |
| Silvestre Reyes (Full Name) | | 202-225-4831 (Daytime Telephone) | | OFFICE OF THE CLERK U.S. HOUSE OF REPRESENTATIVES HAND DELIVERED (Office Use Only) |
| Filer Status | <input checked="" type="checkbox"/> Member of the U.S. House of Representatives State: TX District: 16 | <input type="checkbox"/> Officer Or Employee Employing Office: | A \$200 penalty shall be assessed against anyone who files more than 30 days late. | |
| Report Type | <input checked="" type="checkbox"/> Annual (May 15) | <input type="checkbox"/> Amendment | | |

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

| | |
|---|---|
| <p>I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule I.</p> | <p>VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VI.</p> |
| <p>II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule II.</p> | <p>VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VII.</p> |
| <p>III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule III.</p> | <p>VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule VIII.</p> |
| <p>IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule IV.</p> | <p>IX. Did you have any reportable agreement or arrangement with an outside entity? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule IX.</p> |
| <p>V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule V.</p> | <p style="text-align: center;">Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.</p> |

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

| | | |
|---------------------|--|---|
| Trusts- | Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| Exemptions-- | Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Silvestre Reyes

Page 2 of 3

| <p>BLOCK A</p> <p>Asset and/or Income Source</p> <p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or</p> | <p>BLOCK B</p> <p>Year-End Value of Asset</p> <p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p> | <p>BLOCK C</p> <p>Type of Income</p> <p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p> | <p>BLOCK D</p> <p>Amount of Income</p> <p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p> | <p>BLOCK E</p> <p>Transaction</p> <p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p> |
|---|--|--|---|--|
| <p>JT Government Employees Credit Union</p> | <p>\$1,001 - \$15,000</p> | <p>INTEREST</p> | <p>\$1 - \$200</p> | |

SCHEDULE VIII - POSITIONS

Name Silvestre Reyes

Page 3 of 3

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

| Position | Name of Organization |
|-----------|---|
| Board | Congressional Hispanic Caucus Institute |
| President | Texas State Society |

| | | | | |
|---|---|--|-------------------------------------|--|
| UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT | | FORM A For use by Members, officers, and employees | | Page 1 of 1 LEGISLATIVE RESOURCE CENTER MAY 13 PM 12:26 U.S. HOUSE OF REPRESENTATIVES HAND DELIVERED (Office Use Only) |
| ROBERT RANDOLPH NEUGEBAUER (Full Name) | | | 202-225-4005 (Daytime Telephone) | |
| Filer Status | <input checked="" type="checkbox"/> Member of the U.S. House of Representatives State: TX District: 19 | <input type="checkbox"/> Officer Or Employee | Employing Office: | |
| Report Type | <input checked="" type="checkbox"/> Annual (May 15) <input type="checkbox"/> Amendment <input type="checkbox"/> Termination | Termination Date: | | |

md

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | |
|--|--|
| <p>I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule I.</p> | <p>VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VI.</p> |
| <p>II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule II.</p> | <p>VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule VII.</p> |
| <p>III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule III.</p> | <p>VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule VIII.</p> |
| <p>IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule IV.</p> | <p>IX. Did you have any reportable agreement or arrangement with an outside entity? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule IX.</p> |
| <p>V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule V.</p> | <p>Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.</p> |

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | | |
|---------------------|--|---|
| Trusts-- | Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| Exemptions-- | Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT RANDOLPH NEUGEBAUER

Page 2 of 23

| <p>BLOCK A</p> <p>Asset and/or Income Source</p> <p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or</p> | <p>BLOCK B</p> <p>Year-End Value of Asset</p> <p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p> | <p>BLOCK C</p> <p>Type of Income</p> <p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p> | <p>BLOCK D</p> <p>Amount of Income</p> <p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p> | <p>BLOCK E</p> <p>Transaction</p> <p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p> |
|---|--|--|---|--|
| <p>JT (1) COMPASS BANK, LUBBOCK, TEXAS, ACCOUNTS</p> | <p>\$1,001 - \$15,000</p> | <p>INTEREST</p> | <p>\$1 - \$200</p> | |
| <p>JT (2) CONGRESSIONAL FEDERAL CREDIT UNION, WASHINGTON, DC, ACCOUNTS</p> | <p>\$50,001 - \$100,000</p> | <p>INTEREST</p> | <p>\$201 - \$1,000</p> | |
| <p>JT (3) FIRST BANK & TRUST CO, LUBBOCK, TEXAS, ACCOUNTS</p> | <p>\$1,001 - \$15,000</p> | <p>INTEREST</p> | <p>\$1 - \$200</p> | |
| <p>JT (4) HSBC BANK USA, ACCOUNTS</p> | <p>\$100,001 - \$250,000</p> | <p>INTEREST</p> | <p>\$1,001 - \$2,500</p> | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT RANDOLPH NEUGEBAUER

Page 3 of 23

| | | | | | |
|----|---|--------------------------|--|---------------------|--|
| SP | (5) RETIREMENT ACCOUNT-- ING LIFE AND ANNUITY COMPANY HOLDINGS LISTED BELOW: | | | | |
| | (a) ING INTERMEDIATEBOND FUND - A | \$1,001 - \$15,000 | INTEREST | \$201 - \$1,000 | |
| | (b) ING VAN KAMPEN GROWTH & INCOME-CIS | \$1,001 - \$15,000 | DIVIDENDS | \$201 - \$1,000 | |
| | (c) ING PIONEER MID CAP VALUE PORT-SVC | \$1,001 - \$15,000 | DIVIDENDS | \$201 - \$1,000 | |
| JT | (6) OLD TOWN, INC., LUBBOCK, TEXAS, PROPERTY MANAGEMENT COMPANY - SEE FOOTNOTE 1 HOLDINGS: | | | | |
| | (a) REAL ESTATE 2716 85TH, LUBBOCK, TEXAS, VALUED AT LUBBOCK CENTRAL APPRAISAL DISTRICT VALUE | \$100,001 - \$250,000 | RENT | \$5,001 - \$15,000 | |
| | (b) PARTNERSHIP INTEREST - SKYTOP HOLDINGS, LLC, HOUSTON, TEXAS, PRIVATE EQUITY FUND | \$250,001 - \$500,000 | ORDINARY INCOME/RENT/ INTEREST/ DIVIDENDS/ CAPITAL GAINS/ ROYALTIES | \$15,001 - \$50,000 | |
| | (c) PARTNERSHIP INTEREST - LUBBOCK LAND COMPANY EIGHT, LTD, LUBBOCK, TEXAS | \$15,001 - \$50,000 | ORDINARY INCOME/ INTEREST | \$5,001 - \$15,000 | |
| | (d) HCSB, PLAINVIEW, TEXAS, ACCOUNT | \$50,001 - \$100,000 | INTEREST | \$201 - \$1,000 | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT RANDOLPH NEUGEBAUER

Page 4 of 23

| | | | | | |
|----|---|--------------------------|----------|---------------------|-----------------------------------|
| JT | (7) OLD TOWN PROPERTIES, LTD, LUBBOCK, TEXAS - PARTNERSHIP HOLDS RENTAL PROPERTIES: HOLDINGS: | | | | |
| | (a) 5717 88TH STREET, LUBBOCK, TEXAS, VALUED AT LUBBOCK CENTRAL APPRAISAL DISTRICT VALUE | \$100,001 - \$250,000 | RENT | \$5,001 - \$15,000 | |
| | (b) 5731 90TH STREET, LUBBOCK, TEXAS, VALUED AT LUBBOCK CENTRAL APPRAISAL DISTRICT VALUE | \$100,001 - \$250,000 | RENT | \$5,001 - \$15,000 | |
| | (c) 2013-2019 S. LOOP 289, LUBBOCK, TEXAS, VALUED AT LUBBOCK CENTRAL APPRAISAL DISTRICT VALUE | \$100,001 - \$250,000 | RENT | \$15,001 - \$50,000 | |
| | (d) HCSB, PLAINVIEW, TEXAS, ACCOUNTS | None | INTEREST | \$1 - \$200 | OTHER, FUNDS WITH- DRAWN |
| | (e) FIRST BANK AND TRUST, LUBBOCK, TEXAS, ACCOUNTS | \$50,001 - \$100,000 | INTEREST | \$201 - \$1,000 | OTHER, DEPOSIT OF FUNDS |
| JT | (8) KINGDOM ENTERPRISES, LTD, LUBBOCK, TEXAS - INVESTMENT PARTNERSHIP HOLDINGS: | | | | |
| | (a) COMPASS BANK, LUBBOCK, TEXAS, ACCOUNTS | \$1,001 - \$15,000 | INTEREST | \$201 - \$1,000 | OTHER, FUNDS WITH- DRAWN |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT RANDOLPH NEUGEBAUER

Page 5 of 23

| | | | | |
|--|--------------------------|----------|--------------------|-----------------------------------|
| (b) CITY BANK TEXAS, LUBBOCK, TEXAS, ACCOUNTS | \$100,001 - \$250,000 | INTEREST | \$1,001 - \$2,500 | OTHER, FUNDS WITH- DRAWN |
| (c) FIRST BANK & TRUST CO, LUBBOCK, TEXAS, ACCOUNTS | \$100,001 - \$250,000 | INTEREST | \$2,501 - \$5,000 | |
| (d) INVESTMENT ACCOUNT - GOLDMAN SACHS HOLDINGS LISTED BELOW: | | | | |
| (1) GOLDMAN SACHS INSTITUTIONAL MONEY MARKET FUND | \$15,001 - \$50,000 | INTEREST | \$1 - \$200 | OTHER, FUNDS WITH- DRAWN |
| (2) STATE OF TEXAS GO BOND | None | INTEREST | \$5,001 - \$15,000 | S |
| (3) CHICAGO ILL MET WTR RECLAMATN BOND | None | INTEREST | \$5,001 - \$15,000 | S |
| (4) WINNEBAGO CNTY ILL REV BOND | \$100,001 - \$250,000 | INTEREST | \$5,001 - \$15,000 | |
| (5) VANCOUVER WASHINGTON REV BOND | \$250,001 - \$500,000 | INTEREST | \$5,001 - \$15,000 | |
| (6) NORTH EAST TEXAS IND SCH DIST BOND | \$250,001 - \$500,000 | INTEREST | \$5,001 - \$15,000 | |
| (7) CLARK COUNTY WASHINGTON SCHL BOND | \$100,001 - \$250,000 | INTEREST | \$5,001 - \$15,000 | |
| (8) ORANGE BEACH ALA REV BOND | None | INTEREST | \$5,001 - \$15,000 | S |
| (9) CLARK CNTY NEVADA SCHOOL DIST BOND | \$100,001 - \$250,000 | INTEREST | \$5,001 - \$15,000 | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT RANDOLPH NEUGEBAUER

Page 6 of 23

| | | | | |
|--|--------------------------|----------|--------------------|--------------------------------|
| (10) BOULDER CITY NEV REV BOND | \$50,001 - \$100,000 | INTEREST | \$2,501 - \$5,000 | |
| (11) CHICAGO ILLINOIS BRD OF EDU GO BOND | \$100,001 - \$250,000 | INTEREST | \$5,001 - \$15,000 | OTHER, DECREASE IN VALUE |
| (12) CLEVELAND OHIO WATERWORKS REV BOND | \$50,001 - \$100,000 | INTEREST | \$1,001 - \$2,500 | |
| (13) NEW RICHMOND WIS GO BOND | \$50,001 - \$100,000 | INTEREST | \$1,001 - \$2,500 | |
| (14) TEX TRANSN COMMN ST HWY FD REV BOND | \$100,001 - \$250,000 | INTEREST | \$5,001 - \$15,000 | |
| (15) MASS (COMMON WEALTH OF) GO BOND | \$100,001 - \$250,000 | INTEREST | \$5,001 - \$15,000 | |
| (16) MEMPHIS TENNESSEE (CITY OF) GO BOND | \$100,001 - \$250,000 | None | NONE | P |
| (17) NEW MEXICO FINANCE AUTHORITY REV BOND | \$100,001 - \$250,000 | INTEREST | \$201 - \$1,000 | P |
| (18) MASSACHUSSETS (COMMONWEALTH OF) GO BOND | \$100,001 - \$250,000 | INTEREST | \$5,001 - \$15,000 | P |
| (19) CHANDLER ARIZ GO BOND | \$100,001 - \$250,000 | INTEREST | \$1,001 - \$2,500 | P |
| (e) INVESTMENT ACCOUNT - GOLDMAN SACHS | | | | |
| HOLDINGS LISTED BELOW: | | | | |
| (1) GOLDMAN SACHS BANK DEPOSIT | \$15,001 - \$50,000 | INTEREST | \$1 - \$200 | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT RANDOLPH NEUGEBAUER

Page 7 of 23

| | | | | | |
|--|---|----------------------------|---|----------------------|---|
| | (2) GOLDMAN SACHS HIGH YIELD MUNICIPAL FUND CLASS A | \$250,001 - \$500,000 | INTEREST | \$15,001 - \$50,000 | |
| | (3) LIMITED LIABILITY COMPANY INVESTMENT IN GS HEDGE FUND OPPORTUNITIES - THE COMPANY IS A PUBLICLY TRADED ENTITY, OWNERSHIP IS LESS THAN .09% | \$500,001 - \$1,000,000 | ORDINARY INCOME/ INTEREST/ DIVIDENDS/CAPI TAL GAINS | \$15,001 - \$50,000 | |
| | (4) GOLDMAN SACHS GROUP, INC BOND | \$250,001 - \$500,000 | INTEREST | \$5,001 - \$15,000 | |
| | (5) GOLDMAN SACHS ULTRA-SHORT DURATION GOVT FUND CL A | None | DIVIDENDS | \$201 - \$1,000 | S |
| | (f) LIMITED PARTNER INVESTMENT IN WINDROCK PRIVATE EQUITY, LTD, A LIMITED PARTNERSHIP LOCATED IN HOUSTON, TEXAS - THE LIMITED PARTNERSHIP IS A PRIVATE EQUITY FUND | \$50,001 - \$100,000 | ORDINARY INCOME/ INTEREST/ DIVIDENDS/CAPI TAL GAINS | \$2,501 - \$5,000 | |
| | (g) LAND BLK E2 SEC 25 AB 269 TR B SW/R 18.13 ACRES, LUBBOCK, TEXAS - VALUED AT PURCHASE PRICE 04/2002 | \$100,001 - \$250,000 | None | NONE | |
| | (h) INVESTMENT IN LUBBOCK LAND COMPANY EIGHT, LTD, LUBBOCK, TEXAS HOLDINGS: | | Other: LAND DEVELOPMENT | \$50,001 - \$100,000 | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT RANDOLPH NEUGEBAUER

Page 8 of 23

| | | | | |
|---|-----------------------|---|-------------------------|-------------------------|
| (1) VARIOUS LOTS BETWEEN 624-659 REGAL PARK, 89TH AND 90TH STREETS B/N HOMESTEAD AND IOLA, LUBBOCK, TEXAS, PREVIOUSLY DESCRIBED AS BRYANT TRACT - VALUED AT PURCHASE PRICE IN 2004 AND DEVELOPMENT COSTS 2008 | \$50,001 - \$100,000 | ORDINARY INCOME | REPORTED IN TOTAL ABOVE | S(part) |
| (2) AMERICAN BANK OF COMMERCE, LUBBOCK, TEXAS, ACCOUNTS | \$100,001 - \$250,000 | INTEREST | \$5,001 - \$15,000 | OTHER, DEPOSIT OF FUNDS |
| (i) INVESTMENT IN LUBBOCK LAND INVESTMENT I, LP, LUBBOCK, TEXAS HOLDINGS: | | | | |
| (1) LAND - 72.76 ACRES, PREVIOUSLY 92.738 ACRES, BLK AK SEC 28 AB 750 TR ASA NW/3, LUBBOCK COUNTY, TEXAS - VALUED AT PURCHASE PRICE IN 2007 | \$50,001 - \$100,000 | Other: LAND - FARM AND INVESTMENTS/ CAPITAL GAINS | \$50,001 - \$100,000 | S(part) |
| (2) LAND - 3.747 ACRES, BLK E2 AK SEC 25 AB 269 TR B2 SW/4, LUBBOCK COUNTY, TEXAS - VALUED AT PURCHASE PRICE IN 2007 | \$1,001 - \$15,000 | None | NONE | |
| (3) AMERICAN BANK OF COMMERCE, LUBBOCK, TEXAS, ACCOUNTS | \$1,001 - \$15,000 | INTEREST | \$1 - \$200 | OTHER, DEPOSIT OF FUNDS |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT RANDOLPH NEUGEBAUER

Page 9 of 23

| | | | | | |
|--|---|--------------------------|---|-------------------|---------|
| | (j) INVESTMENT IN PANHANDLE LAND INVESTMENTS I, LP, LUBBOCK, TEXAS HOLDINGS: | | | | |
| | (1) LAND - 1288.64 ACRES, SEC 37 B S & F, LOT BLOCK 0009, RANDALL COUNTY, TEXAS - VALUED AT PURCHASE PRICE IN 2007 AND DEVELOPMENT COSTS 2008 | \$250,001 - \$500,000 | Other: LAND - FARM AND INVESTMENT | \$1 - \$200 | |
| | (2) AMERICAN BANK OF COMMERCE, LUBBOCK, TEXAS, ACCOUNTS | \$1 - \$1,000 | INTEREST | \$1 - \$200 | |
| | (k) INVESTMENT IN LUBBOCK LAND INVESTMENTS II, LP, LUBBOCK, TEXAS HOLDINGS: | | | | |
| | (1) LAND - BLK E2 SEC 19 AB 104 & BLK E2 SEC 20 AB 752 TR A2 OF S/2 OF 19 & 20, LUBBOCK COUNTY, TEXAS - VALUED AT PURCHASE PRICE IN 2007 AND DEVELOPMENT COSTS 2008 | \$100,001 - \$250,000 | Other: LAND - FARM AND INVESTMENT/ CAPITAL GAINS | \$2,501 - \$5,000 | S(part) |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT RANDOLPH NEUGEBAUER

Page 10 of 23

| | | | | | |
|----|--|-------------------------|--|---------------------|--|
| | (2) LOTS IN COOPER RIDGE, VARIOUS LOTS BETWEEN #7 AND #95, ON 108TH STREET, 109TH STREET, 110TH STREET, AND 111TH STREET, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2007 AND DEVELOPMENT COSTS 2008 | None | ORDINARY INCOME | \$1,001 - \$2,500 | S |
| | (3) AMERICAN BANK OF COMMERCE, LUBBOCK, TEXAS, ACCOUNTS | \$1 - \$1,000 | INTEREST | \$201 - \$1,000 | OTHER, FUNDS WITH-DRAWN |
| | (l) LIMITED PARTNER INVESTMENT IN FREESTONE SAWMILL PARTNERS II, LP, HOUSTON, TEXAS | \$1,001 - \$15,000 | None | NONE | |
| | (m) MORTGAGE NOTE RECEIVABLE - ROBERT RANDOLPH NEUGEBAUER | \$500,001 - \$1,000,000 | INTEREST | \$5,001 - \$15,000 | P |
| | (n) LIMITED PARTNER INVESTMENT IN FREESTONE SAWMILL PARTNERS, LP | None | ORDINARY INCOME/ INTEREST/ DIVIDENDS/CAPITAL GAINS | \$15,001 - \$50,000 | OTHER, PY VALUE AND INCOME WAS < \$1,000 |
| SP | (9) OIL AND GAS ROYALTY INTERESTS, VARIOUS HOLDINGS | \$1,001 - \$15,000 | Other: OIL & GAS ROYALTIES | \$1,001 - \$2,500 | |
| SP | (10) RETIREMENT ACCOUNT - GOLDMAN SACHS AND COMPANY HOLDINGS: | | | | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT RANDOLPH NEUGEBAUER

Page 11 of 23

| | | | | | |
|--|--|------------------------------|--------------------|---------------------|-------------------------------|
| | (a) CASH ON DEPOSIT | \$50,001 - \$100,000 | INTEREST | \$1 - \$200 | OTHER, DEPOSIT OF FUNDS |
| | (b) GOLDMAN SACHS ULTRA-SHORT DURATION GOVT FUND CL A | None | DIVIDENDS | \$201 - \$1,000 | S |
| | (c) LIMITED PARTNER INVESTMENT IN QR ENERGY, LP - THE COMPANY IS A PUBLICLY TRADED ENTITY, OWNERSHIP IS LESS THAN .01% | \$15,001 - \$50,000 | ORDINARY INCOME | \$1 - \$200 | P |
| | (11) 224 9TH STREET SE, WASHINGTON, DC - VALUED AT ESTIMATED FAIR MARKET VALUE | \$1,000,001 - \$5,000,000 | RENT | \$15,001 - \$50,000 | |
| | (12) RETIREMENT ACCOUNT - GOLDMAN SACH HOLDINGS: | | | | |
| | (a) CASH ON DEPOSIT | \$100,001 - \$250,000 | INTEREST | \$1 - \$200 | OTHER, DEPOSIT OF FUNDS |
| | (b) GOLDMAN SACHS ULTRA-SHORT DURATION GOVT FUND CL A | None | DIVIDENDS | \$201 - \$1,000 | S |
| | (c) LIMITED PARTNER INVESTMENT IN QR ENERGY, LP - THE COMPANY IS A PUBLICLY TRADED ENTITY, OWNERSHIP IS LESS THAN .01% | \$15,001 - \$50,000 | ORDINARY INCOME | \$1 - \$200 | P |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT RANDOLPH NEUGEBAUER

Page 12 of 23

| | | | | | |
|----|--|----------------------------|-----------|--------------------|---|
| | (13) INVESTMENT ACCOUNT - GOLDMANS SACH HOLDINGS: | | | | |
| | (a) CASH ON DEPOSIT | \$15,001 - \$50,000 | INTEREST | \$1 - \$200 | OTHER, DEPOSIT OF FUNDS |
| | (b) GOLDMAN SACHS ULTRA-SHORT DURATION GOVT FUND CL A | None | DIVIDENDS | \$1 - \$200 | S |
| | (c) GOLDMAN SACHS GROUP, INC. | \$100,001 - \$250,000 | INTEREST | \$2,501 - \$5,000 | |
| JT | (14) EMPOWERMENT ENTERPRISES, LLC, LUBBOCK, TEXAS - PARTNERSHIP HOLDS RENTAL EQUIPMENT: HOLDINGS: | | | | |
| | (a) FIRST BANK & TRUST CO, LUBBOCK, TEXAS, ACCOUNTS | \$1,001 - \$15,000 | None | NONE | |
| | (b) WATERCRAFT | \$500,001 - \$1,000,000 | RENT | \$5,001 - \$15,000 | |
| | (15) INVESTMENT IN PANHANDLE LAND DEVELOPMENT, INC., S CORPORATION - FORMED TO PURCHASE AND DEVELOP REAL ESTATE. NO REAL ESTATE HELD DURING REPORT PERIOD | \$1,001 - \$15,000 | None | NONE | OTHER, PRE- ACQUI- TION COSTS |
| | (a) LUBBOCK NATIONAL BANK, LUBBOCK, TEXAS, ACCOUNTS | \$1 - \$1,000 | None | NONE | OTHER, OPEN ACCOUNT |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT RANDOLPH NEUGEBAUER

Page 13 of 23

| | | | | |
|---|----------------------|-----------------------------------|-------------------------|---------|
| (16) FARM LAND - CROSBY COUNTY, TEXAS, SEC 7 SVY 8 BLK 11 #479 | \$50,001 - \$100,000 | Other: COOP DIVIDENDS/ CROP SALES | \$2,501 - \$5,000 | |
| (17) OIL AND GAS ROYALTY INTERESTS, VARIOUS HOLDINGS | \$1,001 - \$15,000 | Other: OIL AND GAS ROYALTIES | \$1,001 - \$2,500 | |
| (18) INVESTMENT IN LLI-II DEVELOPMENT, INC., S CORPORATION, LUBBOCK, TEXAS - FORMED TO PURCHASE AND DEVELOP REAL ESTATE, HOLDINGS: | | Other: LAND DEVELOPMENT/ INTEREST | \$5,001 - \$15,000 | |
| (a) LOTS 2-8 COOPER STONE, NORTH SIDE OF 108TH STREET, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2009 AND DEVELOPMENT COSTS 2009 | \$1,001 - \$15,000 | None | NONE | |
| (b) 3 LOTS, PREVIOUSLY, 6 LOTS, IN COOPER RIDGE, VARIOUS LOTS BETWEEN #7 AND #95, ON 108TH STREET, 109TH STREET, 110TH STREET, AND 111TH STREET, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2009 AND DEVELOPMENT COSTS 2009 | \$1,001 - \$15,000 | Other: LAND DEVELOPMENT | REPORTED IN ABOVE TOTAL | S(part) |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT RANDOLPH NEUGEBAUER

Page 14 of 23

| | | | | |
|--|----------------------|-------------------------|-------------------------|-------------------------|
| (c) 3 LOTS, PREVIOUSLY 18 LOTS, IN COOPER CREEK, VARIOUS LOTS BETWEEN #67 AND #135, ON 111TH STREET, 112TH STREET, CANTON AVENUE, AND DETROIT AVENUE, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2009 AND DEVELOPMENT COSTS 2009 | \$15,001 - \$50,000 | Other: LAND DEVELOPMENT | REPORTED IN ABOVE TOTAL | S(part) |
| (d) LUBBOCK NATIONAL BANK, LUBBOCK, TX, ACCOUNTS | \$1 - \$1,000 | None | NONE | |
| (e) 17 LOTS IN COOPER RIDGE, VARIOUS LOTS BETWEEN #97 AND #113, ON 11TH STREET, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2010 AND DEVELOPMENT COSTS 2010 | \$15,001 - \$50,000 | None | NONE | P |
| (19) GOLD, SILVER & BULLION | \$50,001 - \$100,000 | None | NONE | |
| (20) INVESTMENT IN THE TRAILS AT REGAL PARK DEVELOPMENT, INC., S CORPORATION, LUBBOCK, TEXAS - FORMED TO PURCHASE AND DEVELOP REAL ESTATE, HOLDINGS: | | Other: LAND DEVELOPMENT | \$5,001 - \$15,000 | P, < \$1,000 |
| (a) LUBBOCK NATIONAL BANK, LUBBOCK, TX ACCOUNTS | \$50,001 - \$100,000 | INTEREST | \$1 - \$200 | OTHER, DEPOSIT OF FUNDS |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT RANDOLPH NEUGEBAUER

Page 15 of 23

| | | | | |
|--|--------------------------|----------------------------|----------------------------|---------------------------------------|
| (b) 30 LOTS (40 less 10 sold) IN THE TRAILS AT REGAL PARK, VARIOUS LOTS BETWEEN #1 AND #40, ON IOLA AVENUE, IRONTON AVENUE, JUNEAU AVENUE, 91ST STREET, 90TH STREET, KEWANEE AVENUE, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2010 AND DEVELOPMENT COSTS 2010 | \$250,001 - \$500,000 | Other. LAND DEVELOPMENT | REPORTED IN ABOVE TOTAL | PS(part) |
| (21)MASS MUTUAL- WHOLE LIFE INSURANCE POLICIES | \$1,001 - \$15,000 | NA | | OTHER,PY VALUE WAS < \$1,000 |

SCHEDULE IV - TRANSACTIONS

Name ROBERT RANDOLPH NEUGEBAUER

Page 16 of 23

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|--|------------------------|---|--|-----------------------|
| JT | (8)(d)(2) STATE OF TEXAS GO BOND | S | No | 10-1-10 | \$50,001 - \$100,000 |
| JT | (8)(d)(3) CHICAGO ILL MET WTR RECLAMATN BOND | S | No | 12-1-10 | \$100,001 - \$250,000 |
| JT | (8)(d)(8) ORANGE BEACH ALA REV BOND | S | No | 5-4-10 | \$100,001 - \$250,000 |
| JT | (8)(d)(16) MEMPHIS TENNESSEE (CITY OF) GO BOND | P | N/A | 12-8-10 | \$100,001 - \$250,000 |
| JT | (8)(d)(17) NEW MEXICO FINANCE AUTHORITY REV BOND | P | N/A | 10-4-10 | \$100,001 - \$250,000 |
| JT | (8)(d)(18) MASSACHUSSETS (COMMONWEALTH OF) GO BOND | P | N/A | 5-12-10 | \$100,001 - \$250,000 |
| JT | (8)(d)(19) CHANDLER ARIZ GO BOND | P | N/A | 2-24-10 | \$100,001 - \$250,000 |
| JT | (8)(e)(5) GOLDMAN SACHS ULTRA-SHORT DURATION GOVT FUND CL A | S | No | 3-22-10, 9-30- 10 | \$250,001 - \$500,000 |
| JT | (8)(h)(1) VARIOUS LOTS BETWEEN 624- 659 REGAL PARK, 89TH AND 90TH STREETS B/N HOMESTEAD AND IOLA, LUBBOCK, TEXAS, PREVIOUSLY DESCRIBED AS BRYANT TRACT - VALUED AT PURCHASE PRICE IN 2004 AND DEVELOPMENT COSTS 2008 | S(part) | No | 1-20-10, 3-2- 10, 3-24-10, 3- 31-10, 8-10-10, 11-22-10, 12-3- 10 | \$100,001 - \$250,000 |

SCHEDULE IV - TRANSACTIONS

Name ROBERT RANDOLPH NEUGEBAUER

Page 17 of 23

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|--|------------------------|---|------------------|-------------------------|
| JT | (8)(i)(1) LAND - 72.76 ACRES, PREVIOUSLY 92.738 ACRES, BLK AK SEC 28 AB 750 TR ASA NW/3, LUBBOCK COUNTY, TEXAS - VALUED AT PURCHASE PRICE IN 2007 | S(part) | Yes | 6-1-10 | \$50,001 - \$100,000 |
| JT | (8)(k)(1) LAND - BLK E2 SEC 19 AB 104 & BLK E2 SEC 20 AB 752 TR A2 OF S/2 OF 19 & 20, LUBBOCK COUNTY, TEXAS - VALUED AT PURCHASE PRICE IN 2007 AND DEVELOPMENT COSTS 2008 | S(part) | Yes | 8-1-10 | \$15,001 - \$50,000 |
| JT | (8)(k)(2) LOTS IN COOPER RIDGE, VARIOUS LOTS BETWEEN #7 AND #95, ON 108TH STREET, 109TH STREET, 110TH STREET, AND 111TH STREET, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2007 AND DEVELOPMENT COSTS 2008 | S | No | 9-15-10 | \$1,001 - \$15,000 |
| JT | (8)(m) MORTGAGE NOTE RECEIVABLE - ROBERT RANDOLPH NEUGEBAUER | P | N/A | 4-1-10 | \$500,001 - \$1,000,000 |
| SP | (10)(b) GOLDMAN SACHS ULTRA-SHORT DURATION GOVT FUND CL A | S | No | 6-25-10, 9-30-10 | \$50,001 - \$100,000 |
| SP | (10)(c) LIMITED PARTNER INVESTMENT IN QR ENERGY, LP - THE COMPANY IS A PUBLICLY TRADED ENTITY, OWNERSHIP IS LESS THAN .01% | P | N/A | 12-20-10 | \$15,001 - \$50,000 |
| | (12)(b) GOLDMAN SACHS ULTRA-SHORT DURATION GOVT FUND CL A | S | No | 9-30-10 | \$100,001 - \$250,000 |

SCHEDULE IV - TRANSACTIONS

Name ROBERT RANDOLPH NEUGEBAUER

Page 18 of 23

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|--|------------------------|---|---|-----------------------|
| | (12)(c) LIMITED PARTNER INVESTMENT IN QR ENERGY, LP - THE COMPANY IS A PUBLICLY TRADED ENTITY, OWNERSHIP IS LESS THAN .01% | P | N/A | 12-20-10 | \$15,001 - \$50,000 |
| | (13)(b) GOLDMAN SACHS ULTRA-SHORT DURATION GOVT FUND CL A | S | No | 9-30-10 | \$15,001 - \$50,000 |
| | (18)(b) 3 LOTS, PREVIOUSLY 6 LOTS, IN COOPER RIDGE, VARIOUS LOTS BETWEEN #7 AND #95, ON 108TH STREET, 109TH STREET, 110TH STREET, AND 111TH STREET, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2009 AND DEVELOPMENT COSTS 2009 | S(part) | No | 2-1-10, 7-20-10, 12-30-10 | \$1,001 - \$15,000 |
| | (18)(c) 3 LOTS, PREVIOUSLY 18 LOTS, IN COOPER CREEK, VARIOUS LOTS BETWEEN #67 AND #135, ON 111TH STREET, 112TH STREET, CANTON AVENUE, AND DETROIT AVENUE, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2009 AND DEVELOPMENT COSTS 2009 | S(part) | No | 2-18-10, 3-2-10, 3-15-10, 3-26-10, 4-28-10, 6-1-10, 7-29-10, 9-3-10 | \$15,001 - \$50,000 |
| | (18)(e) 17 LOTS IN COOPER RIDGE, VARIOUS LOTS BETWEEN #97 AND #113, ON 11TH STREET, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2010 AND DEVELOPMENT COSTS 2010 | P | N/A | 8-1-10 | \$15,001 - \$50,000 |

SCHEDULE IV - TRANSACTIONS

Name ROBERT RANDOLPH NEUGEBAUER

Page 19 of 23

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|--|------------------------|---|--|-----------------------|
| | (20)(b) 40 LOTS IN THE TRAILS AT REGAL PARK, VARIOUS LOTS BETWEEN #1 & #40, ON IOLA AVENUE, IRONTON AVENUE, JUNEAU AVENUE, 91ST STREET, 90TH STREET, KEWANEE AVENUE, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2010 AND DEVELOPMENT COSTS 2010 | P | N/A | 6-1-10 | \$50,001 - \$100,000 |
| | (20)(b) 10 LOTS IN THE TRAILS AT REGAL PARK, VARIOUS LOTS BETWEEN #1 & #40, ON IOLA AVENUE, IRONTON AVENUE, JUNEAU AVENUE, 91ST STREET, 90TH STREET, KEWANEE AVENUE, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2010 AND DEVELOPMENT COSTS 2010 (40 LOTS LESS 10 | S(part) | No | 10-19-10, 10-22-10, 10-28-10, 11-5-10, 11-11-10, 11-15-10, 11-22-10, 12-9-10 | \$100,001 - \$250,000 |

SCHEDULE V - LIABILITIES

Name ROBERT RANDOLPH NEUGEBAUER

Page 20 of 23

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

| SP, DC, JT | Creditor | Date Liability Incurred | Type of Liability | Amount of Liability |
|------------------|--|-------------------------------|---|-------------------------|
| JT | NOTE PAYABLE - WELLS FARGO BANK, NA | 2007 | MORTGAGE ON 224 9TH STREET SE, WASHINGTON, DC | \$500,001 - \$1,000,000 |
| | AADVANTAGE CITI MASTERCARD | VARIOUS | REVOLVING CHARGE ACCOUNT | \$15,001 - \$50,000 |
| | AMERICAN EXPRESS CREDIT CARD | VARIOUS | REVOLVING CHARGE ACCOUNT | \$10,001 - \$15,000 |
| | KINGDOM ENTERPRISES, LTD. | APRIL 2010 | MORTGAGE ON 224 9TH STREET SE, WASHINGTON, DC | \$500,001 - \$1,000,000 |

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name ROBERT RANDOLPH NEUGEBAUER

Page 21 of 23

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

| Source | Date(s) | Point of Departure-- Destination--Point of Return | Lodging? (Y/N) | Food? (Y/N) | Was a Family Member Included? (Y/N) | Days not at sponsor's expense |
|----------------------------|----------------|--|-------------------|----------------|---|-------------------------------------|
| THE HERITAGE FOUNDATION | JAN 14 - 16 | DC-CHARLOTTESVILLE-DC | Y | Y | Y | NONE |

SCHEDULE VIII - POSITIONS

Name ROBERT RANDOLPH NEUGEBAUER

Page 22 of 23

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

| Position | Name of Organization |
|----------|------------------------------|
| PARTNER | KINGDOM ENTERPRISES, LTD. |
| PARTNER | OLD TOWN PROPERTIES, LTD. |
| MEMBER | EMPOWERMENT ENTERPRISES, LLC |

FOOTNOTES

Name ROBERT RANDOLPH NEUGEBAUER

Page 23 of 23

| Number | Section / Schedule | Footnote | This note refers to the following item |
|--------|--------------------|---|--|
| 1 | Schedule III | The corporation is the general partner of Old Town Properties, Ltd., which is listed on Schedule III (Asset #7) | (6) OLD TOWN, INC. |

UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

Form A
For use by Members, officers, and employees

Page 1 of **3**

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2011 MAY -5 AM 9:23

(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

Name: CHARLES A. GONZALEZ Daytime Telephone: 202.225.3236

Filer
Status



Member of the U.S.
House of Representatives

State:

TEXAS

District:

20



Officer or
Employee

Employing Office:

Report
Type



Annual (May 16, 2011)



Amendment



Termination

Termination Date:

**A \$200 penalty shall be assessed
against anyone who files more than
30 days late.**

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?
If yes, complete and attach Schedule I.

Yes ☐

No ☒

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)?
If yes, complete and attach Schedule VI.

Yes ☐

No ☒

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?
If yes, complete and attach Schedule II.

Yes ☐

No ☒

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)?
If yes, complete and attach Schedule VII.

Yes ☐

No ☒

III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?
If yes, complete and attach Schedule III.

Yes ☒

No ☐

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?
If yes, complete and attach Schedule VIII.

Yes ☐

No ☒

IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?
If yes, complete and attach Schedule IV.

Yes ☐

No ☒

IX. Did you have any reportable agreement or arrangement with an outside entity?
If yes, complete and attach Schedule IX.

Yes ☐

No ☒

V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?
If yes, complete and attach Schedule V.

Yes ☒

No ☐

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes ☐

No ☒

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

Yes ☐

No ☒

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Name

CHARLES A. GONZALEZ

Page

2 of 3

BLOCK A

Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use ticker symbols.)

For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.

For rental or other real property held for investment, provide a complete address.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.

For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.

SP, DC, JT
Examples: SP Mega Corp. Stock
Simon & Schuster
1st Bank of Paducah, KY Accounts

BLOCK B

Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

A B C D E F G H I J K L

None
\$1 - \$1,000
\$1,001 - \$15,000
\$15,001 - \$50,000
\$50,001 - \$100,000
\$100,001 - \$250,000
\$250,001 - \$500,000
\$500,001 - \$1,000,000
\$1,000,001 - \$5,000,000
\$5,000,001 - \$25,000,000
\$25,000,001 - \$50,000,000
Over \$50,000,000

BLOCK C

Type of Income

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income.** Check "None" if the asset generated no income during the reporting period.

NONE
DIVIDENDS
RENT
INTEREST
CAPITAL GAINS
EXCEPTED/BLIND TRUST
Other Type of Income
(Specify: e.g., Partnership Income or Farm Income)

BLOCK D

Amount of Income

For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income.** Check "None" if no income was earned or generated.

I II III IV V VI VII VIII IX X XI
None
\$1 - \$200
\$201 - \$1,000
\$1,001 - \$2,500
\$2,501 - \$5,000
\$5,001 - \$15,000
\$15,001 - \$50,000
\$50,001 - \$100,000
\$100,001 - \$1,000,000
\$1,000,001 - \$5,000,000
Over \$5,000,000

BLOCK E

Transaction
Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.

If only a portion of an asset is sold, please indicate as follows:
(S) (partial)
See below for example.

P
S
E

S (partial)

CONGRESSIONAL
FED. CREDIT UNION
PHOENIX LIFE INS. -
WHOLE LIFE
THRIFT SAVINGS PLAN
TX. COUNTY - DISTRICT
RETIREMENT SYSTEM
EMPLOYEES RETIREMENT
SYSTEM OF TEXAS

For additional assets and unearned income, use next page.

SCHEDULE V— LIABILITIES

Name

CHARLES A. BONZALEZ

Page

3 of 3

Report liabilities of over \$10,000 owed to any one creditor **at any time** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report **revolving charge accounts** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

| SP, DC, JT | Creditor | | Date Liability Incurred Mo/Year | Type of Liability | Amount of Liability | | | | | | | | | |
|------------------|---------------------|------------------------------|--|-------------------------------------|-----------------------|-----------------------|------------------------|-------------------------|-------------------------|---------------------------|-----------------------------|------------------------------|-------------------------------|----------------------|
| | | | | | A | B | C | D | E | F | G | H | I | J |
| | | | | | \$10,001- \$15,000 | \$15,001- \$50,000 | \$50,001- \$100,000 | \$100,001- \$250,000 | \$250,001- \$500,000 | \$500,001- \$1,000,000 | \$1,000,001- \$5,000,000 | \$5,000,001- \$25,000,000 | \$25,000,001- \$50,000,000 | Over \$50,000,000 |
| | Example: | First Bank of Wilmington, DE | May 1998 | Mortgage on 123 Main St., Dover, DE | | | | X | | | | | | |
| | BANK OF AMERICA | | MARCH 2005 | CREDIT CARD | X | | | | | | | | | |
| | TERE | | 2000- 2008 | CO-SIGNER STUDENT LOAN | | | | X | | | | | | |
| | NELNET | | 2000- 2008 | CO-SIGNER STUDENT LOAN | X | | | | | | | | | |
| | THRIFT SAVINGS PLAN | | DEC. 2006 | LOAN | | X | | | | | | | | |
| | | | | | | | | | | | | | | |

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than \$335 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$335 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

| Source | Description | Value |
|---|--|-------|
| Example: Mr. Joseph H. Smith, Anytown, Anystate | Silver Platter (determination on personal friendship received from Committee on Standards) | \$345 |
| | | |
| | | |
| | | |
| | | |
| | | |

NONE

Use additional sheets if more space is required.

| | | | |
|---|---|--|--|
| UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT | | FORM A Page 1 of 69 For use by Members, officers, and employees | |
| Lamar Seeligson Smith (Full Name) | | 202-225-4236 (Daytime Telephone) | |
| Filer Status | <input checked="" type="checkbox"/> Member of the U.S. House of Representatives State: <u>TX</u> District: <u>21</u> | <input type="checkbox"/> Officer Or Employee | Employing Office: _____ Termination Date: _____ |
| Report Type | <input checked="" type="checkbox"/> Annual (May 15) <input type="checkbox"/> Amendment <input type="checkbox"/> Termination | | |

HAND DELIVERED
 LEGISLATIVE RESOURCE CENTER
 2011 MAY 16 PM 5:45
 MC
 (Office Use Only)

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | |
|---|---|
| I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule I. | VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VI. |
| II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule II. | VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VII. |
| III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule III. | VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VIII. |
| IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule IV. | IX. Did you have any reportable agreement or arrangement with an outside entity? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule IX. |
| V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule V. | Each question in this part must be answered and the appropriate schedule attached for each "Yes" response. |

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | | |
|---------------------|--|---|
| Trusts-- | Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| Exemptions-- | Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

SCHEDULE I - EARNED INCOME

Name Lamar Seeligson Smith

Page 2 of 69

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

| Source | Type | Amount |
|---------------------------------------|---------------|--------|
| The First Church of Christ, Scientist | Spouse Salary | N/A |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Lamar Seeligson Smith

Page 3 of 69

| <p>BLOCK A</p> <p>Asset and/or Income Source</p> <p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or</p> | <p>BLOCK B</p> <p>Year-End Value of Asset</p> <p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p> | <p>BLOCK C</p> <p>Type of Income</p> <p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p> | <p>BLOCK D</p> <p>Amount of Income</p> <p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p> | <p>BLOCK E</p> <p>Transaction</p> <p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p> |
|---|--|--|---|--|
| 1/2 LIFE USE INTEREST IN LAMAR SEELIGSON RANCH, PREMONT, TX | \$250,001 - \$500,000 | RENT/ROYALTIE S/SURFACE LEASES | \$50,001 - \$100,000 | |
| 1/3 INTEREST IN 19 WARREN STREET, TOWN OF BARSTABLE, MA | \$250,001 - \$500,000 | RENT | \$1,001 - \$2,500 | |
| SP 12 STONEHOLM, BOSTON MA | \$250,001 - \$500,000 | RENT | \$15,001 - \$50,000 | |
| 1211 SUMMIT RD. MCLEAN, VA 22101 | \$500,001 - \$1,000,000 | RENT | \$15,001 - \$50,000 | |
| 315 CLEVELAND COURT, SAN ANTONIO, TX | \$250,001 - \$500,000 | None | NONE | |
| 3M COMPANY | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Lamar Seeligson Smith

Page 4 of 69

| | | | | | |
|--|---------------------------------------|-------------------------|-----------|-----------------|--|
| | ABBOTT LABORATORIES | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | ALIGN TECH INC DEL COM | \$1,001 - \$15,000 | None | NONE | |
| | ALLERGAN INC | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | ALLSTATE CORP | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | ALPINE TAX OPTIMIZED INC FD INV CL | N/A | INTEREST | \$1 - \$200 | |
| | AMERICAN EXPRESS CO | N/A | DIVIDENDS | \$1 - \$200 | |
| | AMERICAN GROWTH FUND | \$50,001 - \$100,000 | None | NONE | |
| | AMGEN INC COM PV \$0.0001 | \$1,001 - \$15,000 | None | NONE | |
| | AMPHENOL CORP CL A | N/A | DIVIDENDS | \$1 - \$200 | |
| | ANADARKO PETE CORP | N/A | DIVIDENDS | \$1 - \$200 | |
| | ANALOG DEVICES INC | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | ANNALY CAPITAL MANAGEMENT INC COM | \$1,001 - \$15,000 | DIVIDENDS | \$201 - \$1,000 | |
| | APACHE CORP | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | APPLE INC | \$1,001 - \$15,000 | None | NONE | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Lamar Seeligson Smith

Page 5 of 69

| | | | | | |
|--|-----------------------------|------------------------|-----------|-------------|--|
| | APPLE INC | \$15,001 - \$50,000 | None | NONE | |
| | AT&T INC | \$1,001 - \$15,000 | None | NONE | |
| | ATHEROS COMMUNICATIONS | \$1,001 - \$15,000 | None | NONE | |
| | AVON PRODUCTS INC | N/A | DIVIDENDS | \$1 - \$200 | |
| | BABCOCK (THE) AND WILCOX | \$1,001 - \$15,000 | None | NONE | |
| | BANK OF AMERICA CORP | N/A | DIVIDENDS | \$1 - \$200 | |
| | BAXTER INTL INC | N/A | DIVIDENDS | \$1 - \$200 | |
| | BAXTER INTL INC | N/A | DIVIDENDS | \$1 - \$200 | |
| | BEMIS COMPANY INC | N/A | DIVIDENDS | \$1 - \$200 | |
| | BNY MELLON | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | CAMECO CORP COM NPV | N/A | DIVIDENDS | \$1 - \$200 | |
| | CAMERON INTL CORP | \$1,001 - \$15,000 | None | NONE | |
| | CELGENE CORP COM | \$1,001 - \$15,000 | None | NONE | |
| | CERNER CORP COM | \$1,001 - \$15,000 | None | NONE | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Lamar Seeligson Smith

Page 6 of 69

| | | | | | |
|--|--|--------------------------|-----------|-----------------|--|
| | CHUBB CORP | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | CITRIX SYSTEMS INC COM | \$1,001 - \$15,000 | None | NONE | |
| | CLOROX CO DEL | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | COLONIAL PPTYS TR SH BEN INT | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | COLUMBIA ACORN CLASS A | \$100,001 - \$250,000 | DIVIDENDS | \$1 - \$200 | |
| | CONGRESSIONAL FEDERAL CREDIT UNION | \$15,001 - \$50,000 | INTEREST | \$201 - \$1,000 | |
| | CONOCOPHILLIPS | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | CORPORATE EXEC BOARD CO | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | COVIDIEN PLC USD0.20 | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | COVIDIEN PLC USD0.20 | N/A | DIVIDENDS | \$1 - \$200 | |
| | CUMMINS INC FORMERLY CUMMINS ENGINE INC | N/A | DIVIDENDS | \$1 - \$200 | |
| | DEVRY INC DEL | \$1,001 - \$15,000 | None | NONE | |
| | DICKS SPORTING GOODS INC | \$1,001 - \$15,000 | None | NONE | |
| | DODGE & COX STOCK | \$50,001 - \$100,000 | DIVIDENDS | \$201 - \$1,000 | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Lamar Seeligson Smith

Page 7 of 69

| | | | | | |
|----|--|------------------------|------------------------------|-----------------|--|
| | DOLBY LABORATORIES INC | \$1,001 - \$15,000 | None | NONE | |
| | DU PONT E I DE NEMOURS & CO | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | EATON CORP | N/A | DIVIDENDS | \$1 - \$200 | |
| | ECOLAB INC | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | ENDURANCE SPECIALTY HOLDINGS LTD COM | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | ENTERGY CORP NEW | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | EXELON CORP | N/A | DIVIDENDS | \$1 - \$200 | |
| | FASTENAL COMPANY | \$1,001 - \$15,000 | None/DIVIDENDS | \$1 - \$200 | |
| | FEDEX CORP | N/A | DIVIDENDS | \$1 - \$200 | |
| | FLIR SYSTEMS INC | \$1,001 - \$15,000 | None | NONE | |
| | FLOWERVE CORP | \$1,001 - \$15,000 | None | NONE | |
| | FLOWERVE CORP | N/A | DIVIDENDS | \$1 - \$200 | |
| SP | FRANKLIN TEMPLETON- RETIREMENT PLAN MANAGED BY FRANKLIN TEMPLETON | \$15,001 - \$50,000 | Other: RETIREMENT PLAN | \$201 - \$1,000 | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Lamar Seeligson Smith

Page 8 of 69

| | | | | | |
|--|--|-----------------------|----------------|-------------|--|
| | FREEPORT MCMORAN COPPER & GOLD INC | N/A | DIVIDENDS | \$1 - \$200 | |
| | FROST NATIONAL BANK | \$1,001 - \$15,000 | INTEREST | \$1 - \$200 | |
| | GENZYME CORPORATION | \$1,001 - \$15,000 | None | NONE | |
| | GILEAD SCIENCES INC COM | \$1,001 - \$15,000 | None | NONE | |
| | GOODRICH CORP FORMERLY GOODRICH B F CO | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | GOODRICH CORPORATION | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | GOOGLE INC CL A | \$1,001 - \$15,000 | None | NONE | |
| | GREEN MOUNTN COFFEE ROS | \$1,001 - \$15,000 | None | NONE | |
| | GREENHILL COMPANY INC | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | HAEMONETICS CORP MASS | \$1,001 - \$15,000 | None | NONE | |
| | HCP INC COM | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | HEALTH CARE REIT INC | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | HEINZ H J CO | \$1,001 - \$15,000 | None/DIVIDENDS | \$1 - \$200 | |
| | HUNT J. B. TRANS SVCS INC | N/A | DIVIDENDS | \$1 - \$200 | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Lamar Seeligson Smith

Page 9 of 69

| | | | | | |
|--|--|-----------------------|-----------|-----------------|--|
| | IDEXX LAB INC DEL \$0.10 | \$1,001 - \$15,000 | None | NONE | |
| | IHS INC | \$1,001 - \$15,000 | None | NONE | |
| | ILLINOIS TOOL WORKS | N/A | DIVIDENDS | \$1 - \$200 | |
| | ILLUMINA INC COM | \$1,001 - \$15,000 | None | NONE | |
| | INTEGRYS ENERGY GROUP INC | N/A | DIVIDENDS | \$1 - \$200 | |
| | INTEL CORP | N/A | DIVIDENDS | \$1 - \$200 | |
| | INTERCONTINENTAL EXCHANGE | \$1,001 - \$15,000 | None | NONE | |
| | INTL BUSINESS MACH | N/A | DIVIDENDS | \$1 - \$200 | |
| | INVESCO LTD COM STK USD0.20 | N/A | DIVIDENDS | \$1 - \$200 | |
| | ISHARES BARCLAYS 20+ YEAR TREAS BOND FD | \$1,001 - \$15,000 | DIVIDENDS | \$201 - \$1,000 | |
| | ITAU UNIBANCO HOLDINGS S.A. ADR EACH | N/A | DIVIDENDS | \$1 - \$200 | |
| | JACOBS ENGN GRP INC DELA | \$1,001 - \$15,000 | None | NONE | |
| | JOHNSON & JOHNSON | N/A | DIVIDENDS | \$1 - \$200 | |
| | JOHNSON CONTROLS | \$1,001 - \$15,000 | None | NONE | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Lamar Seeligson Smith

Page 10 of 69

| | | | | | |
|----|---|-----------------------|------------|-------------|--|
| | JOY GLOBAL INC | N/A | DIVIDENDS | \$1 - \$200 | |
| | K 12 INC | \$1,001 - \$15,000 | None | NONE | |
| | KENNAMETAL INC | N/A | DIVIDENDS | \$1 - \$200 | |
| | LAGO VISTA JOINT VENTURE | \$1,001 - \$15,000 | INTEREST | \$1 - \$200 | |
| | LINCARE HLDGS INC | N/A | DIVIDENDS | \$1 - \$200 | |
| | LOCKHEED MARTIN CORP | N/A | DIVIDENDS | \$1 - \$200 | |
| SP | LOT 18 GAME TRAIL 4; CHAFEE COUNTY, CO | \$100,001 - \$250,000 | None | NONE | |
| | MACYS | N/A | DIVIDENDS | \$1 - \$200 | |
| | MANPOWER INC | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | MARRIOTT INTL INC CL A | N/A | DIVIDENDS | \$1 - \$200 | |
| | MCDONALDS CORP COM | \$15,001 - \$50,000 | DIVIDENDS | \$1 - \$200 | |
| | MCGRAW-HILL COS INC FORMERLY MCGRAW- | \$1,001 - \$15,000 | /DIVIDENDS | \$1 - \$200 | |
| | MCKESSON CORP | N/A | DIVIDENDS | \$1 - \$200 | |
| | MEDASSETS INC | \$1,001 - \$15,000 | None | NONE | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Lamar Seeligson Smith

Page 11 of 69

| | | | | | |
|--|-------------------------------------|----------------------|-----------|-----------------|--|
| | MEDTRONICS INC | \$1,001 - \$15,000 | None | NONE | |
| | METLIFE, INC. | N/A | DIVIDENDS | \$1 - \$200 | |
| | MICROSOFT CORP | \$15,001 - \$50,000 | DIVIDENDS | \$1 - \$200 | |
| | MILLICOM INTERNATIONAL CELLULAR COM | \$1,001 - \$15,000 | DIVIDENDS | \$201 - \$1,000 | |
| | ML BANK DEPOSIT PROGRAM | \$15,001 - \$50,000 | None | NONE | |
| | ML BANK DEPOSIT PROGRAM | \$1,001 - \$15,000 | None | NONE | |
| | ML BANK DEPOSIT PROGRAM | \$1,001 - \$15,000 | None | NONE | |
| | MONOLITHIC PWR SYSTEMS | \$1,001 - \$15,000 | None | NONE | |
| | NATIONAL GRID PLC SP ADR | \$1,001 - \$15,000 | None | NONE | |
| | NEW YORK COMMUNITY BANCORP | \$1,001 - \$15,000 | DIVIDENDS | \$201 - \$1,000 | |
| | NISOURCE INC | N/A | DIVIDENDS | \$1 - \$200 | |
| | NOBLE CORPORATION (SWITZERLAND) COM | N/A | DIVIDENDS | \$1 - \$200 | |
| | NOBLE ENERGY INC COM | N/A | DIVIDENDS | \$1 - \$200 | |
| | NUVEEN NWQ MULTICAP | \$50,001 - \$100,000 | None | NONE | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Lamar Seeligson Smith

Page 12 of 69

| | | | | | |
|--|------------------------------|------------------------|-----------|-----------------|--|
| | NXSTAGE MED INC | \$1,001 - \$15,000 | None | NONE | |
| | OCCIDENTAL PETE CORP CAL | \$1,001 - \$15,000 | None | NONE | |
| | OLD REPUBLIC INTL CORP | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | PARKER HANNIFIN GROUP | N/A | DIVIDENDS | \$1 - \$200 | |
| | PEABODY ENERGY CORP | N/A | DIVIDENDS | \$1 - \$200 | |
| | PEPSICO INC | \$15,001 - \$50,000 | None | NONE | |
| | POWERSHARE BUILD AMERIC | \$1,001 - \$15,000 | None | NONE | |
| | PRAXAIR INC | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | PRECISION CASTPARTS GROUP | N/A | DIVIDENDS | \$1 - \$200 | |
| | PROCTER & GAMBLE CO | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | PROGRESS ENERGY INC | \$1,001 - \$15,000 | DIVIDENDS | \$201 - \$1,000 | |
| | QUALCOMM INC | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | ROPER INDUSTRIES INC COM | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | SCHLUMBERGER LTD | \$1,001 - \$15,000 | None | NONE | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Lamar Seeligson Smith

Page 13 of 69

| | | | | | |
|--|--|-----------------------|-----------|-------------------|--|
| | SCHWAB CHARLES CORP NEW | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | SCRIPPS NETWORKS INTERACTIVE INC CL A | N/A | DIVIDENDS | \$1 - \$200 | |
| | SEMPRA ENERGY | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | SILICON LABS INC | \$1,001 - \$15,000 | None | NONE | |
| | SOLERA HOLDINGS INC | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | SOVEREIGN BANK | \$1,001 - \$15,000 | INTEREST | \$1,001 - \$2,500 | |
| | SPDR GOLD TRUST | \$1,001 - \$15,000 | None | NONE | |
| | ST JUDE MEDICAL INC | \$1,001 - \$15,000 | None | NONE | |
| | STILLWATER MINING | \$1,001 - \$15,000 | None | NONE | |
| | SYSCO CORP | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | TELEPHONE & DATA SYS INC SPL COM | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | TEMPUR PEDIC INTL INC | \$1,001 - \$15,000 | None | NONE | |
| | TEVA PHARMACEUTICAL INDUSTRIES ADR-EACH | N/A | DIVIDENDS | \$1 - \$200 | |
| | TIME WARNER CABLE INC COM | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Lamar Seeligson Smith

Page 14 of 69

| | | | | | |
|--|---|------------------------|-----------|-------------------|--|
| | TJX COMPANIES INC | N/A | DIVIDENDS | \$1 - \$200 | |
| | TRANSDIGM GROUP INC | \$1,001 - \$15,000 | None | NONE | |
| | TRIMAS CORP | \$1,001 - \$15,000 | None | NONE | |
| | TRIMBLE NAV LTD | \$1,001 - \$15,000 | None | NONE | |
| | U.S TREASURY NOTES | \$15,001 - \$50,000 | None | NONE | |
| | ULTIMATE SOFTWARE GROUP | \$1,001 - \$15,000 | None | NONE | |
| | UNILEVER NV NY REG SHS | \$1,001 - \$15,000 | None | NONE | |
| | UNITED STATES TREAS NTS 3.5% | N/A | INTEREST | \$1,001 - \$2,500 | |
| | UNITED STATES TREAS NTS 4.25% | N/A | INTEREST | \$1 - \$200 | |
| | UNITED STATES TREAS NTS 4.250% | N/A | INTEREST | \$201 - \$1,000 | |
| | URBAN OUTFITTERS INC | \$1,001 - \$15,000 | None | NONE | |
| | VALASSIS COMMUNCN INC | \$1,001 - \$15,000 | None | NONE | |
| | VALSPAR CORP | N/A | DIVIDENDS | \$1 - \$200 | |
| | VANGUARD LONG TERM GOVERNMENT BOND ETF | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Lamar Seeligson Smith

Page 15 of 69

| | | | | | |
|--|--|---------------------|-----------|-----------------|--|
| | VANGUARD SHORT TERM CORPORATE BOND ETF | \$15,001 - \$50,000 | DIVIDENDS | \$201 - \$1,000 | |
| | VERISIGN INC | \$1,001 - \$15,000 | DIVIDENDS | \$201 - \$1,000 | |
| | VERIZON COMMUNICATIONS | N/A | DIVIDENDS | \$1 - \$200 | |
| | VODAFONE GROU PLC SP ADR | \$1,001 - \$15,000 | None | NONE | |
| | VODAFONE GROUP SPON ADR REP 10 ORD | N/A | DIVIDENDS | \$201 - \$1,000 | |
| | WAL-MART STORES INC | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | WEATHERFORD INTL LTD | \$1,001 - \$15,000 | None | NONE | |
| | WERNER ENTERPRISES INC | N/A | DIVIDENDS | \$1 - \$200 | |
| | WHIRLPOOL CORP | N/A | DIVIDENDS | \$1 - \$200 | |
| | XEROX CORP | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | ZIMMER HOLDINGS INC COM | \$1,001 - \$15,000 | None | NONE | |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 16 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|-----------------------------------|------------------------|---|----------|-----------------------|
| | INTEL CORP | P | N/A | 01-20-10 | \$1,001 - \$15,000 |
| | AFFILIATED COMPUTER SVCS INC CL A | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | ALEXION PHARMS INC | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |
| | ALEXION PHARM INC | P | N/A | 07-06-10 | \$1,001 - \$15,000 |
| | ALEXION PHARM INC | P | N/A | 04-27-10 | \$1,001 - \$15,000 |
| | ALEXION PHARMS INC | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |
| | ALIGN TECH INC | P | N/A | 10-26-10 | \$1,001 - \$15,000 |
| | ALLEGiant TRAVEL CO | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | ALLEGiant TRAVEL CO | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | ALLEGiant TRAVEL CO | P | N/A | 10-20-10 | \$1,001 - \$15,000 |
| | ALLERGAN INC | S(part) | No | 11-04-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 17 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|------------------------------------|------------------------|---|----------|-----------------------|
| | ALLERGAN INC | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | ALLIANCE DATA SYS CORP | P | N/A | 07-16-10 | \$1,001 - \$15,000 |
| | ALLIANCE DATA SYS CORP | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | ALLIANCE DATA SYS CORP | S(part) | Yes | 05-06-10 | \$15,001 - \$50,000 |
| | ALLIANCE DATA SYS CORPS | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |
| | ALLSTATE CORP | P | N/A | 08-02-10 | \$1,001 - \$15,000 |
| | ALPINE TAX OPTIMIZED INC FD INV CL | S(part) | No | 05-11-10 | \$15,001 - \$50,000 |
| | AMAZON COM INC | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | AMAZON COM INC COPM | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | AMAZON.COM | P | N/A | 08-24-10 | \$1,001 - \$15,000 |
| | AMAZON.COM INC | P | N/A | 07-30-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 18 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|------------------------------|------------------------|---|----------|-----------------------|
| | AMEGEN INC | P | N/A | 02-19-10 | \$1,001 - \$15,000 |
| | AMER EXPRESS COMPANY | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |
| | AMER EXPRESS COMPANY | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | AMER EXPRESS COMPANY | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |
| | AMERICAN AXLE & MFG HOLDINGS | P | N/A | 02-26-10 | \$1,001 - \$15,000 |
| | AMERICAN AXLE & MFG HOLDINGS | P | N/A | 02-10-10 | \$1,001 - \$15,000 |
| | AMERICAN AXLE & MFG HOLDINGS | P | N/A | 02-09-10 | \$1,001 - \$15,000 |
| | AMERICAN AXLE & MFG HOLDINGS | P | N/A | 02-02-10 | \$1,001 - \$15,000 |
| | AMERICAN AXLE & MFG HOLDINGS | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | AMERICAN EXPRESS CO | P | N/A | 04-30-10 | \$1,001 - \$15,000 |
| | AMERICAN EXPRESS CO | P | N/A | 04-01-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 19 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|---|------------------------|---|----------|-----------------------|
| | AMERICAN EXPRESS CO | P | N/A | 02-08-10 | \$1,001 - \$15,000 |
| | AMERICAN EXPRESS CO | P | N/A | 04-05-10 | \$1,001 - \$15,000 |
| | AMERICAN EXPRESS CO | P | N/A | 04-06-10 | \$1,001 - \$15,000 |
| | AMERICAN GROWTH FUIND OF AMERICA CLASS | P | N/A | 05-17-10 | \$15,001 - \$50,000 |
| | AMERICAN TOWER CORP | P | N/A | 04-05-10 | \$1,001 - \$15,000 |
| | AMERICAN TOWER CORP | P | N/A | 05-17-10 | \$1,001 - \$15,000 |
| | AMERICAN TOWER CORP CL A | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | AMGEN INC | P | N/A | 02-11-10 | \$1,001 - \$15,000 |
| | AMPHENOL CORP CL /A | S(part) | Yes | 01-14-10 | \$1,001 - \$15,000 |
| | ANADARKO PETE CORP | S(part) | Yes | 05-11-10 | \$1,001 - \$15,000 |
| | ANALOG DEVICES INC | P | N/A | 03-29-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 20 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|----------------------------------|------------------------|---|----------|-----------------------|
| | ANALOG DEVICES INC | P | N/A | 03-30-10 | \$1,001 - \$15,000 |
| | ANHEUSE R-BUSCH | P | N/A | 02-02-10 | \$1,001 - \$15,000 |
| | ANHEUSE R-BUSCH INBEVADR | P | N/A | 01-27-10 | \$1,001 - \$15,000 |
| | ANHEUSE R-BUSCH INVEVADR | P | N/A | 01-26-10 | \$1,001 - \$15,000 |
| | ANHEUSER-BUSCH INBEV ADR EAH REP | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | ANSYS INC | P | N/A | 02-08-10 | \$1,001 - \$15,000 |
| | ANSYS INC | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | ANSYS INC | P | N/A | 02-01-10 | \$1,001 - \$15,000 |
| | ANSYS INC | P | N/A | 02-02-10 | \$1,001 - \$15,000 |
| | ANSYS INC | P | N/A | 02-05-10 | \$1,001 - \$15,000 |
| | APACHE CORP | P | N/A | 09-16-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 21 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|--------------------------|------------------------|---|----------|-----------------------|
| | APPLE INC | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | APPLE INC | P | N/A | 03-29-10 | \$1,001 - \$15,000 |
| | APPLE INC | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | APPLE INC | P | N/A | 02-11-10 | \$1,001 - \$15,000 |
| | APPLE INC | P | N/A | 02-02-10 | \$1,001 - \$15,000 |
| | APPLE INC | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | APPLE INC | P | N/A | 02-19-10 | \$1,001 - \$15,000 |
| | ASIAINFO-LINKAGE INC COM | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | ASIANINFO | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | AT&T INC | P | N/A | 09-13-10 | \$1,001 - \$15,000 |
| | ATHEROS COMMUNICATIONS | P | N/A | 11-08-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 22 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|--------------------------|------------------------|---|----------|-----------------------|
| | ATHEROS COMMUNICATIONS | P | N/A | 10-29-10 | \$1,001 - \$15,000 |
| | ATHEROS COMMUNICATIONS | P | N/A | 10-29-10 | \$1,001 - \$15,000 |
| | AUTOLIV INC | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | AUXILIUM PHARMACEUTICALS | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | AUXILIUM PHARMACEUTICALS | P | N/A | 08-10-10 | \$1,001 - \$15,000 |
| | AUXILIUM PHARMACEUTICALS | P | N/A | 08-16-10 | \$1,001 - \$15,000 |
| | AUXILIUM PHARMACEUTICALS | P | N/A | 08-17-10 | \$1,001 - \$15,000 |
| | AUXILIUM PHARMACEUTICALS | P | N/A | 08-11-10 | \$1,001 - \$15,000 |
| | AVIS BUDGET GROUP INC | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |
| | AVIS BUDGET GROUP INC | P | N/A | 04-09-10 | \$1,001 - \$15,000 |
| | AVIS BUDGET GROUP INC | P | N/A | 04-07-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 23 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|-----------------------|------------------------|---|----------|-----------------------|
| | AVIS BUDGET GROUP INC | P | N/A | 08-03-10 | \$1,001 - \$15,000 |
| | AVON PRODUCTS | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | BABCOCK & WILCOX CO | P | N/A | 08-19-10 | \$1,001 - \$15,000 |
| | BANK OF AMERICA | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | BANK OF AMERICA CORP | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | BANK OF AMERICA CORP | P | N/A | 01-04-10 | \$1,001 - \$15,000 |
| | BAXTER INTL INC | S(part) | Yes | 01-27-10 | \$1,001 - \$15,000 |
| | BAXTER INTL INC | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | BED BATH & BEYOND | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | BED BATH & BEYOND INC | S(part) | No | 11-23-10 | \$1,001 - \$15,000 |
| | BEMIS CO INC | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 24 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|--------------------------|------------------------|---|----------|-----------------------|
| | BEMIS COMPANY INC | P | N/A | 07-30-10 | \$1,001 - \$15,000 |
| | BEMIS COMPANY INC | P | N/A | 07-28-10 | \$1,001 - \$15,000 |
| | BJ S WHOLESALE CLUB INC | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | BJS WHOLESALE CLUB INC | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |
| | BORG WARNER INC | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |
| | BORGWANER INC | P | N/A | 05-04-10 | \$1,001 - \$15,000 |
| | BORGWARNER | P | N/A | 05-03-10 | \$1,001 - \$15,000 |
| | BORGWARNER | P | N/A | 08-04-10 | \$1,001 - \$15,000 |
| | BROADCOM CORP CALIF CL A | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |
| | BRUKER CORP | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |
| | BRUKER CORPORATION | P | N/A | 01-19-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 25 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|---------------------|------------------------|---|----------|-----------------------|
| | BRUKER CORPORATION | P | N/A | 06-18-10 | \$1,001 - \$15,000 |
| | BRUKER CORPORATION | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | BRUKER CORPORATION | P | N/A | 02-04-10 | \$1,001 - \$15,000 |
| | BRUKER CORPORATION | P | N/A | 01-19-10 | \$1,001 - \$15,000 |
| | CAMECO CORP COM NPV | S(part) | Yes | 05-27-10 | \$1,001 - \$15,000 |
| | CAMERON INTL CORP | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | CAMERON INTL CORP | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | CAPELLA ED CO | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | CAPELLA ED CO | S(part) | No | 11-03-10 | \$1,001 - \$15,000 |
| | CAREFUSION CORP | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | CAREFUSION CORP SHS | S(part) | No | VARIOUS | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 26 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|------------------------|------------------------|---|----------|-----------------------|
| | CELEGNE CORP | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | CELGENE CORP COM | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | CERNER CORP | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | CIRTIX SYSTEMS | P | N/A | 08-10-10 | \$1,001 - \$15,000 |
| | CITRIX SYSTEMS | P | N/A | 08-09-10 | \$1,001 - \$15,000 |
| | CITRIX SYSTEMS | P | N/A | 08-11-10 | \$1,001 - \$15,000 |
| | CITRIX SYSTEMS | P | N/A | 08-09-10 | \$1,001 - \$15,000 |
| | CITRIX SYSTEMS | P | N/A | 08-18-10 | \$1,001 - \$15,000 |
| | CITRIX SYSTEMS INC | P | N/A | 10-27-10 | \$1,001 - \$15,000 |
| | CITRIX SYSTEMS INC COM | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |
| | CLOROX CO | P | N/A | 03-15-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 27 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|----------------------|------------------------|---|----------|-----------------------|
| | COLOMBIA ACORN CLASS | P | N/A | 05-17-10 | \$15,001 - \$50,000 |
| | COMMSCOPE INC | P | N/A | 04-21-10 | \$1,001 - \$15,000 |
| | COMMSCOPE INC | P | N/A | 04-21-10 | \$1,001 - \$15,000 |
| | COMMSCOPE INC | P | N/A | 04-22-10 | \$1,001 - \$15,000 |
| | COMMSCOPE INC | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | COMMSCOPRE INC | P | N/A | 05-05-10 | \$1,001 - \$15,000 |
| | CONCHO RES INC | P | N/A | 02-05-10 | \$1,001 - \$15,000 |
| | CONCHO RES INC | P | N/A | 02-01-10 | \$1,001 - \$15,000 |
| | CONCHO RESOURCES INC | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | CONCHO RESOURCES INC | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |
| | CONCUR TECHNOLOGIES | P | N/A | 08-18-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 28 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|---------------------|------------------------|---|----------|-----------------------|
| | CONCUR TECHNOLOGIES | P | N/A | 08-12-10 | \$1,001 - \$15,000 |
| | CONCUR TECHNOLOGIES | P | N/A | 08-16-10 | \$1,001 - \$15,000 |
| | CONCUR TECHNOLOGIES | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |
| | CONDIVIEN PLC US | P | N/A | 01-25-10 | \$1,001 - \$15,000 |
| | CONOCOP HILLIP | P | N/A | 08-05-10 | \$1,001 - \$15,000 |
| | CONOCOP HILLIPS | P | N/A | 08-06-10 | \$1,001 - \$15,000 |
| | CONOCOP HILLIPS | P | N/A | 08-09-10 | \$1,001 - \$15,000 |
| | CONOCOP HILLIPS | P | N/A | 08-10-10 | \$1,001 - \$15,000 |
| | CONOCOP HILLIPS | P | N/A | 08-04-10 | \$1,001 - \$15,000 |
| | CONOCOP HILLIPS | P | N/A | 08-04-10 | \$1,001 - \$15,000 |
| | CONOCOPHILLIPS | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 29 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|------------------------------|------------------------|---|----------|-----------------------|
| | CONOCOPHILLIPS | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |
| | CONVIDIEN PLC | P | N/A | 02-01-10 | \$1,001 - \$15,000 |
| | CONVIDIEN PLC | P | N/A | 08-02-10 | \$1,001 - \$15,000 |
| | CONVIDIEN PLC US | P | N/A | 01-26-10 | \$1,001 - \$15,000 |
| | CORPORATE EXEC BOARD CO | P | N/A | 11-16-10 | \$1,001 - \$15,000 |
| | CORPORATE EXEC BOARD CO | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | COVIDIEN PLC | P | N/A | 06-18-10 | \$1,001 - \$15,000 |
| | COVIDIEN PLC SHS | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |
| | CTRIIP.COM | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | CTRIIP.COM INTERNATIONAL LTD | P | N/A | 07-13-10 | \$1,001 - \$15,000 |
| | CTRIIP.COM INTERNATIONAL LTD | P | N/A | 07-22-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 30 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|--------------------|------------------------|---|----------|-----------------------|
| | CUMMINS INC | P | N/A | 07-13-10 | \$1,001 - \$15,000 |
| | CUMMINS INC | P | N/A | 07-16-10 | \$1,001 - \$15,000 |
| | CUMMINS INC | P | N/A | 07-22-10 | \$1,001 - \$15,000 |
| | CUMMINS INC | P | N/A | 07-12-10 | \$1,001 - \$15,000 |
| | CUMMINS INC | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |
| | CUMMINS INC | P | N/A | 07-19-10 | \$1,001 - \$15,000 |
| | DAIMLER A | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |
| | DAIMLER AG ORD | P | N/A | 03-24-10 | \$1,001 - \$15,000 |
| | DAIMLER AG ORD | P | N/A | 03-24-10 | \$1,001 - \$15,000 |
| | DAIMLER AG ORD NPV | P | N/A | 03-25-10 | \$1,001 - \$15,000 |
| | DAIMLER AG ORD NPV | P | N/A | 03-19-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 31 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|------------------------------|------------------------|---|----------|-----------------------|
| | DANA HLDG CORP | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | DAVITA INC COM | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | DELTA AIR LINES | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |
| | DELTA AIR LINES INC | P | N/A | 05-05-10 | \$1,001 - \$15,000 |
| | DELTA AIR LINES INC | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |
| | DERAMWORKS ANIMATIONS KG INC | P | N/A | 03-29-10 | \$1,001 - \$15,000 |
| | DEVRY INC DEL | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | DICKS SPORTING GOODS | P | N/A | 08-05-10 | \$1,001 - \$15,000 |
| | DICKS SPORTING GOODS | P | N/A | 08-10-10 | \$1,001 - \$15,000 |
| | DICKS SPORTING GOODS | P | N/A | 08-09-10 | \$1,001 - \$15,000 |
| | DICKS SPORTING GOODS | P | N/A | 08-03-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 32 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|---------------------------|------------------------|---|----------|-----------------------|
| | DIRECTTV COM CL A | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | DIRECTV | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | DISCOVERY COMMUNICATIONS | S(part) | Yes | 02-23-10 | \$1,001 - \$15,000 |
| | DODGE & COX STOCK | P | N/A | 05-13-10 | \$1,001 - \$15,000 |
| | DOLBY LABORATORIES INC | P | N/A | 10-01-10 | \$1,001 - \$15,000 |
| | DOLBY LABORATORIES INC | P | N/A | 10-06-10 | \$1,001 - \$15,000 |
| | DOLLAR GENERAL CORP | P | N/A | 04-21-10 | \$1,001 - \$15,000 |
| | DOLLAR GENERAL CORP | P | N/A | 04-20-10 | \$1,001 - \$15,000 |
| | DOLLAR GENERAL CORP | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | DOLLAR THRIFTY AUTMOTIVE | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |
| | DOLLAR THRIFTY AUTOMOTIVE | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 33 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|------------------------------|------------------------|---|----------|-----------------------|
| | DREAMWORKS | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | DREAMWORKS ANIMATINOS KG INC | P | N/A | 03-30-10 | \$1,001 - \$15,000 |
| | DREAMWORKS ANIMATIONS KG INC | P | N/A | 03-26-10 | \$1,001 - \$15,000 |
| | DREAMWORKS ANIMATIONS KG INC | P | N/A | 03-25-10 | \$1,001 - \$15,000 |
| | E M C CORP MASS | P | N/A | 02-01-10 | \$1,001 - \$15,000 |
| | E M C CORP MASS | P | N/A | 02-02-10 | \$1,001 - \$15,000 |
| | E M C CORP MASS | P | N/A | 02-01-10 | \$1,001 - \$15,000 |
| | E M C CORPORATION MASS | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |
| | EASTON CORP | P | N/A | 07-28-10 | \$1,001 - \$15,000 |
| | EATON CORP | P | N/A | 08-05-10 | \$1,001 - \$15,000 |
| | EATON CORP | P | N/A | 07-30-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 34 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|-------------|------------------------|---|----------|-----------------------|
| | EATON CORP | P | N/A | 07-29-10 | \$1,001 - \$15,000 |
| | EATON CORP | P | N/A | 07-27-10 | \$1,001 - \$15,000 |
| | EATON GROUP | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |
| | EBAY INC | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | EBAY INC | P | N/A | 01-04-10 | \$1,001 - \$15,000 |
| | ECOLAB INC | P | N/A | 09-13-10 | \$1,001 - \$15,000 |
| | EQUINIX INC | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |
| | EQUINIX INC | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |
| | EQUINIX INC | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |
| | EQUINIX INC | P | N/A | 08-03-10 | \$1,001 - \$15,000 |
| | EQUINIX INC | P | N/A | 04-30-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 35 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|----------------------------|------------------------|---|----------|-----------------------|
| | ESTEE LAUDER COMPANIES | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | ESTEE LAUDER COMPANIES INC | P | N/A | 04-30-10 | \$1,001 - \$15,000 |
| | EXELON CORP | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | EXPEDIA INC DEL | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | EXPERSS SCRIPTS INC | P | N/A | 03-02-10 | \$1,001 - \$15,000 |
| | EXPRESS SCRIPTS INC | P | N/A | 03-02-10 | \$1,001 - \$15,000 |
| | EXPRESS SCRIPTS INC | P | N/A | 02-26-10 | \$1,001 - \$15,000 |
| | EXPRESS SCRIPTS INC | P | N/A | 03-10-10 | \$1,001 - \$15,000 |
| | EXPRESS SCRIPTS INC | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | EXPRESS SCRIPTS INC COM | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |
| | EXPRESS SCRIPTS INC COM | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 36 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|-------------------------|------------------------|---|----------|-----------------------|
| | F5 NETWORK INC COM | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |
| | F5 NETWORKS INC | P | N/A | 02-08-10 | \$1,001 - \$15,000 |
| | F5 NETWORKS INC | P | N/A | 06-23-10 | \$1,001 - \$15,000 |
| | FASTENAL COMPANY | S(part) | No | 10-01-10 | \$1,001 - \$15,000 |
| | FASTENAL COMPANY | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | FEDEX CORP | P | N/A | 03-22-10 | \$1,001 - \$15,000 |
| | FEDEX CORP | P | N/A | 03-24-10 | \$1,001 - \$15,000 |
| | FEDEX CORP | P | N/A | 04-01-10 | \$1,001 - \$15,000 |
| | FEDEX CORP DELAWARE COM | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |
| | FLIR SYSTEMS INC | P | N/A | 12-10-10 | \$1,001 - \$15,000 |
| | FLIR SYSTEMS INC | P | N/A | 10-04-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 37 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|---|------------------------|---|----------|-----------------------|
| | FLIR SYSTEMS INC | P | N/A | 10-06-10 | \$1,001 - \$15,000 |
| | FLIR SYSTEMS INC | P | N/A | 12-10-10 | \$1,001 - \$15,000 |
| | FLIR SYSTEMS INC | P | N/A | 10-15-10 | \$1,001 - \$15,000 |
| | FLIR SYSTEMS INC PER THE UMAGREEMENT | P | N/A | 11-10-10 | \$1,001 - \$15,000 |
| | FLOWSERVE CORP | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | FOSTER WHEELER AG ORD | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | FREEPORT MCMORAN COPPER | S(part) | No | 01-28-10 | \$1,001 - \$15,000 |
| | GARTNER INC | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |
| | GENTIVA HEALTH SVCS | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | GENTIVA HEALTH SVCS INC | P | N/A | 05-27-10 | \$1,001 - \$15,000 |
| | GENTIVA HEALTH SVCS INC | P | N/A | 06-01-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 38 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|---------------------------|------------------------|---|----------|-----------------------|
| | GENZYME CORP | P | N/A | 08-02-10 | \$1,001 - \$15,000 |
| | GILEAD SCIENCES | S(part) | No | 03-30-10 | \$1,001 - \$15,000 |
| | GILEAD SCIENCES INC | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | GOODRICH CORPORATION | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | GOODRICH CORPORATION | S(part) | No | 11-15-10 | \$1,001 - \$15,000 |
| | GOOGLE INC | P | N/A | 04-05-10 | \$1,001 - \$15,000 |
| | GOOGLE INC | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | GOOGLE INC CL A | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | GREEN MOUNTAIN COFFEE ROS | S(part) | No | 10-08-10 | \$1,001 - \$15,000 |
| | GREEN MOUNTN COFFEE | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | GREEN MOUNTN COFFEE | P | N/A | 12-17-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 39 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|-----------------------|------------------------|---|----------|-----------------------|
| | GREENHILL COMPANY INC | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | GREENHILL COMPANY INC | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | HAEMONE TICS | P | N/A | 10-18-10 | \$1,001 - \$15,000 |
| | HAEMONE TICS CORP | P | N/A | 10-26-10 | \$1,001 - \$15,000 |
| | HEINZ HJ CO | P | N/A | 03-11-10 | \$1,001 - \$15,000 |
| | HHGREG INC COM | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | HOSPIRA INC | P | N/A | 06-16-10 | \$1,001 - \$15,000 |
| | HOSPIRA INC | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |
| | HOSPIRA INC | P | N/A | 06-16-10 | \$1,001 - \$15,000 |
| | HOSPIRA INC | P | N/A | 06-18-10 | \$1,001 - \$15,000 |
| | HUNT J B TRANS | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 40 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|----------------------------|------------------------|---|----------|-----------------------|
| | HUNT J B. TRANS SVCS INC | P | N/A | 06-09-10 | \$1,001 - \$15,000 |
| | IDEXX LAB INC | P | N/A | 09-13-10 | \$1,001 - \$15,000 |
| | IHS INC | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | IHS INC | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | ILLINOIS TOOL WORKS | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | ILLINOIS TOOL WORKS | P | N/A | 07-19-10 | \$1,001 - \$15,000 |
| | ILLONOIS TOOL WORKS | P | N/A | 04-20-10 | \$1,001 - \$15,000 |
| | ILLUMINA INC | S(part) | Yes | 12-10-10 | \$1,001 - \$15,000 |
| | ILLUMINA INC COM | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | INTEGRYS ENERGY GROUP INC. | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | INTEL CORP | P | N/A | 01-20-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 41 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|--|------------------------|---|----------|-----------------------|
| | INTEL CORP | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | INTEL CORP | P | N/A | 01-19-10 | \$1,001 - \$15,000 |
| | INTERCONTINENTAL EXCHANGE INC | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | INTERNET BRANDS INC | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | INTL BUSINESS MACH | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | INVESCO LTS COM | P | N/A | 02-08-10 | \$1,001 - \$15,000 |
| | INVESTCO LTD COM STK | S(part) | No | 06-08-10 | \$1,001 - \$15,000 |
| | IRIDIUM COMMUNICATIONS | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |
| | IRIDIUM COMMUNICATIONS | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |
| | ISHARES BARCLAYS 20+ YEAR TREAS BOND FD | S(part) | No | 01-07-10 | \$1,001 - \$15,000 |
| | ITAU UNIBANCO BANCO HOLD | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 42 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|-----------------------------|------------------------|---|----------|-----------------------|
| | ITAU UNIBANCO HOLDINGS S.A. | P | N/A | 06-11-10 | \$1,001 - \$15,000 |
| | JACOBS ENGN GRP INC | P | N/A | 12-10-10 | \$1,001 - \$15,000 |
| | JACOBS ENGN GRP INC | P | N/A | 11-29-10 | \$1,001 - \$15,000 |
| | JACOBS ENGN GRP INC | P | N/A | 11-15-10 | \$1,001 - \$15,000 |
| | JACOBS ENGN GRP INC | P | N/A | 12-09-10 | \$1,001 - \$15,000 |
| | JO ANN STORES INC | P | N/A | 05-03-10 | \$1,001 - \$15,000 |
| | JO ANN STORES INC | P | N/A | 07-21-10 | \$1,001 - \$15,000 |
| | JO ANN STORES INC | P | N/A | 04-16-10 | \$1,001 - \$15,000 |
| | JO ANN STORES INC | P | N/A | 04-19-10 | \$1,001 - \$15,000 |
| | JO ANN STORES INC | P | N/A | 04-16-10 | \$1,001 - \$15,000 |
| | JO-ANN STORES INC | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 43 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|----------------------|------------------------|---|----------|-----------------------|
| | JO-ANN STORES INC | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |
| | JOHNSON & JOHNSON | S(part) | No | 06-03-10 | \$1,001 - \$15,000 |
| | JOHNSON CONTROLS INC | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | JOHNSON CONTROLS INC | P | N/A | 10-06-10 | \$1,001 - \$15,000 |
| | JOY GLOBAL INC | S(part) | Yes | 04-21-10 | \$1,001 - \$15,000 |
| | JP MORGAN CHASE & CO | P | N/A | 07-19-10 | \$1,001 - \$15,000 |
| | JP MORGAN CHASE & CO | P | N/A | 07-19-10 | \$1,001 - \$15,000 |
| | JP MORGAN CHASE & CO | P | N/A | 07-19-10 | \$1,001 - \$15,000 |
| | JPMORGAN CHASE & CO | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |
| | JUNIPER NETWORKS | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | JUNIPER NETWORKS | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 44 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|------------------------|------------------------|---|----------|-----------------------|
| | K 12 INC | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | KENNAME TAL INC | P | N/A | 01-19-10 | \$1,001 - \$15,000 |
| | KENNAMETAL INC | P | N/A | 05-06-10 | \$1,001 - \$15,000 |
| | KENNAMETAL INC | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | KNIGHT TRANSPORT INC | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | KNIGHT TRANSPRTN | S(part) | No | 11-04-10 | \$1,001 - \$15,000 |
| | LHC GROUP INC | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | LIFE TECHNOLOGIES CORP | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | LINCARE HLDGS INC | P | N/A | 01-21-10 | \$1,001 - \$15,000 |
| | LINCARE HLDGS INC | P | N/A | 07-07-10 | \$1,001 - \$15,000 |
| | LINCARE HLDGS INC | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 45 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|------------------------------------|------------------------|---|----------|-----------------------|
| | LINCARE HLDGS INC | P | N/A | 02-01-10 | \$1,001 - \$15,000 |
| | LINCARE HLDGS INC | P | N/A | 01-20-10 | \$1,001 - \$15,000 |
| | LINCARE HLDGS INC | P | N/A | 01-21-10 | \$1,001 - \$15,000 |
| | LNGTP FINL TECHNS LTDADR | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |
| | LNGTP FINL TECHNS LTDADR | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |
| | LOCKHEED MARTIN CORP | P | N/A | 03-10-10 | \$1,001 - \$15,000 |
| | LONGTOP FINANCIAL TECHNOLOGIES LTD | P | N/A | 03-10-10 | \$1,001 - \$15,000 |
| | LONGTOP FINANCIAL TECHNOLOGIES LTD | P | N/A | 03-12-10 | \$1,001 - \$15,000 |
| | LONGTOP FINANCIAL TECHNOLOGIES LTD | P | N/A | 03-09-10 | \$1,001 - \$15,000 |
| | LONGTOP FINANCIAL TECHNOLOGIES LTD | P | N/A | 03-30-10 | \$1,001 - \$15,000 |
| | MACYS | P | N/A | 08-18-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 46 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|-------------------------|------------------------|---|----------|-----------------------|
| | MACYS | P | N/A | 08-19-10 | \$1,001 - \$15,000 |
| | MACYS | P | N/A | 08-20-10 | \$1,001 - \$15,000 |
| | MACYS INC | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |
| | MANPOWER INC | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | MARRIOTT INTL INC | P | N/A | 06-18-10 | \$1,001 - \$15,000 |
| | MARRIOTT INTL INC | P | N/A | 07-21-10 | \$1,001 - \$15,000 |
| | MARRIOTT INTL INC | P | N/A | 06-17-10 | \$1,001 - \$15,000 |
| | MARRIOTT INTL INC | P | N/A | 06-21-10 | \$1,001 - \$15,000 |
| | MARRIOTT INTL INC NEW A | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |
| | MARVELL TECH GROUP | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | MARVELL TECH GROUP COM | P | N/A | 04-05-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 47 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|---|------------------------|---|----------|-----------------------|
| | MCAFEE INC | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | MCAFEE INC | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | MCDERMOTT INTERNATIONAL INC COM STK USD1 | S(part) | Yes | 08-04-10 | \$1,001 - \$15,000 |
| | MCDONALDS CORP | S(part) | No | 10-22-10 | \$1,001 - \$15,000 |
| | MCDONALDS CORP | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | MCGRAW HILL COS INC | P | N/A | 06-09-10 | \$1,001 - \$15,000 |
| | MCKESSON CORP | P | N/A | 04-08-10 | \$1,001 - \$15,000 |
| | MCKESSON CORP | P | N/A | 05-07-10 | \$1,001 - \$15,000 |
| | MCKESSON CORP | P | N/A | 04-09-10 | \$1,001 - \$15,000 |
| | MCKESSON CORP | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | MCKESSON CORPORATION COM | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 48 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|------------------------|------------------------|---|----------|-----------------------|
| | MEDASSE TS INC | P | N/A | 11-30-10 | \$1,001 - \$15,000 |
| | MEDASSE TS INC | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | MEDCO HEALTH SOLUTIONS | S(part) | No | 06-15-10 | \$1,001 - \$15,000 |
| | MEDITRONIC INC | P | N/A | 11-02-10 | \$1,001 - \$15,000 |
| | MEDNAX INC | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | MICRON TECHNOLOGY | P | N/A | 01-04-10 | \$1,001 - \$15,000 |
| | MICRON TECHNOLOGY | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | MICRON TECHNOLOGY | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | MICROSOFT CORP | P | N/A | 08-02-10 | \$1,001 - \$15,000 |
| | MICROSOFT CORP | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | MICROSOFT CORP | S(part) | No | 06-30-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 49 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|--|------------------------|---|----------|-----------------------|
| | MICROSOFT CORP | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | MICROSOFT CORP | P | N/A | 04-26-10 | \$1,001 - \$15,000 |
| | MILLICOM INTERNATIONAL CELLULAR COM | S(part) | Yes | 06-24-10 | \$1,001 - \$15,000 |
| | MONOLITH IC PWR SYSTEMS | P | N/A | 12-10-10 | \$1,001 - \$15,000 |
| | MONOLITH IC PWR SYSTEMS INC | P | N/A | 12-03-10 | \$1,001 - \$15,000 |
| | MONOLITHIC PWR SYSTEMS | P | N/A | 11-09-10 | \$1,001 - \$15,000 |
| | MONSANTO CO NEW DEL COM | S(part) | No | 09-29-10 | \$1,001 - \$15,000 |
| | MONSTANTO CO NEW | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | MOTOROLA INC | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | MSCI INC | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | MSCI INC | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 50 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|----------------------|------------------------|---|----------|-----------------------|
| | NATIONAL GRID PLC SP | P | N/A | 10-25-10 | \$1,001 - \$15,000 |
| | NATIONAL GRID PLC SP | P | N/A | 10-26-10 | \$1,001 - \$15,000 |
| | NATIONAL GRID PLC SP | P | N/A | 11-02-10 | \$1,001 - \$15,000 |
| | NETAPP | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |
| | NETAPP INC | P | N/A | 08-24-10 | \$1,001 - \$15,000 |
| | NETAPP INC | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |
| | NETAPP INC COM | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | NETLOGIC | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | NETLOGIC | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | NETLOGIC | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |
| | NETLOGIC MICROSYS | P | N/A | 08-31-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 51 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|-----------------------------|------------------------|---|----------|-----------------------|
| | NETLOGIC MICROSYSTEMS | P | N/A | 08-30-10 | \$1,001 - \$15,000 |
| | NETLOGIC MICROSYSTMES | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |
| | NEUTRAL TANDEM INC COM | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | NEW YORK COMMUNITY BAN CORP | P | N/A | 01-07-10 | \$1,001 - \$15,000 |
| | NEWFIELD EXPL CO | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |
| | NEWFIELD EXPL CO | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |
| | NEWFIELD EXPLORATINO CO | P | N/A | 04-07-10 | \$1,001 - \$15,000 |
| | NEWFIELD EXPLORATION CO | P | N/A | 04-07-10 | \$1,001 - \$15,000 |
| | NEWFIELD EXPLORATION CO | P | N/A | 04-05-10 | \$1,001 - \$15,000 |
| | NEWFIELD EXPLORATION CO | P | N/A | 04-06-10 | \$1,001 - \$15,000 |
| | NISOURCE INC | S(part) | Yes | 04-21-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 52 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|---------------------------------|------------------------|---|----------|-----------------------|
| | NOBLE CORPORATION (SWITZERLAND) | S(part) | Yes | 03-30-10 | \$1,001 - \$15,000 |
| | NOBLE ENERGY | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |
| | NUVASIVE INC | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | NUVASIVE INC | S(part) | No | 11-02-10 | \$1,001 - \$15,000 |
| | NUVEEN NWQ MULTI CAPVALUE CLASS | P | N/A | 05-17-10 | \$15,001 - \$50,000 |
| | NVIDIA CORP | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | NVIDIA CORP | P | N/A | 02-22-10 | \$1,001 - \$15,000 |
| | NVIDIA CORP | P | N/A | 02-17-10 | \$1,001 - \$15,000 |
| | NVIDIA CORP | P | N/A | 02-08-10 | \$1,001 - \$15,000 |
| | NVIDIA CORP | P | N/A | 02-09-10 | \$1,001 - \$15,000 |
| | NVIDIA CORP | P | N/A | 03-12-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 53 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|----------------------------|------------------------|---|----------|-----------------------|
| | NXSTAGE MED INC | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | NXSTAGE MED INC | P | N/A | 11-23-10 | \$1,001 - \$15,000 |
| | OCCIDENTAL PETE CORP | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | ODYSSEY HEALTHCARE | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | ORION MARINE GROUP INC COM | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | PANERA BREAD COMPANY CL A | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | PARKER HANNIFIN CORP | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | PARKER HANNIFIN CORP | P | N/A | 03-01-10 | \$1,001 - \$15,000 |
| | PARKER HANNIFIN CORP | P | N/A | 03-02-10 | \$1,001 - \$15,000 |
| | PARKER HANNIFIN CORP | P | N/A | 03-05-10 | \$1,001 - \$15,000 |
| | PARKER HANNIFIN CORP | P | N/A | 03-19-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 54 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|--|------------------------|---|----------|-----------------------|
| | PEABODY ENERGY CORP | S(part) | Yes | 04-08-10 | \$1,001 - \$15,000 |
| | PENSKE AUTOMOTIVE GROUP | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | PEPRSICO INC | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | PEPSICO INC | P | N/A | 10-04-10 | \$1,001 - \$15,000 |
| | PETROHAWK ENERGY CORP | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | PF CHANG CHINA BISTRO | S(part) | Yes | 10-25-10 | \$1,001 - \$15,000 |
| | PF CHANGS CHINA BISTRO | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | POWER SHARES BUILD AMERIC BOND | P | N/A | 11-15-10 | \$1,001 - \$15,000 |
| | POWER SHARES BUILD AMERIC BOND | P | N/A | 11-10-10 | \$1,001 - \$15,000 |
| | POWER SHARES BUILD AMERIC BOND | P | N/A | 11-12-10 | \$1,001 - \$15,000 |
| | POWERSHARES DB COMMODITY INDEX TRACKING | S(part) | No | 05-11-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 55 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|---------------------------|------------------------|---|----------|-----------------------|
| | PRAXAIR INC | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | PRECISION CAST | P | N/A | 07-22-10 | \$1,001 - \$15,000 |
| | PRECISION CAST PARTS | P | N/A | 04-28-10 | \$1,001 - \$15,000 |
| | PRECISION CAST PARTS | P | N/A | 04-27-10 | \$1,001 - \$15,000 |
| | PRECISION CAST PARTS CO | P | N/A | 07-19-10 | \$1,001 - \$15,000 |
| | PRECISION CAST PARTS CORP | P | N/A | 04-27-10 | \$1,001 - \$15,000 |
| | PRECISION CAST PARTS CORP | P | N/A | 04-30-10 | \$1,001 - \$15,000 |
| | PRECISION CAST PARTS CORP | P | N/A | 05-11-10 | \$1,001 - \$15,000 |
| | PRECISION CASTPARTS | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |
| | PRECISION CASTPARTS | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |
| | PRICELINE COM INC | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 56 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|-------------------|------------------------|---|----------|-----------------------|
| | PRICELINE COM INC | S(part) | Yes | 03-29-10 | \$1,001 - \$15,000 |
| | PRICELINE COM INC | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |
| | QUALCOM INC | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | QUALCOMM | S(part) | No | 10-04-10 | \$1,001 - \$15,000 |
| | QUALCOMM INC | S(part) | No | 02-03-10 | \$1,001 - \$15,000 |
| | QUALCOMM INC | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | RED HAT | P | N/A | 08-04-10 | \$1,001 - \$15,000 |
| | RED HAT INC | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |
| | RED HAT INC | P | N/A | 05-17-10 | \$1,001 - \$15,000 |
| | RED HAT INC | P | N/A | 04-05-10 | \$1,001 - \$15,000 |
| | ROPER INDUSTRIES | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 57 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|------------------------|------------------------|---|----------|-----------------------|
| | ROPER INDUSTRIES INC | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | RUBICON TECHNOLOGY | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |
| | RUBICON TECHNOLOGY INC | P | N/A | 07-06-10 | \$1,001 - \$15,000 |
| | RUBICON TECHNOLOGY INC | P | N/A | 07-02-10 | \$1,001 - \$15,000 |
| | RUBICON TECHNOLOGY INC | P | N/A | 07-07-10 | \$1,001 - \$15,000 |
| | RYDER SYSTEM INC | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | SALES FORCE COM INC | P | N/A | 07-07-10 | \$1,001 - \$15,000 |
| | SALES FORCE COM INC | P | N/A | 07-06-10 | \$1,001 - \$15,000 |
| | SALESFORCE COM INC | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |
| | SAPIENT CORP | P | N/A | 03-31-10 | \$1,001 - \$15,000 |
| | SAPIENT CORP | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 58 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|----------------------------------|------------------------|---|----------|-----------------------|
| | SAPIENT CORP | P | N/A | 03-30-10 | \$1,001 - \$15,000 |
| | SAPIENT CORP | P | N/A | 03-25-10 | \$1,001 - \$15,000 |
| | SBA COMMUNICATIONS | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |
| | SCHLUMBERGER LTD | P | N/A | 10-18-10 | \$1,001 - \$15,000 |
| | SCHWAB CHARLES CORP | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | SCRIPPS NETWORK | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |
| | SCRIPPS NETWORKS | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |
| | SCRIPPS NETWORKS INTERACTIVE INC | P | N/A | 03-31-10 | \$1,001 - \$15,000 |
| | SCRIPPS NETWORKS INTERACTIVE INC | P | N/A | 03-31-10 | \$1,001 - \$15,000 |
| | SCRIPPS NETWORKS INTERACTIVE INC | P | N/A | 04-05-10 | \$1,001 - \$15,000 |
| | SCRIPPS NETWORKS INTERACTIVE INC | P | N/A | 04-01-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 59 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|----------------------------------|------------------------|---|----------|-----------------------|
| | SCRIPPS NETWORKS INTERACTIVE INC | P | N/A | 04-05-10 | \$1,001 - \$15,000 |
| | SEAGAGE TECHNOLOGY COM | P | N/A | 02-26-10 | \$1,001 - \$15,000 |
| | SEAGATE TEACHNOLOGY COM | P | N/A | 03-12-10 | \$1,001 - \$15,000 |
| | SEAGATE TECHNOLOGY | P | N/A | 03-15-10 | \$1,001 - \$15,000 |
| | SEAGATE TECHNOLOGY COM | P | N/A | 02-25-10 | \$1,001 - \$15,000 |
| | SEAGATE TECHNOLOGY COM | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | SEAGATE TECHNOLOGY COM | P | N/A | 02-26-10 | \$1,001 - \$15,000 |
| | SEMPRA ENERGY | P | N/A | 05-07-10 | \$1,001 - \$15,000 |
| | SILICON LABS INC | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | SILICON LABS INC | P | N/A | 12-10-10 | \$1,001 - \$15,000 |
| | SILICON LABS INC | P | N/A | 11-05-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 60 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|------------------------------|------------------------|---|----------|-----------------------|
| | SINCLAIR BRDCASTING | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |
| | SINCLAIR BRDCASTING | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |
| | SINCLAIR BROADCAST GROUP INC | P | N/A | 04-19-10 | \$1,001 - \$15,000 |
| | SINCLAIR BROADCAST GROUP INC | P | N/A | 04-26-10 | \$1,001 - \$15,000 |
| | SINCLAIR BROADCAST GROUP INC | P | N/A | 05-11-10 | \$1,001 - \$15,000 |
| | SIRONA DENTAL SYS INC | P | N/A | 09-02-10 | \$1,001 - \$15,000 |
| | SIRONA DENTAL SYS INC | P | N/A | 09-01-10 | \$1,001 - \$15,000 |
| | SIRONA DENTAL SYS INC | P | N/A | 09-01-10 | \$1,001 - \$15,000 |
| | SIRONA DENTAL SYS INC | P | N/A | 09-03-10 | \$1,001 - \$15,000 |
| | SIRONA DENTAL SYSTEMS | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |
| | SOLERA HLDGS INC | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 61 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|----------------------|------------------------|---|----------|-----------------------|
| | SOLERA HLDGS INC | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | SOLERA HOLDINGS INC | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | SOLERA HOLDINGS INC | P | N/A | 10-28-10 | \$1,001 - \$15,000 |
| | SOLERA HOLDINGS INC | S(part) | No | 09-22-10 | \$1,001 - \$15,000 |
| | SOUTHWEST ENERGY CO | S(part) | Yes | 12-03-10 | \$1,001 - \$15,000 |
| | SOUTHWESTERN ENERGY | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | STILLWATER MINING | P | N/A | 12-14-10 | \$1,001 - \$15,000 |
| | SUCCESSFACTORS INC | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |
| | SUNCOR ENERGY COM | S(part) | No | 01-27-10 | \$1,001 - \$15,000 |
| | SYSCO CORP | P | N/A | 03-30-10 | \$1,001 - \$15,000 |
| | TEMPURPEDIC INTL INC | P | N/A | 11-29-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 62 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|--------------------------------|------------------------|---|----------|-----------------------|
| | TEMPURPEDIC INTL INC | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | TEVA PHARMACEUTICAL INDUSTRIES | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | TEXAS INSTRUMENTS INC | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | TJX COMPANIES INC | P | N/A | 02-03-10 | \$1,001 - \$15,000 |
| | TJX COMPANIES INC | P | N/A | 02-05-10 | \$1,001 - \$15,000 |
| | TJX COMPANIES INC | P | N/A | 02-11-10 | \$1,001 - \$15,000 |
| | TJX COS INC NEW | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |
| | TRANSDIGM GROUP INC | S(part) | No | 11-11-10 | \$1,001 - \$15,000 |
| | TRANSDIGM GROUP INC | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | TRIMAS CORP | P | N/A | 11-05-10 | \$1,001 - \$15,000 |
| | TRIMBLE NAV LTD | P | N/A | 09-16-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 63 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|-------------------------|------------------------|---|----------|-----------------------|
| | TRIMBLE NAV LTD | S(part) | Yes | 12-07-10 | \$1,001 - \$15,000 |
| | TRW AUTOMOTIVE | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | TRW AUTOMOTIVE HLDGS CO | P | N/A | 03-09-10 | \$1,001 - \$15,000 |
| | TRW AUTOMOTIVE HOLDINGS | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |
| | TWR AUTOMOTIVE HOLDINGS | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |
| | ULTIMATE SOFTWARE GROUP | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | UNILEVER NV NY | P | N/A | 12-17-10 | \$1,001 - \$15,000 |
| | UNILEVER NV NY | P | N/A | 12-20-10 | \$1,001 - \$15,000 |
| | UNION PACIFIC | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |
| | UNION PACIFIC CORP | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | UNITED STATES TREAS | P | N/A | 07-29-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 64 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|--------------------------------|------------------------|---|----------|-----------------------|
| | UNITED STATES TREAS NTS 4.250% | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | URBAN OUTFITTERS | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |
| | URBAN OUTFITTERS | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |
| | URBAN OUTFITTERS | S(part) | No | 05-12-10 | \$1,001 - \$15,000 |
| | URBAN OUTFITTERS INC | P | N/A | 10-28-10 | \$1,001 - \$15,000 |
| | VALASSIS COMMUNCN INC | P | N/A | 11-02-10 | \$1,001 - \$15,000 |
| | VALASSIS COMMUNCN INC | P | N/A | 11-29-10 | \$1,001 - \$15,000 |
| | VALASSIS COMMUNCN INC | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | VALSPAR CORP | P | N/A | 06-01-10 | \$1,001 - \$15,000 |
| | VALSPAR CORP | P | N/A | 05-06-10 | \$1,001 - \$15,000 |
| | VALSPAR CORP | P | N/A | 05-07-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 65 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|--|------------------------|---|----------|-----------------------|
| | VALSPAR CORP | P | N/A | 05-13-10 | \$1,001 - \$15,000 |
| | VALSPAR CORP | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |
| | VANGUAR D LONG TERM GOVERNMENT BOND | P | N/A | 07-19-10 | \$1,001 - \$15,000 |
| | VANGUAR D LONG TERM GOVERNMENT BOND | P | N/A | 07-27-10 | \$1,001 - \$15,000 |
| | VANGUAR D LONG TERM GOVERNMENT BOND | P | N/A | 07-28-10 | \$1,001 - \$15,000 |
| | VANGUAR D SHORT TERM CORPORATE BOND | P | N/A | 07-29-10 | \$1,001 - \$15,000 |
| | VANGUAR D SHORT TERM CORPORATE BOND | P | N/A | 04-27-10 | \$1,001 - \$15,000 |
| | VANGUAR D SHORT TERM CORPORATE BOND | P | N/A | 04-23-10 | \$1,001 - \$15,000 |
| | VANGUAR D SHORT TERM CORPORATE BOND | P | N/A | 06-02-10 | \$1,001 - \$15,000 |
| | VANGUAR D SHORT-TERM CORPORATE BOND | P | N/A | 11-02-10 | \$1,001 - \$15,000 |
| | VANGUAR D-SHORT-TERM CORPORATE BOND | P | N/A | 11-03-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 66 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|------------------------------------|------------------------|---|----------|-----------------------|
| | VANGUAR SHORT TERM CORPORATE BOND | P | N/A | 05-11-10 | \$1,001 - \$15,000 |
| | VANGUARD LONG TERM GOV. BOND | P | N/A | 08-20-10 | \$1,001 - \$15,000 |
| | VANGUARD SHORT TERM CORPORATE BOND | P | N/A | 08-02-10 | \$1,001 - \$15,000 |
| | VARIAN MEDICAL SYS | P | N/A | 09-27-10 | \$1,001 - \$15,000 |
| | VARIAN MEDICAL SYS INC | S(part) | Yes | 09-13-10 | \$1,001 - \$15,000 |
| | VEECO INSTRUMENTS | S(part) | Yes | VARIOUS | \$1,001 - \$15,000 |
| | VEECO INSTRUMENTS INC | P | N/A | 01-20-10 | \$1,001 - \$15,000 |
| | VERISIGN INC | P | N/A | 09-29-10 | \$1,001 - \$15,000 |
| | VERISIGN INC | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | VERIZON COMMUNICATIONS | S(part) | No | VARIOUS | \$1,001 - \$15,000 |
| | VISTAPRINT INV | S(part) | No | VARIOUS | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Lamar Seeligson Smith

Page 67 of 69

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|---------------------------|------------------------|---|----------|-----------------------|
| | VISTAPRINT NV | P | N/A | 09-16-10 | \$1,001 - \$15,000 |
| | WEATHER FORD INTL LTD REG | P | N/A | 12-09-10 | \$1,001 - \$15,000 |
| | WERNER ENTERPRICES INC | P | N/A | 07-07-10 | \$1,001 - \$15,000 |
| | WERNER ENTERPRISES | P | N/A | 05-21-10 | \$1,001 - \$15,000 |
| | WERNER ENTERPRISES | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |
| | WHIRLPOL CORP | P | N/A | 07-12-10 | \$1,001 - \$15,000 |
| | WHIRLPOOL CORP | S(part) | No | 09-13-10 | \$1,001 - \$15,000 |
| | XEROX CORP | P | N/A | 03-16-10 | \$1,001 - \$15,000 |
| | XEROX CORP | P | N/A | 03-17-10 | \$1,001 - \$15,000 |

SCHEDULE V - LIABILITIES

Name Lamar Seeligson Smith

Page 68 of 69

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

| SP, DC, JT | Creditor | Date Liability Incurred | Type of Liability | Amount of Liability |
|------------------|------------------------|-------------------------------|--|-----------------------|
| | CHASE HOME FINANCE LLC | MARCH, 2008 | MORTGAGE ON 1211 SUMMIT RD MCLEAN, VA | \$100,001 - \$250,000 |

FOOTNOTES

Name Lamar Seeligson Smith

Page 69 of 69

| Number | Section / Schedule | Footnote | This note refers to the following item |
|--------|--------------------|--|--|
| 1 | Schedule III | SECURITIES TRANSACTIONS ARE IN A DISCRETIONARY, MANAGED ACCOUNT. LAMAR SMITH AND HIS SPOUSE DO NOT CONTROL WHAT IS BOUGHT OR SOLD. THE TOTAL VALUE OF THESE ACCOUNTS IS \$1,098,000. | SECURITES TRANSACTIONS |

UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A Page 1 of 12
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER

2011 MAY 13 PM 12:35

U.S. HOUSE OF REPRESENTATIVES

Peter Graham "Pete" Olson

202-225-5951

(Full Name)

(Daytime Telephone)

HAND DELIVERED

(Office Use Only)

| | | | | | |
|--------------|---|---|--|--------------------------------------|--|
| Filer Status | <input checked="" type="checkbox"/> Member of the U.S. House of Representatives | State: TX District: 22 | <input type="checkbox"/> Officer Or Employee | Employing Office: | A \$200 penalty shall be assessed against anyone who files more than 30 days late. |
| | Report Type | <input checked="" type="checkbox"/> Annual (May 15) | <input type="checkbox"/> Amendment | <input type="checkbox"/> Termination | |

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | | | |
|--|---|--|---|
| I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |
| IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | Each question in this part must be answered and the appropriate schedule attached for each "Yes" response. | |

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | | |
|-------------|--|---|
| Trusts— | Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |
| Exemptions— | Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Peter Graham "Pete" Olson

Page 2 of 12

| BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or | BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None." | BLOCK C Type of Income Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period. | BLOCK D Amount of Income For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated. | BLOCK E Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year. |
|--|---|---|--|---|
| Bank of America | \$15,001 - \$50,000 | INTEREST | \$1 - \$200 | |
| Blackrock Latin America (MALTX) | \$1,001 - \$15,000 | CAPITAL GAINS/DIVIDENDS | \$1,001 - \$2,500 | S(part) |
| Brea Canon Oil Co., Inc. (fn) | Indefinite | Royalties | \$2,501 - \$5,000 | |
| Columbia Portfolio Builder Moderate Aggressive Fund Class A | \$15,001 - \$50,000 | None | NONE | P |
| DFA International Small Company (DFISX) | \$15,001 - \$50,000 | DIVIDENDS | \$201 - \$1,000 | |
| DFA One Year Fixed Income (DFIHX) | \$15,001 - \$50,000 | DIVIDENDS/CAPITAL GAINS | \$201 - \$1,000 | P |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Peter Graham "Pete" Olson

Page 3 of 12

| | | | | | |
|--|--|-----------------------|-------------------------|---------------------|------------|
| | Excepted Trust (fn) | \$100,001 - \$250,000 | None | NONE | |
| | Excepted Trust (fn) | \$100,001 - \$250,000 | None | NONE | |
| | Excepted Trust (Peter G. Olson Trust) | Unknown | EXCEPTED TRUST | \$15,001 - \$50,000 | |
| | Fidelity Canada Fund (FICDX) | \$1,001 - \$15,000 | DIVIDENDS/CAPITAL GAINS | \$201 - \$1,000 | P, S(part) |
| | I Shares MSCI Japan Index (EWJ) | \$15,001 - \$50,000 | DIVIDENDS | \$201 - \$1,000 | P, S(part) |
| | I Shares S&P Small Cap Ind Fund (IJR) | \$15,001 - \$50,000 | DIVIDENDS/CAPITAL GAINS | \$1,001 - \$2,500 | S(part) |
| | IShares MSCI Australia Index Fund (EWA) | \$1,001 - \$15,000 | DIVIDENDS | \$201 - \$1,000 | P |
| | JP Morgan Small Cap Value (PSOPX) | \$1,001 - \$15,000 | DIVIDENDS/CAPITAL GAINS | \$201 - \$1,000 | S(part) |
| | JP Morgan US Real Estate (SUIEX) | \$15,001 - \$50,000 | DIVIDENDS | \$1 - \$200 | P, S(part) |
| | Lord Abbett Bond Debenture Fund A (IRA) | \$1,001 - \$15,000 | None | NONE | |
| | Lord Abbett Mid-Cap Value Fund A (IRA) | \$1,001 - \$15,000 | None | NONE | |
| | Matthews Pacific Tiger Fund (MAPTX) | \$15,001 - \$50,000 | DIVIDENDS/CAPITAL GAINS | \$2,501 - \$5,000 | S(part) |
| | Morgan Stanley Int'l Real Estate (MSUAX) | \$15,001 - \$50,000 | DIVIDENDS/CAPITAL GAINS | \$201 - \$1,000 | P |
| | Morgan Stanley US Real Estate I (MSUSX) | \$15,001 - \$50,000 | DIVIDENDS | \$1 - \$200 | P, S(part) |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Peter Graham "Pete" Olson

Page 4 of 12

| | | | | | |
|--|--|-----------------------|-------------------------|--------------------|------------|
| | Oakmark Intl Small Cap Fund (OAKEX) | \$15,001 - \$50,000 | DIVIDENDS/CAPITAL GAINS | \$1,001 - \$2,500 | S(part) |
| | Riversource Variable Universal Life Insurance (fn) | \$15,001 - \$50,000 | None | NONE | |
| | Royce Premier Fund (RYP1X) | \$1,001 - \$15,000 | CAPITAL GAINS | \$201 - \$1,000 | S(part) |
| | Royce Premier Fund (RYPRX) | \$1,001 - \$15,000 | None | NONE | |
| | Royce Total Return Fund (RYR1Z) | \$15,001 - \$50,000 | DIVIDENDS | \$1 - \$200 | |
| | RVS Dividend Opportunity Fund Class A (INUTX) | None | DIVIDENDS | \$201 - \$1,000 | S |
| | RVS Mid Cap Value Fund Class A (AMVAX) | None | None | NONE | S |
| | RVS Portfolio Builder Moderate Aggressive Fund Class A (AXMAX) | \$50,001 - \$100,000 | None | NONE | P |
| | Schwab Adv. Cash Reserve (SWQXX) | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | Schwab AMT Tax-Free Money Fund (SWWXX) | \$15,001 - \$50,000 | CAPITAL GAINS/INTEREST | \$1 - \$200 | |
| | Seligman Global Technology Fund (SHGTX)(fn) | None | CAPITAL GAINS | \$5,001 - \$15,000 | S |
| | Signal Hill Petroleum Inc. (fn) | Indefinite | Royalties | \$1,001 - \$2,500 | |
| | SPDR S&P 500 (SPY) | \$100,001 - \$250,000 | DIVIDENDS/CAPITAL GAINS | \$5,001 - \$15,000 | P, S(part) |
| | T Rowe Price International (PRIDX) | \$15,001 - \$50,000 | DIVIDENDS/CAPITAL GAINS | \$1,001 - \$2,500 | S(part) |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Peter Graham "Pete" Olson

Page 5 of 12

| | | | | | |
|--|---|----------------------|-------------------------|-------------------|------------|
| | T Rowe Price Japan Fund (PRJPX) | None | CAPITAL GAINS | \$2,501 - \$5,000 | S |
| | T Rowe Price New Asia Fund (PRASX) | \$15,001 - \$50,000 | DIVIDENDS/CAPITAL GAINS | \$2,501 - \$5,000 | S(part) |
| | T Rowe Price New Era Fund (PRNEX) | \$15,001 - \$50,000 | DIVIDENDS/CAPITAL GAINS | \$1,001 - \$2,500 | P |
| | Threadneedle Global Equity Fund (IGLGX) | None | None | NONE | S |
| | U.S. Global Inv Global Resources Fund (PSPFX) | \$15,001 - \$50,000 | DIVIDENDS/CAPITAL GAINS | \$1,001 - \$2,500 | S(part) |
| | Vanguard Energy Fund (VGENX) | \$15,001 - \$50,000 | DIVIDENDS/CAPITAL GAINS | \$201 - \$1,000 | S(part) |
| | Vanguard Euro Stock Index (VESSX) | \$50,001 - \$100,000 | DIVIDENDS | \$2,501 - \$5,000 | P, S(part) |
| | Vanguard Short Term Tax Exempt (VWSTX) | \$15,001 - \$50,000 | INTEREST | \$1 - \$200 | P |

SCHEDULE IV - TRANSACTIONS

Name Peter Graham "Pete" Olson

Page 6 of 12

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|---|------------------------|---|----------|-----------------------|
| | Blackrock Latin America (MALTX) | S(part) | Yes | 1-28-10 | \$1,001 - \$15,000 |
| | Blackrock Latin America (MALTX) | S(part) | Yes | 8-19-10 | \$1,001 - \$15,000 |
| | Columbia Portfolio Builder Moderate Aggressive Fund Class A | P | N/A | 4-12- | \$50,001 - \$100,000 |
| | DFA One Year Fixed Income (DFIHX) | P | N/A | 11-9-10 | \$1,001 - \$15,000 |
| | DFA One Year Fixed Income (DFIHX) | P | N/A | 1-28-10 | \$1,001 - \$15,000 |
| | Fidelity Canada Fund (FICDX) | S(part) | Yes | 11-24-10 | \$1,001 - \$15,000 |
| | Fidelity Canada Fund (FICDX) | S(part) | Yes | 8-19-10 | \$1,001 - \$15,000 |
| | Fidelity Canada Fund (FICDX) | P | N/A | 1-28-10 | \$1,001 - \$15,000 |
| | I Shares MSCI Australia Index (EWA) | P | N/A | 8-27-10 | \$1,001 - \$15,000 |
| | I Shares MSCI Japan Index Fund (EWJ) | P | N/A | 1-28-10 | \$1,001 - \$15,000 |
| | I Shares MSCI Japan Index Fund (EWJ) | S(part) | No | 8-27-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Peter Graham "Pete" Olson

Page 7 of 12

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|---|------------------------|---|----------|-----------------------|
| | I Shares S&P Small Cap Ind Fund (IJR) | S(part) | Yes | 11-1-10 | \$1,001 - \$15,000 |
| | JP Morgan Small Cap Value (PSOPX) | S(part) | Yes | 8-19-10 | \$1,001 - \$15,000 |
| | JP Morgan US Real Estate (SUIEX) | P | N/A | 11-24-10 | \$1,001 - \$15,000 |
| | JP Morgan US Real Estate (SUIEX) | S(part) | No | 8-19-10 | \$1,001 - \$15,000 |
| | Mathews Pacific Tiger Fund (MAPTX) | S(part) | Yes | 1-28-10 | \$1,001 - \$15,000 |
| | Matthews Pacific Tiger Fund (MAPTX) | S(part) | Yes | 8-19-10 | \$1,001 - \$15,000 |
| | Matthews Pacific Tiger Fund (MAPTX) | S(part) | Yes | 11-24-10 | \$1,001 - \$15,000 |
| | Morgan Stanley Intl Real Estate (MSUAX) | P | N/A | 8-19-10 | \$1,001 - \$15,000 |
| | Morgan Stanley US Real Estate (MSUSX) | P | N/A | 11-24-10 | \$1,001 - \$15,000 |
| | Morgan Stanley US Real Estate (MSUSX) | S(part) | No | 8-19-10 | \$1,001 - \$15,000 |
| | Oakmark Intl Small Cap Fund (OAKEX) | S(part) | Yes | 11-24-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Peter Graham "Pete" Olson

Page 8 of 12

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|--|------------------------|---|-----------------------------------|-----------------------|
| | Oakmark Intl Small Cap Fund (OAKEX) | S(part) | Yes | 1-28-10 | \$1,001 - \$15,000 |
| | Royce Premier Fund (RYP1X) | S(part) | Yes | 1-28-10 | \$1,001 - \$15,000 |
| | RVS Dividend Opportunity Fund Class A (INUTX) | S | No | 4-12-10 | \$15,001 - \$50,000 |
| | RVS Mid Cap Value (AMVAX) | S | No | 4-12-10 | \$1,001 - \$15,000 |
| | RVS Portfolio Builder Moderate Aggressive Fund Class A (AXMAX) | P | N/A | 4-12-10 | \$50,001 - \$100,000 |
| | Seligman Global Technology Fund (SHGTX) | S | Yes | 4-12-10 | \$15,001 - \$50,000 |
| | SPDR S&P 500 (SPY) | S(part) | Yes | 8-29-10 | \$1,001 - \$15,000 |
| | SPDR S&P 500 (SPY) | S(part) | Yes | 1-28-10 | \$1,001 - \$15,000 |
| | SPDR S&P 500 (SPY) | P | N/A | Various (dividend reinvest) | \$1,001 - \$15,000 |
| | SPDR S&P 500 (SPY) | S(part) | Yes | 11-24-10 | \$1,001 - \$15,000 |
| | T Rowe Price International (PRIDX) | S(part) | Yes | 11-24-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Peter Graham "Pete" Olson

Page 9 of 12

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|---|------------------------|---|-----------------------------------|-----------------------|
| | T Rowe Price Japan Fund (PRJPX) | S | Yes | 1-28-10 | \$15,001 - \$50,000 |
| | T Rowe Price New Asia Fund (PRASX) | S(part) | Yes | 8-19-10 | \$1,001 - \$15,000 |
| | T Rowe Price New Asia Fund (PRASX) | S(part) | Yes | 1-28-10 | \$1,001 - \$15,000 |
| | T Rowe Price New Era (PRNEX) | S(part) | Yes | 11-24-10 | \$1,001 - \$15,000 |
| | T Rowe Price New Era (PRNEX) | P | N/A | 8-19-10 | \$1,001 - \$15,000 |
| | T Rowe Price New Era Fund (PRNEX) | P | N/A | 1-28-10 | \$1,001 - \$15,000 |
| | Threadneedle Global Equity Fund (IGLGX) | S | No | 4-12-10 | \$15,001 - \$50,000 |
| | U.S. Global Inv Global Resources Fund (PSPFX) | S(part) | Yes | 11-24-10 | \$1,001 - \$15,000 |
| | Vanguard Energy Fund (VGENX) | S(part) | Yes | 1-28-10 | \$1,001 - \$15,000 |
| | Vanguard Euro Stk Index (VESSX) | S(part) | No | 11-29-10 | \$1,001 - \$15,000 |
| | Vanguard Euro Stk Index (VESSX) | P | N/A | Various (dividend reinvest) | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Peter Graham "Pete" Olson

Page 10 of 12

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|--|------------------------|---|---------|-----------------------|
| | Vanguard Euro Stk Index (VESSX) | P | N/A | 8-19-10 | \$1,001 - \$15,000 |
| | Vanguard Euro Stock Index (VESSX) | P | N/A | 1-28-10 | \$1,001 - \$15,000 |
| | Vanguard Short Term Tax Exempt (VWSTX) | P | N/A | 8-19-10 | \$1,001 - \$15,000 |
| | Vanguard Short Term Tax Exempt (VWSTX) | P | N/A | 1-28-10 | \$1,001 - \$15,000 |

SCHEDULE VIII - POSITIONS

Name Peter Graham "Pete" Olson

Page 11 of 12

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

| Position | Name of Organization |
|------------------------------|----------------------|
| Board Member (uncompensated) | Keystone Foundation |
| Board Member (uncompensated) | Texas State Society |

HAND DELIVERED

| | | | | |
|--|---|---|--|---|
| UNITED STATES HOUSE OF REPRESENTATIVES | | FORM A | | Page 1 of 18 |
| CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT | | For use by Members, officers, and employees | | |
| Randolph Blake Farenthold | | 361-884-2222 | | 2011 MAY 16 AM 9:44 |
| (Full Name) | | (Daytime Telephone) | | U.S. HOUSE OF REPRESENTATIVES (Office Use Only) |
| Filer Status | <input checked="" type="checkbox"/> Member of the U.S. House of Representatives | State: TX District: 27 | <input type="checkbox"/> Officer Or Employee | Employing Office: |
| Report Type | <input checked="" type="checkbox"/> Annual (May 15) | <input type="checkbox"/> Amendment | <input type="checkbox"/> Termination | |
| | | | | A \$200 penalty shall be assessed against anyone who files more than 30 days late. |

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | |
|---|---|
| I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule I. | VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VI. |
| II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule II. | VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VII. |
| III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule III. | VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule VIII. |
| IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule IV. | IX. Did you have any reportable agreement or arrangement with an outside entity? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule IX. |
| V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule V. | Each question in this part must be answered and the appropriate schedule attached for each "Yes" response. |

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | |
|---------------------|---|
| Trusts-- | Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |
| Exemptions-- | Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

SCHEDULE I - EARNED INCOME

Name Randolph Blake Farenthold

Page 2 of 18

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

| Source | Type | Amount |
|-------------------------------|------------------------|----------|
| ABMH Management Trust-1993 | Trustees Fees | \$27,190 |
| Farenthold Consulting, LLC | Member's distributions | \$40,314 |
| Corpus Christi Medical Center | Spouse Salary | \$37,902 |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Randolph Blake Farenthold

Page 3 of 18

| BLOCK A | BLOCK B | BLOCK C | BLOCK D | BLOCK E |
|--|---|---|--|---|
| Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or | Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None." | Type of Income Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period. | Amount of Income For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated. | Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year. |
| | Farenthold Consulting, LLC Computer Consulting Corpus Christi, TX | \$15,001 - \$50,000 | LLC | \$201 - \$1,000 |
| SP | Farenthold Consulting, LLC Computer Consulting, Corpus Christi, TX | \$1 - \$1,000 | LLC | \$1 - \$200 |
| JT | American Bank Account "Flag" | \$1,001 - \$15,000 | INTEREST | \$1 - \$200 |
| | American Bank Account Blake | \$1,001 - \$15,000 | INTEREST | \$1 - \$200 |
| JT | American Bank Account - Household | \$1 - \$1,000 | None | NONE |
| JT | Bank of America Bank Account | \$1,001 - \$15,000 | INTEREST | \$1 - \$200 |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Randolph Blake Farenthold

Page 4 of 18

| | | | | | |
|----|--|-----------------------|-----------|--------------------|--|
| SP | American Bank Account | \$1,001 - \$15,000 | None | NONE | |
| JT | Herndon Plant Oakley cash account | None | DIVIDENDS | \$1 - \$200 | |
| | Herndon Plant Oakley IRA account | \$1,001 - \$15,000 | None | NONE | |
| | The Disney Company | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | Wagner Oil Company | \$1,001 - \$15,000 | Royalties | \$201 - \$1,000 | |
| | EOG | \$1 - \$1,000 | Royalties | \$1 - \$200 | |
| | Firststrike Oil Company | \$15,001 - \$50,000 | Royalties | \$5,001 - \$15,000 | |
| | Superior Oil Company | \$15,001 - \$50,000 | Royalties | \$2,501 - \$5,000 | |
| | Del Mar Nueces County TX | \$15,001 - \$50,000 | Royalties | \$2,501 - \$5,000 | |
| | e trade | \$1 - \$1,000 | DIVIDENDS | NONE | |
| | Farm Land, Nueces County, TX | \$15,001 - \$50,000 | RENT | \$201 - \$1,000 | |
| | Residential Vacation Home in Ajijic, Mexico; jointly owned with sister | \$250,001 - \$500,000 | None | NONE | |
| | The Trust Company Holding Company, San Antonio Texas | \$100,001 - \$250,000 | None | NONE | |
| | | | | | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Randolph Blake Farenthold

Page 5 of 18

| | | | | | |
|--|--|-----------------------|------------------------|----------------------|--|
| | R. Morgan Properties, a family joint venture (See footnote 1) | \$15,001 - \$50,000 | Royalites and interest | \$5,001 - \$15,000 | |
| | --American Bank checking | \$1,001 - \$15,000 | INTEREST | \$1 - \$200 | |
| | --Munson Operating, Jim Wells County, Texas | \$15,001 - \$50,000 | Royalties | \$5,001 - \$15,000 | |
| | --Minerals, Nueces County, Texas non-producing | \$1 - \$1,000 | None | NONE | |
| | --Minerals, San Patricio County, Texas non-producing | \$1 - \$1,000 | None | NONE | |
| | | | | | |
| | R. Morgan Properties, Ltd. | \$250,001 - \$500,000 | Partnership | \$50,001 - \$100,000 | |
| | --American Bank cash | \$15,001 - \$50,000 | None | NONE | |
| | --Herndon Plan Oakley Cash | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | --Miscellaneous receivables | \$15,001 - \$50,000 | None | NONE | |
| | --Majesty I, LP an investment partnership, Grosse Pointe Farms, MI (not self-directed) | \$50,001 - \$100,000 | Partnership | \$2,501 - \$5,000 | |
| | --Equinox HHEP LP an oil and gas limited partnership, Corpus Christi, TX (not self-directed) | \$15,001 - \$50,000 | Partnership | NONE | |
| | --Equinox HHEP II, LP an oil and gas limited partnership Corpus Christi TX (not self-directed) | \$50,001 - \$100,000 | Partnership | \$5,001 - \$15,000 | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Randolph Blake Farenthold

Page 6 of 18

| | | | | | |
|--|--|-----------------------|-------------|---------------------|---|
| | --Equinox HHEP III, LP an oil and gas limited partnership (not self-directed) | \$250,001 - \$500,000 | Partnership | NONE | P |
| | --Kyle Investments, Ltd a real estate investment partnership Corpus Christi, TX, (not self-directed) | \$50,001 - \$100,000 | Partnership | NONE | |
| | --Resource Evergreen Holdings, LLC FKA Resource Land Fund I, LLC Colorado Springs, CO a real estate investment partnership (not self-directed) | \$50,001 - \$100,000 | Partnership | \$15,001 - \$50,000 | |
| | --Resource Land Fund II, LLC a real estate investment partnership Colorado Springs, CO (not self-directed) | \$15,001 - \$50,000 | Partnership | \$1,001 - \$2,500 | |
| | --The Trust Co. Holding Stock San Antonio, Texas | \$15,001 - \$50,000 | None | NONE | |
| | | | | | |
| | ABMH Investments, Ltd. | \$250,001 - \$500,000 | Partnership | \$15,001 - \$50,000 | |
| | --American Bank cash | \$1,001 - \$15,000 | None | NONE | |
| | --Herndon Plant Oakley cash | \$1 - \$1,000 | DIVIDENDS | \$1 - \$200 | |
| | --Miscellaneous receivables | \$1,001 - \$15,000 | None | NONE | |
| | --Thornburg Ltd. Term Muni Fund 964.720 Units | \$1 - \$1,000 | DIVIDENDS | \$1 - \$200 | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Randolph Blake Farenthold

Page 7 of 18

| | | | | | |
|--|---|----------------------------|---------------------|----------------------|---------|
| | --Exterran Holdings Inc 65 shares | \$1 - \$1,000 | None | NONE | |
| | -- Equinox HHEP LP an oil and gas limited partnership Corpus Christi, TX (not self-directed) | \$1,001 - \$15,000 | Partnership | NONE | |
| | --Equinox HHEP II LP an oil and gas limited partnership Corpus Christi TX (not self-directed) | \$1,001 - \$15,000 | Partnership | \$1,001 - \$2,500 | |
| | --Equinox HHEP III LP an oil and gas limited partnership Corpus Christi, TX (not self-directed) | \$1,001 - \$15,000 | Partnership | NONE | P |
| | --Majesty I, LP an investment partnership Grosse Pointe Farm, MI (not self-directed) | \$50,001 - \$100,000 | Partnership | \$2,501 - \$5,000 | S(part) |
| | --Improvements to real estate held by life estate | \$50,001 - \$100,000 | None | NONE | |
| | --Note Receivable - Estate of Annie Blake Morgan Head, deceased | \$100,001 - \$250,000 | INTEREST | \$5,001 - \$15,000 | |
| | | | | | |
| | ABMH Management Trust - 1993-Blake | \$5,000,001 - \$25,000,000 | Trust Distributions | \$50,001 - \$100,000 | |
| | Robin M. Perrone and R. Blake Farenthold co-trustees | | | | |
| | --American Bank Cash | \$1,001 - \$15,000 | INTEREST | \$1 - \$200 | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Randolph Blake Farenthold

Page 8 of 18

| | | | | | |
|--|--|------------------------------|-------------|----------------------------|--|
| | --Herndon Plant Oakley Cash | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | --Prepaid federal income tax | None | None | NONE | |
| | --Miscellaneous receivables | None | None | NONE | |
| | --Investment in ABMH Family Properties, Ltd., general partner. See assets listed beginning on page 11. | \$15,001 - \$50,000 | Partnership | NONE | |
| | --Investment in ABMH Family Properties, Ltd., limited partnership interest. See assets listed beginning on page 11 | \$250,001 - \$500,000 | Partnership | NONE | |
| | --Investment in ABMH Investments Ltd., general partnership interest. See assets listed beginning on page 6. | \$100,001 - \$250,000 | Partnership | \$2,501 - \$5,000 | |
| | --Investment in ABMH Investments Ltd., limited partnership interest. See assets listed beginning on page 6 | \$1,000,001 - \$5,000,000 | Partnership | \$100,001 - \$1,000,000 | |
| | --Investment in R. Morgan Properties, Ltd. General partnership. See assets listed beginning on page 5. | \$15,001 - \$50,000 | Partnership | \$2,501 - \$5,000 | |
| | --Investment in R. Morgan Properties, Ltd., limited partnership. See assets listed beginning on page 5. | \$500,001 - \$1,000,000 | Partnership | \$50,001 - \$100,000 | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Randolph Blake Farenthold

Page 9 of 18

| | | | | | |
|--|---|------------------------------|---------------------|----------------------------|--|
| | | | | | |
| | Morgan Trust for the benefit of R. Blake Farenthold, The Trust Company, NA Corpus Christi TX Trustees (Not self-directed) | \$1,000,001 - \$5,000,000 | Trust Distributions | \$100,001 - \$1,000,000 | |
| | --The Trust Company cash | \$50,001 - \$100,000 | Interest | NONE | |
| | --Miscellaneous Receivables | \$1,001 - \$15,000 | None | NONE | |
| | --Prepaid federal income tax | \$1,001 - \$15,000 | None | NONE | |
| | --TTC Bond Fund shares | \$100,001 - \$250,000 | INTEREST | \$2,501 - \$5,000 | |
| | --Presidio Short-term Tax- Exempt Fund shares | \$15,001 - \$50,000 | INTEREST | \$2,501 - \$5,000 | |
| | --Oppenheimer Developing Market Fund Shares | \$50,001 - \$100,000 | DIVIDENDS | \$201 - \$1,000 | |
| | --TTC Common Trust Fund Large Cap Core Equity Fund | \$500,001 - \$1,000,000 | DIVIDENDS | \$5,001 - \$15,000 | |
| | --TTC Common Trust Fund International Equity Fund | \$100,001 - \$250,000 | DIVIDENDS | \$1,001 - \$2,500 | |
| | --TTC Common Trust Fund Small Cap Value Equity Fund | \$50,001 - \$100,000 | DIVIDENDS | \$201 - \$1,000 | |
| | --TTC Common Trust Fund Small Cap Growth Equity | \$50,001 - \$100,000 | DIVIDENDS | \$1 - \$200 | |
| | --TTC Alternative Investments Common Trust | \$100,001 - \$250,000 | None | NONE | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Randolph Blake Farenthold

Page 10 of 18

| | | | | | |
|----|---|-----------------------|---------------------|---------------------|--|
| | —Investment ABMH Family Properties Ltd general partner Investment partnership Corpus Christi, TX (not self-directed) | \$1,001 - \$15,000 | Partnership | NONE | |
| | —Investment in R. Morgan Properties, Ltd. Limited partner Investment partnership Corpus Christi, TX (not self-directed) | \$250,001 - \$500,000 | Partnership | \$15,001 - \$50,000 | |
| | —Small mineral interests - Nueces County, TX | \$15,001 - \$50,000 | Royalties | \$15,001 - \$50,000 | |
| | | | | | |
| | 1987 Morgan Trust - Blake Robin M. Perrone, Trustee Corpus Christi, TX(Not self-directed) | \$100,001 - \$250,000 | Trust distributions | NONE | |
| | —American Bank Cash | \$50,001 - \$100,000 | INTEREST | NONE | |
| | —Miscellaneous receivables | \$50,001 - \$100,000 | None | NONE | |
| | —Investment in R. Morgan Properties, Ltd. Limited partner Investment Partnership Corpus Christi, TX (not self-directed) | \$15,001 - \$50,000 | Partnership | \$1,001 - \$2,500 | |
| | | | | | |
| JT | ABMH Investments, Ltd. (joint) Investment Partnership, Corpus Christi, TX (not self-directed) | \$1,001 - \$15,000 | Partnership | \$201 - \$1,000 | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Randolph Blake Farenthold

Page 11 of 18

| | | | | | |
|------|----------------------------------|-----------------------|---------------|-------------------|--|
| | | | | | |
| DC-1 | 1990 Morgan Ann Farenthold Trust | \$100,001 - \$250,000 | Distributions | \$2,501 - \$5,000 | |

Robin M. Perrone, Trustee

| | | | | | |
|--|--|----------------------|-------------|--------------------|--|
| | --Herndon Plant Oakley, cash | \$15,001 - \$50,000 | INTEREST | \$1 - \$200 | |
| | --Investment in R. Morgan Properties, Ltd. Investment Partnership, Corpus Christi, Tx. (not self-directed) | \$50,001 - \$100,000 | Partnership | \$5,001 - \$15,000 | |

| | | | | | |
|------|-------------------------------------|----------------------|---------------|--------------------|--|
| | --Thornberg Fund | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | | | | | |
| DC-2 | ABMH Management Trust - 1993-Amanda | \$50,001 - \$100,000 | Distributions | \$5,001 - \$15,000 | |

Robin M. Perrone & R.Blake Farenthold co-trustees

| | | | | | |
|--|---|----------------------|-------------|--------------------|--|
| | --American Bank cash | \$1,001 - \$15,000 | INTEREST | \$1 - \$200 | |
| | --Investment in R. Morgan Properties, Ltd. Investment Partnership, Corpus Christi, TX (not self-directed) | \$50,001 - \$100,000 | Partnership | \$5,001 - \$15,000 | |

| | | | | | |
|--|---|------|------|------|--|
| | | | | | |
| | --ABMH Family Properties, Ltd. (see footnote 3) | None | None | NONE | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Randolph Blake Farenthold

Page 12 of 18

| | | | | | |
|----|---|----------------------------|---------------|----------------------------|--|
| | --The Trust Company cash | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | --Miscellaneous receivables | \$15,001 - \$50,000 | None | NONE | |
| | --Presidio Short-Term TaxExempt Fund Shares | \$15,001 - \$50,000 | INTEREST | \$201 - \$1,000 | |
| | --Oppenheimer Developing Market Fund shares | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | --TTC Common Trust Fund Large Cap Core Equity Fund | \$100,001 - \$250,000 | DIVIDENDS | \$2,501 - \$5,000 | |
| | --TTC Common Trust Fund International Equity Fund | \$50,001 - \$100,000 | DIVIDENDS | \$201 - \$1,000 | |
| | --TTC Common Trust Fund Small Cap Value Equity Fund | \$15,001 - \$50,000 | DIVIDENDS | \$201 - \$1,000 | |
| | --TTC Common Trust Fund Small Cap Growth Equity Fund | \$15,001 - \$50,000 | DIVIDENDS | \$1 - \$200 | |
| | | | | | |
| DC | ABMH Family Trust (see footnote 2) | \$500,001 - \$1,000,000 | Distributions | \$100,001 - \$1,000,000 | |

Robin M. Perrone and R. Blake
Farenthold co-trustees

| | | | | | |
|--|------------------------------|--------------------------|----------|-------------|--|
| | --American Bank cash | \$15,001 - \$50,000 | interest | \$1 - \$200 | |
| | --Herndon Plant Oakley Cash. | \$100,001 - \$250,000 | interest | \$1 - \$200 | |
| | --Condominium, Lubbock, TX | \$100,001 - \$250,000 | RENT | NONE | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Randolph Blake Farenthold

Page 13 of 18

| | | | | | |
|------|---|-------------------------|-------------|--------------------|--|
| | —Investment in ABMH Family Properties, Ltd. Limited partnership interest Investment partnership, Corpus Christi, TX (not self-directed) | \$500,001 - \$1,000,000 | Partnership | NONE | |
| | —Investment in R. Morgan Properties, Ltd. Limited partnership interest Investment partnership Corpus Christi, TX (not self-directed) | \$50,001 - \$100,000 | Partnership | \$5,001 - \$15,000 | |
| | | | | | |
| DC-1 | The Walt Disney Co 60 shares | \$1 - \$1,000 | DIVIDENDS | \$1 - \$200 | |
| DC-1 | Bank of America Savings - Morgan | \$1,001 - \$15,000 | INTEREST | \$201 - \$1,000 | |
| DC-2 | Bank of America Savings - Amanda | \$50,001 - \$100,000 | INTEREST | \$1 - \$200 | |
| DC-1 | American Bank | \$1,001 - \$15,000 | None | NONE | |
| DC-2 | American Bank | \$1,001 - \$15,000 | None | NONE | |
| DC-1 | Thornburg Limited Term Municipal Fund 1246.732 units | \$15,001 - \$50,000 | Dividends | \$1 - \$200 | |
| DC-1 | Washington Mutual Investors Fund Class A 480.355 shares | \$1,001 - \$15,000 | DIVIDENDS | \$201 - \$1,000 | |
| DC-2 | The Walt Disney Company 15 shares | \$1 - \$1,000 | DIVIDENDS | \$1 - \$200 | |
| DC-2 | Thornburg Limited Term Municipal Fund 1250.173 units | \$15,001 - \$50,000 | DIVIDENDS | \$201 - \$1,000 | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Randolph Blake Farenthold

Page 14 of 18

| | | | | | |
|------|--|-----------------------|-----------|-----------------|--|
| DC-2 | Washington Mutual Investors Fund Class A 454.128 shares | \$1,001 - \$15,000 | DIVIDENDS | \$201 - \$1,000 | |
|------|--|-----------------------|-----------|-----------------|--|

SCHEDULE IV - TRANSACTIONS

Name Randolph Blake Farenthold

Page 15 of 18

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|------------------------|------------------------|---|----------|-------------------------|
| | --Equinox HHEP III LP | P | N/A | 6/17/10 | \$1,001 - \$15,000 |
| | --Equinox HHEP III LP | P | N/A | 4/16/10 | \$1,001 - \$15,000 |
| | --Equinox HHEP III LP | P | N/A | 8/17/10 | \$1,001 - \$15,000 |
| | --Majesty I, LP | S(part) | No | 12/31/10 | \$500,001 - \$1,000,000 |
| | --Equinox HHEP III, LP | P | N/A | 4/16/10 | \$1,001 - \$15,000 |
| | --Equinox HHEP III, LP | P | N/A | 8/17/10 | \$1,001 - \$15,000 |

SCHEDULE V - LIABILITIES

Name Randolph Blake Farenthold

Page 16 of 18

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

| SP, DC, JT | Creditor | Date Liability Incurred | Type of Liability | Amount of Liability |
|------------------|---------------------------|-------------------------------|-------------------|---------------------|
| JT | American Express Platinum | December 2010 | Credit Card | \$15,001 - \$50,000 |
| JT | American Express Blue | December 2010 | Credit Card | \$15,001 - \$50,000 |
| JT | Citi Bank | December 2010 | Credit Card | \$15,001 - \$50,000 |
| JT | Discover Card | December 2010 | Credit Card | \$0 |
| | Morgan Trust -1987-Blake | September 8, 2010 | Demand note | \$15,001 - \$50,000 |

SCHEDULE VIII - POSITIONS

Name Randolph Blake Farenthold

Page 17 of 18

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

| Position | Name of Organization |
|--|---|
| Volunteer Radio Tax Show Co-host | KKTX-AM (Clear Channel) |
| Volunteer Computer Instructor | Del Mar College Senior Education |
| Webmaster, Referee Youth basketball games for public and private schools | Corpus Christi Basketball Officials Association |
| Leadership Team | Social Media Club Corpus Christi |
| Volunteer consultant/fundraiser | St. James Episcopal School |

FOOTNOTES

Name Randolph Blake Farenthold

Page 18 of 18

| Number | Section / Schedule | Footnote | This note refers to the following item |
|--------|--------------------|---|--|
| 1 | Schedule III | Congressman Farenthold (CBF) owns a 25% interest in the assets of this joint venture. The other partners are his sister and his grandmother's estate | R. Morgan Properties, a family joint venture |
| 2 | Schedule III | This trust is for the benefit of the two children of CBF. Distributions were made only for the benefit of the daughters. | ABMH Family Trust |
| 3 | Schedule III | CBF has no individual interest in this partnership but his trust, ABMH Managment Trust-1993-Blake is the general partner. The values represent the interests of the Management Trust. | ABMH Family Properties, Ltd. |

UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A Page 1 of 7
 For use by Members, officers, and employees

MAY 16 2011

LEGISLATIVE RESOURCE CENTER

2011 MAY 23 AM 9:34

(Office Use Only)

ENRIQUE R. CUELLAR
 (Full Name)

(956) 726-9320
 (Daytime Telephone)

Filer Status

☒ Member of the U.S. House of Representatives

State: TX
 District: 28

☐ Officer Or Employee

Employing Office:

Report Type

☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | |
|---|---|
| <p>I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule I.</p> | <p>VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VI.</p> |
| <p>II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule II.</p> | <p>VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VII.</p> |
| <p>III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule III.</p> | <p>VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule VIII.</p> |
| <p>IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule IV.</p> | <p>IX. Did you have any reportable agreement or arrangement with an outside entity? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule IX.</p> |
| <p>V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule V.</p> | <p>Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.</p> |

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | | |
|---------------------|--|---|
| Trusts-- | Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| Exemptions-- | Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

SCHEDULE I - EARNED INCOME

Name ENRIQUE R. CUELLAR

Page 2 of 7

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

| Source | Type | Amount |
|--------------------------------------|---------------|-------------|
| COMPTROLLER OF PUBLIC ACCOUNTS | SPOUSE SALARY | N/A |
| EMPLOYEES RETIREMENT SYSTEM OF TEXAS | RETIREMENT | \$38,596.08 |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ENRIQUE R. CUELLAR

Page 3 of 7

| BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or | BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None." | BLOCK C Type of Income Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period. | BLOCK D Amount of Income For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated. | BLOCK E Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year. |
|--|---|---|--|---|
| JT 1519 WASHINGTON LAREDO, TX 78040 | \$250,001 - \$500,000 | RENT | \$5,001 - \$15,000 | |
| JT 1520 VICTORIA LAREDO, TX 78040 | \$100,001 - \$250,000 | RENT | \$5,001 - \$15,000 | |
| SP 401K STATE OF TEXAS COMPTROLLER (NOT SELF DIRECTED) | \$50,001 - \$100,000 | DIVIDENDS | NONE: UNTIL RETIREMENT | |
| 4902 MARCELLA AVE 74 LAREDO, TX 78040 | \$50,001 - \$100,000 | RENT | \$1,001 - \$2,500 | |
| 602 REYNOLDS (MIDDLE) ST LAREDO, TX 78040 (PREVIOUSLY COMBINED WITH 602 REYNOLDS REAR ST) | \$15,001 - \$50,000 | RENT | \$2,501 - \$5,000 | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ENRIQUE R. CUELLAR

Page 4 of 7

| | | | | | |
|----|--|--------------------------|------------------------------|---------------------------|--|
| | 602 REYNOLDS (REAR) ST LAREDO, TX 78040 | \$15,001 - \$50,000 | RENT | \$2,501 - \$5,000 | |
| SP | COMMERCE BANK (CD) | \$1,001 - \$15,000 | INTEREST | \$1 - \$200 | |
| JT | H.C. AIR, INC. LAREDO, TX 78040 | \$100,001 - \$250,000 | OTHER: REIMBURSEME NTS | NONE | |
| JT | H.C. INT'L TRADE, INC. LAREDO, TX 78040 | \$1,001 - \$15,000 | RENT | NONE | |
| | MOBILE HOME (604 REYNOLDS-DO NOT OWN LAND) LAREDO, TX 78040 | \$1,001 - \$15,000 | RENT | \$1,001 - \$2,500 | |
| SP | TX STATE RETIREMENT ACCOUNT (NOT SELF DIRECTED) | \$50,001 - \$100,000 | OTHER: RETIREMENT | NONE: UNTIL RETIREMENT | |

SCHEDULE V - LIABILITIES

Name ENRIQUE R. CUELLAR

Page 5 of 7

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

| SP, DC, JT | Creditor | Date Liability Incurred | Type of Liability | Amount of Liability |
|------------------|--|-------------------------------|------------------------------|-----------------------|
| | INT'L BANK OF COMMERCE LAREDO, TX 78040 | 03/23/2009 | BUSINESS & PERSONAL LOANS | \$250,001 - \$500,000 |
| | EAST VAL, INC. GALVESTON, TX 77552 | 05/2003 | MORTGAGE ON 1520 VICTORIA | \$50,001 - \$100,000 |

SCHEDULE VIII - POSITIONS

Name ENRIQUE R. CUELLAR

Page 6 of 7

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

| Position | Name of Organization |
|------------|--------------------------------|
| PRESIDENT | H.C. AIR, INC. |
| PRESIDENT | H.C. INTERNATIONAL TRADE, INC. |
| PROPRIETOR | H.C. RENTALS |

SCHEDULE IX - AGREEMENTS

Name ENRIQUE R. CUELLAR

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

| Date | Parties To | Terms of Agreement |
|------|---------------------------|--|
| 2001 | MYSELF AND STATE OF TEXAS | CONTINUED PARTICIPATION IN STATE RETIREMENT SYSTEM |

| | | | |
|---|--|---|--|
| UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT | | FORM A For use by Members, officers, and employees | Page 1 of 6 LEGISLATIVE RESOURCE CENTER 2011 MAY 12 AM 10:40 |
| Raymond Eugene 'Gene' Green (Full Name) | | 202-225-1688 (Daytime Telephone) | HAND DELIVERED (Office Use Only) |
| Filer Status | <input checked="" type="checkbox"/> Member of the U.S. House of Representatives State: TX District: 29 | <input type="checkbox"/> Officer Or Employee Employing Office: | |
| Report Type | <input checked="" type="checkbox"/> Annual (May 15) <input type="checkbox"/> Amendment <input type="checkbox"/> Termination Termination Date: | | |

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | |
|--|--|
| I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule I. | VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VI. |
| II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule II. | VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VII. |
| III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule III. | VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VIII. |
| IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule IV. | IX. Did you have any reportable agreement or arrangement with an outside entity? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule IX. |
| V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule V. | Each question in this part must be answered and the appropriate schedule attached for each "Yes" response. |

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | | |
|---------------------|--|---|
| Trusts-- | Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| Exemptions-- | Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

SCHEDULE I - EARNED INCOME

Name Raymond Eugene 'Gene' Green

Page 2 of 6

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

| Source | Type | Amount |
|--------------------------------------|---------------------|----------|
| Employees Retirement System of Texas | Legislative Pension | \$51,862 |
| Teachers Retirement System of Texas | Spouse Pension | N/A |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Raymond Eugene 'Gene' Green

Page 3 of 6

| BLOCK A | | BLOCK B | BLOCK C | BLOCK D | BLOCK E |
|--|--|---|---|--|---|
| Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or | | Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None." | Type of Income Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period. | Amount of Income For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated. | Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year. |
| JT | Bank of America | \$100,001 - \$250,000 | INTEREST | \$201 - \$1,000 | |
| | Chase Bank (IRA) - Allstate Preferred Preference Annuities | \$15,001 - \$50,000 | INTEREST | \$1,001 - \$2,500 | |
| SP | Chase Bank (IRA) - Allstate Preferred Preference Annuities | \$50,001 - \$100,000 | INTEREST | \$1,001 - \$2,500 | |
| JT | Common Stock, Prudential Financial | None | DIVIDEND & CAPITAL GAIN | \$5,001 - \$15,000 | S |
| | Community Bank of Texas (IRA) | \$15,001 - \$50,000 | INTEREST | \$1,001 - \$2,500 | |
| SP | Community Bank of Texas (IRA) | \$15,001 - \$50,000 | INTEREST | \$1,001 - \$2,500 | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

| | |
|----------------------------------|-------------|
| Name Raymond Eugene 'Gene' Green | Page 4 of 6 |
|----------------------------------|-------------|

| | | | | | |
|----|----------------------|--------------------------|--------------------------------|------|--|
| SP | Prudential Financial | \$100,001 - \$250,000 | INTEREST & DEFERRED COMP | NONE | |
|----|----------------------|--------------------------|--------------------------------|------|--|

SCHEDULE IV - TRANSACTIONS

Name Raymond Eugene 'Gene' Green

Page 5 of 6

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|------------------------------------|------------------------|---|------------|-----------------------|
| | Common Stock, Prudential Financial | S | Yes | 10/19/2010 | \$1,001 - \$15,000 |

SCHEDULE IX - AGREEMENTS

Name Raymond Eugene 'Gene' Green

Page 6 of 6

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

| Date | Parties To | Terms of Agreement |
|-------|--------------------------|-------------------------|
| 05/90 | Intl Typographical Union | Pension upon Retirement |

UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A Page 1 of 3
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER

2011 MAY 13 AM 10:43

Eddie Bernice Johnson

214-922-8885

(Full Name)

(Daytime Telephone)

U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED
(Office Use Only)

MC

Filer Status

☒ Member of the U.S. House of Representatives
State: TX
District: 30

☐ Officer Or Employee
Employing Office:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

Report Type

☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | |
|---|---|
| <p>I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule I.</p> | <p>VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VI.</p> |
| <p>II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule II.</p> | <p>VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VII.</p> |
| <p>III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule III.</p> | <p>VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VIII.</p> |
| <p>IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule IV.</p> | <p>IX. Did you have any reportable agreement or arrangement with an outside entity? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule IX.</p> |
| <p>V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule V.</p> | <p>Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.</p> |

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | | |
|---------------------|--|---|
| Trusts-- | Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |
| Exemptions-- | Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

SCHEDULE I - EARNED INCOME

Name Eddie Bernice Johnson

Page 2 of 3

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

| Source | Type | Amount |
|-----------------|---------------------|----------|
| State of Texas | Legislative Pension | \$35,000 |
| Social Security | Over 65 | \$22,000 |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Eddie Bernice Johnson

Page 3 of 3

BLOCK A**Asset and/or Income Source**

Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use ticker symbols.)

For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.

For rental or other real property held for investment, provide a complete address.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or

BLOCK B**Year-End
Value of Asset**

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."

BLOCK C**Type of Income**

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.

BLOCK D**Amount of Income**

For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.

BLOCK E**Transaction**

Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.

Blind Trust

None

Other: (Please specify)

NONE

Texas Federal Credit Union

\$1 - \$1,000

INTEREST

\$1,001 - \$2,500

U.S. Saving Bonds

\$1,001 -
\$15,000

INTEREST

\$5,001 - \$15,000

UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A Page 1 of 4
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

John R. Carter
(Full Name)

202-225-3864
(Daytime Telephone)

2011 MAY 13 PM 1:30
(Office Use Only)

MC

| | | | | |
|---------------------|---|------------------------------------|--|-------------------|
| Filer Status | <input checked="" type="checkbox"/> Member of the U.S. House of Representatives | State: TX District: 31 | <input type="checkbox"/> Officer Or Employee | Employing Office: |
| | | | | |
| Report Type | <input checked="" type="checkbox"/> Annual (May 15) | <input type="checkbox"/> Amendment | <input type="checkbox"/> Termination | |
| | Termination Date: | | | |

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | | | |
|--|---|--|---|
| I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | Each question in this part must be answered and the appropriate schedule attached for each "Yes" response. | |

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | | |
|---------------------|--|---|
| Trusts-- | Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| Exemptions-- | Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

SCHEDULE I - EARNED INCOME

Name John R. Carter

Page 2 of 4

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

| Source | Type | Amount |
|----------------------------------|------------------|-------------|
| Texas Judicial Retirement System | Judicial Pension | \$76,458.60 |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John R. Carter

BLOCK A

Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use ticker symbols.)

For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.

For rental or other real property held for investment, provide a complete address.

For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or

BLOCK B

Year-End Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."

BLOCK C

Type of Income

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.

BLOCK D

Amount of Income

For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.

BLOCK E

Transaction

Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.

| | | | | |
|---------------------------------------|---------------------------|-----------|---------------------|--|
| Exxon Mobil Stock | \$1,000,001 - \$5,000,000 | DIVIDENDS | \$15,001 - \$50,000 | |
| Union State Bank-Money Market Account | \$15,001 - \$50,000 | INTEREST | \$201 - \$1,000 | |

SCHEDULE V - LIABILITIES

Name John R. Carter

Page 4 of 4

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

| SP, DC, JT | Creditor | Date Liability Incurred | Type of Liability | Amount of Liability |
|------------------|------------------------------|-------------------------------|-------------------|-----------------------|
| | Union State Bank of Florence | over 25 years ago | Bank Loan | \$100,001 - \$250,000 |
| | Sallie Mae (Comb) | approx. 1988 | Student Loans | \$100,001 - \$250,000 |
| | CitiBank | approx. 1990 | Credit Card | \$15,001 - \$50,000 |
| | Bank of America | approx. 1990 | Credit Card | \$15,001 - \$50,000 |

UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A

Page 1 of 12 LEGISLATIVE RESOURCE CENTER

For use by Members, officers, and employees 2011 MAY 16 AM 10:37

CLERK OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

202.225.2231

(Daytime Telephone)

HAND DELIVERED
(Office Use Only)

Peter A. Sessions

(Full Name)

Filer

Status

Member of the U.S.

House of Representatives

State: TX

District: 32

Officer Or
Employee

Employing Office:

Termination Date:

**A \$200 penalty shall
be assessed against
anyone who files
more than 30 days
late.**

Report
Type

Annual (May 15)

Amendment

Termination

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?

Yes No

If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?

Yes No

If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?

Yes No

If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?

Yes No

If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?

Yes No

If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)?

Yes No

If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)?

Yes No

If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?

Yes No

If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity?

Yes No

If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-

Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemptions--

Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

Yes No

SCHEDULE I - EARNED INCOME

Name Peter A. Sessions

Page 2 of 12

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

| Source | Type | Amount |
|--------|---------------|--------|
| AT&T | Spouse Salary | N/A |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Peter A. Sessions

Page 3 of 12

BLOCK A

Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use ticker symbols.)

For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.

For rental or other real property held for investment, provide a complete address.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement

BLOCK B

Year-End Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."

BLOCK C

Type of Income

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.

BLOCK D

Amount of Income

For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.

BLOCK E

Transaction

Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.

| | | | | | |
|----|--|-----------------------|-----------|-----------------|---|
| JT | American Century Non-Retirement Stock Fund | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| DC | AT&T | \$1,001 - \$15,000 | DIVIDENDS | \$201 - \$1,000 | |
| | AT&T | \$1 - \$1,000 | DIVIDENDS | \$1 - \$200 | |
| SP | AT&T 401(k) Asset Allocation | \$1,001 - \$15,000 | DIVIDENDS | NONE | E |
| SP | AT&T 401(k) AT&T Shares Fund | \$15,001 - \$50,000 | DIVIDENDS | \$201 - \$1,000 | E |
| SP | AT&T 401(k) Fidelity International Stock | \$100,001 - \$250,000 | None | NONE | E |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Peter A. Sessions

Page 4 of 12

| | | | | | |
|----|---------------------------------|-------------------------|-----------|--------------------|---------|
| SP | AT&T 401(k) PaySop Pension Plan | \$50,001 - \$100,000 | DIVIDENDS | \$2,501 - \$5,000 | E |
| SP | AT&T 401(k) Stable Value | \$500,001 - \$1,000,000 | None | NONE | P |
| SP | AT&T Total Return Bond | \$1,001 - \$15,000 | None | NONE | P |
| SP | AT&T Qualified Pension Plan | \$500,001 - \$1,000,000 | None | NONE | |
| SP | AT&T Stock - Computershare | \$1,001 - \$15,000 | DIVIDENDS | \$5,001 - \$15,000 | S(part) |
| SP | AT&T Stock Options - exercised | None | None | NONE | S |
| SP | AT&T Stock Options | \$1,001 - \$15,000 | None | NONE | |
| SP | AT&T Stock Savings Plan | None | DIVIDENDS | \$1,001 - \$2,500 | S |
| SP | AT&T Cash Deferral Plan | \$15,001 - \$50,000 | None | NONE | |
| JT | Bank of America Savings Link | None | INTEREST | \$1 - \$200 | S |
| DC | Bank of America Savings Link | None | INTEREST | \$1 - \$200 | S |
| | Bank of America Savings Link | None | INTEREST | \$1 - \$200 | S |
| SP | Bank of America Savings Link | None | INTEREST | \$1 - \$200 | S |
| | Bremer Bank - IRA | \$100,001 - \$250,000 | INTEREST | \$201 - \$1,000 | E |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Peter A. Sessions

Page 5 of 12

| | | | | | |
|----|---|-------------------------|-----------|---------------------|---------|
| | Burlington Northern - IRA | \$50,001 - \$100,000 | DIVIDENDS | \$201 - \$1,000 | E |
| | Citizens Bank - IRA | \$100,001 - \$250,000 | INTEREST | \$201 - \$1,000 | E |
| JT | Congressional Credit Union | \$1,001 - \$15,000 | INTEREST | \$201 - \$1,000 | |
| JT | Dreyfus Intermediate | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| JT | ExxonMobil | \$1 - \$1,000 | DIVIDENDS | \$1 - \$200 | |
| DC | ExxonMobil | \$1 - \$1,000 | DIVIDENDS | \$1 - \$200 | |
| JT | FMS Bond (IL Hospital and OH education) | \$500,001 - \$1,000,000 | INTEREST | \$15,001 - \$50,000 | P(part) |
| DC | FMS Bond (Southlands) | \$100,001 - \$250,000 | INTEREST | \$5,001 - \$15,000 | |
| DC | GE Capital Bond | None | INTEREST | \$5,001 - \$15,000 | S |
| SP | Home Depot | None | DIVIDENDS | \$1 - \$200 | S |
| | American Century | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| SP | IRA - US Treasury Bonds | \$15,001 - \$50,000 | DIVIDENDS | \$1 - \$200 | |
| DC | Lighting Science | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | Lincoln Life - IRA | \$1,001 - \$15,000 | DIVIDENDS | NONE | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Peter A. Sessions

Page 6 of 12

| | | | | |
|----|------------------------------------|-----------------------|-----------|-------------------|
| DC | Magellan Midstream | \$15,001 - \$50,000 | DIVIDENDS | \$2,501 - \$5,000 |
| JT | Oppenheimer Investments Value Fund | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 |
| DC | Southwest Securities Money Market | \$15,001 - \$50,000 | DIVIDENDS | \$1 - \$200 |
| SP | Southwest Securities Money Market | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 |
| | Southwest Securities Money Market | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 |
| DC | Southwest Securities | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 |
| | Southwest Securities Money Market | \$100,001 - \$250,000 | DIVIDENDS | \$201 - \$1,000 |
| JT | USAA - Tax Exempt | \$1,001 - \$15,000 | INTEREST | \$1 - \$200 |
| DC | USAA - Annuity | \$15,001 - \$50,000 | INTEREST | \$201 - \$1,000 |
| | USAA - Annuity | \$1,001 - \$15,000 | INTEREST | \$1 - \$200 |
| JT | USAA Money Market - Tax Exempt | \$1 - \$1,000 | INTEREST | \$1 - \$200 |
| DC | USAA TXE-LT | \$15,001 - \$50,000 | DIVIDENDS | \$1 - \$200 |
| SP | Valero Energy | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 |
| DC | Valero Energy | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Peter A. Sessions

Page 7 of 12

| | | | | | |
|----|--------------------------------------|-----------------------|-----------|--------------------|---|
| | Valero Energy - IRA | \$50,001 - \$100,000 | DIVIDENDS | \$1 - \$200 | |
| SP | Tarrant Regional Water District | \$1,001 - \$15,000 | INTEREST | \$201 - \$1,000 | P |
| | Frontier/Verizon | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | P |
| | Texas Private Surface Transportation | \$1,001 - \$15,000 | INTEREST | \$1 - \$200 | P |
| | Annaly Capital Management - IRA | \$100,001 - \$250,000 | DIVIDENDS | \$5,001 - \$15,000 | P |
| | Energy Transfer Partners - IRA | \$100,001 - \$250,000 | INTEREST | \$5,001 - \$15,000 | P |
| | Magellan Midstream - IRA | \$100,001 - \$250,000 | DIVIDENDS | \$1,001 - \$2,500 | P |
| DC | Annaly Capital Management | \$15,001 - \$50,000 | DIVIDENDS | \$1,001 - \$2,500 | P |
| DC | Texas Private Surface Transportation | \$15,001 - \$50,000 | INTEREST | \$1,001 - \$2,500 | P |
| DC | Love Field Airport Modern | \$50,001 - \$100,000 | INTEREST | \$1 - \$200 | P |

SCHEDULE IV - TRANSACTIONS

Name Peter A. Sessions

Page 8 of 12

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|--|------------------------|---|--------------------|-----------------------|
| DC | Annaly Capital Management | P | N/A | 3-3-10 | \$15,001 - \$50,000 |
| | Annaly Capital Management - IRA | P | N/A | 3-3-10 and 9-14-10 | \$100,001 - \$250,000 |
| SP | AT&T 401(k) Asset Allocation | E | N/A | 9-8-10 | \$1,001 - \$15,000 |
| SP | AT&T 401(k) AT&T Shares Fund | E | N/A | 1-5-10 | \$15,001 - \$50,000 |
| SP | AT&T 401(k) Fidelity International Stock | E | N/A | 6-21-10 | \$100,001 - \$250,000 |
| SP | AT&T 401(k) PaySop Pension Plan | E | N/A | 9-8-10 | \$50,001 - \$100,000 |
| SP | AT&T 401(k) Stable Value | E | N/A | 9-8-10 | \$100,001 - \$250,000 |
| SP | AT&T Stock - Computershare | S(part) | Yes | 5-10-10 | \$250,001 - \$500,000 |
| SP | AT&T Stock Options - exercised | P | N/A | 9-8-10 | \$1,001 - \$15,000 |
| SP | AT&T Stock Savings Plan | E | N/A | 3-10-10 | \$100,001 - \$250,000 |
| SP | AT&T Total Return Bond | E | N/A | 9-8-10 | \$1,001 - \$15,000 |

SCHEDULE IV - TRANSACTIONS

Name Peter A. Sessions

Page 9 of 12

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|---|------------------------|---|---------|-----------------------|
| | Bank of America Savings Link | S | No | 1-4-10 | \$1,001 - \$15,000 |
| DC | Bank of America Savings Link | S | No | 6-24-10 | \$15,001 - \$50,000 |
| SP | Bank of America Savings Link | S | No | 8-19-10 | \$1,001 - \$15,000 |
| JT | Bank of America Savings Link | S | No | 1-4-10 | \$1,001 - \$15,000 |
| | Bremer Bank - IRA | E | N/A | 9-13-10 | \$100,001 - \$250,000 |
| | Burlington Northern - IRA | E | N/A | 2-16-10 | \$50,001 - \$100,000 |
| | Citizens Bank - IRA | E | N/A | 9-8-10 | \$100,001 - \$250,000 |
| | Energy Transfer Partners - IRA | P | N/A | 9-14-10 | \$100,001 - \$250,000 |
| JT | FMS Bond (IL Hospital and OH education) | P | N/A | 5-27-10 | \$100,001 - \$250,000 |
| | Frontier/Verizon | P | N/A | 6-7-10 | \$1,001 - \$15,000 |
| DC | GE Capital Bond | S | No | 10-7-10 | \$50,001 - \$100,000 |

SCHEDULE IV - TRANSACTIONS

Name Peter A. Sessions

Page 10 of 12

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|--------------------------------------|------------------------|---|----------|-----------------------|
| SP | Home Depot | S | Yes | 4-26-10 | \$1,001 - \$15,000 |
| DC | Love Field Airport Modern | P | N/A | 11-12-10 | \$50,001 - \$100,000 |
| | Magellan Midstream - IRA | P | N/A | 9-14-10 | \$100,001 - \$250,000 |
| SP | Tarrant Regional Water District | P | N/A | 3-22-10 | \$1,001 - \$15,000 |
| | Texas Private Surface Transportation | P | N/A | 6-21-10 | \$1,001 - \$15,000 |
| DC | Texas Private Surface Transportation | P | N/A | 6-21-10 | \$15,001 - \$50,000 |

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Peter A. Sessions

Page 11 of 12

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

| Source | Date(s) | Point of Departure-- Destination--Point of Return | Lodging? (Y/N) | Food? (Y/N) | Was a Family Member Included? (Y/N) | Days not at sponsor's expense |
|---------------------|------------------|--|-------------------|----------------|---|-------------------------------------|
| Heritage Foundation | January 14-16 | DC-Charlottesville-DC | Y | Y | N | None |

SCHEDULE VIII - POSITIONS

Name Peter A. Sessions

Page 12 of 12

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

| Position | Name of Organization |
|-----------------------------|--|
| Executive Committee | Circle Ten Council - Boy Scouts of America |
| Board Member | Southwestern University |
| Committee Member | National Eagle Scout Association |
| Advisory Board to President | Special Olympics Texas |
| Advisory Board | Home Aid / Home Builders Care Dallas |
| Board of Management | White Rock YMCA |